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Standard Practice for Preparing Concrete Floors to Receive Resilient Flooring¹

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1. Scope

- 1.1 This practice covers the determination of the acceptability of a concrete floor for the installation of resilient flooring.
- 1.2 This practice includes suggestions for the construction of a concrete floor to ensure its acceptability for installation of resilient flooring.
- 1.3 This practice does not cover the adequacy of the concrete floor to perform its structural requirements.
- 1.4 This practice covers the necessary preparation of concrete floors prior to the installation of resilient flooring.
- 1.5 This practice does not supersede in any manner the resilient flooring or adhesive manufacturer's written instructions. Consult the individual manufacturer for specific recommendations.
- 1.6 Although carpet tiles, carpet, wood flooring, coatings, films, and paints are not specifically intended to be included in the category of resilient floor coverings, the procedures included in this practice may be useful for preparing concrete floors to receive such finishes.
- 1.7 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety and health practices and determine the applicability of regulatory limitations prior to use. See 5.1, 7.1.1, and 7.1.2 for specific warning statements.*
- 1.8 The values stated in inch-pound units are to be regarded as standard. The values given in parentheses are mathematical conversions to SI units and that are provided for information only and are not considered standard.

2. Referenced Documents

2.1 ASTM Standards:²

- C109/C109M Test Method for Compressive Strength of Hydraulic Cement Mortars (Using 2-in. or [50-mm] Cube Specimens)
- C472 Test Methods for Physical Testing of Gypsum, Gypsum Plasters and Gypsum Concrete
- D4259 Practice for Abrading Concrete
- D4263 Test Method for Indicating Moisture in Concrete by the Plastic Sheet Method
- D4397 Specification for Polyethylene Sheeting for Construction, Industrial, and Agricultural Applications
- E1155 Test Method for Determining F_F Floor Flatness and F_L Floor Levelness Numbers
- E1486 Test Method for Determining Floor Tolerances Using Waviness, Wheel Path and Levelness Criteria
- E1745 Specification for Plastic Water Vapor Retarders Used in Contact with Soil or Granular Fill under Concrete Slabs
- F141 Terminology Relating to Resilient Floor Coverings
- F710 Practice for Preparing Concrete Floors to Receive Resilient Flooring
- F1869 Test Method for Measuring Moisture Vapor Emission Rate of Concrete Subfloor Using Anhydrous Calcium Chloride
- F2170 Test Method for Determining Relative Humidity in Concrete Floor Slabs Using in situ Probes

NOTE 1—Specifications and test methods for cements and other related materials are found in ASTM Volume 04.01. Specifications and test methods for concretes and related materials are found in ASTM Volume 04.02.

2.2 ACI Guides:³

- 302.1R-06 Guide for Concrete Floor and Slab Construction
- 117R Standard Tolerances for Concrete Construction and Materials

2.3 Resilient Floor Covering Institute (RFCI):⁴

- Recommended Work Practices for the Removal of Resilient Floor Coverings

¹ This practice is under the jurisdiction of ASTM Committee F06 on Resilient Floor Coverings and is the direct responsibility of Subcommittee F06.40 on Practices. Current edition approved Jan. 1, 2008; May 15, 2011. Published January 2008; June 2011. Originally approved in 1981. Last previous edition approved in 2005/2008 as F710-05. DOI: 10.1520/F0710-08. DOI: 10.1520/F0710-11.

² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For Annual Book of ASTM Standards volume information, refer to the standard's Document Summary page on the ASTM website.

³ Available from American Concrete Institute, 19150 Redford Station, Detroit, MI 48219.

⁴ Resilient Floor Covering Institute, 966 Hungerford Drive, Rockville, MD 20850.

2.4 Other Standards:

~~MASTERSPEC Guide Spec Section 03300~~MASTERSPEC Guide Spec Section 03 30 00 “Cast-In-Place Concrete”
~~SPECTEXT Guide Spec Section 03346~~“Concrete Floor Finishing”⁵

3. Terminology

3.1 *Definitions*— For definitions of terms used in this practice, see Terminology F141.

3.2 *Definitions of Terms Specific to This Standard:*

3.2.1 *mat*, as in “mat test”—a sample of vapor-retardant sheet resilient floor finish material or equivalent.

3.2.2 *moisture vapor emission*—a term used by the flooring industry in the U.S. to measure moisture emission from concrete floors in lb/1000 ft² · 24 h (56.51 µg/(s · m²)) using the anhydrous calcium chloride test.

4. General Guidelines

4.1 The installation of a permanent, effective moisture vapor retarder with a minimum thickness of 0.010 in. and a permeance of 0.1 y, as described in Specification E1745 is required under all on- or below-grade concrete floors. The use of such a moisture vapor retarder, provided its integrity has not been compromised, reduces potential severity of water vapor penetration. Every concrete floor slab on- or below-grade to receive resilient flooring shall have a water vapor retarder (often improperly called a vapor barrier) installed directly below the slab.

~~4.2 Concrete floors to receive resilient flooring shall be permanently dry, clean, smooth, and structurally sound. They shall be free of dust, solvent, paint, wax, oil, grease, residual adhesive, adhesive removers, film-forming curing compounds, silicate penetrating curing compounds, sealing, hardening, or parting compounds, alkaline salts, excessive carbonation or laitence, mold, mildew, and other foreign materials that might prevent adhesive bond.~~

~~4.2 The surface of concrete floors to receive resilient flooring shall be dry, clean, smooth, and structurally sound. They shall be free of dust, solvent, paint, wax, oil, grease, residual adhesive, adhesive removers, film-forming curing compounds, silicate penetrating curing compounds, sealing, hardening, or parting compounds, alkaline salts, excessive carbonation or laitence, mold, mildew, and other foreign materials that might affect the rate of moisture dissipation from the concrete, the adhesion of resilient flooring to the concrete or cause a discoloration of the flooring from below. Non-chemical methods for removal, such as abrasive cleaning or bead-blasting, including methods described in Practice D4259 may be used on existing slabs with deleterious residues.~~

~~4.2.1 **Warning**—Hydraulic cement used in concrete construction may contain trace amounts of free crystalline silica. Prolonged exposure to airborne free crystalline silica may be a health hazard. Avoid actions that cause dust to become airborne. Use local or general ventilation to control exposures below applicable exposure limits.~~

~~4.2.2 **Warning**—See 7.1.1 and 7.1.2 for warnings regarding asbestos and lead paint.~~

4.3 Surface cracks, grooves, depressions, control joints or other non-moving joints, and other irregularities shall be filled or smoothed with latex patching or underlayment compound recommended by the resilient flooring manufacturer for filling or smoothing, or both. Patching or underlayment compound shall be moisture-, mildew-, and alkali-resistant, and, for commercial installations, shall provide a minimum of 3000 psi compressive strength after 28 days, when tested in accordance with Test Method C109/C109M or Test Method C472, whichever is appropriate.

4.3.1 Joints such as expansion joints, isolation joints, or other moving joints in concrete slabs shall not be filled with patching compound or covered with resilient flooring. Consult the resilient flooring manufacturer regarding the use of an expansion joint covering system.

4.4 The surface of the floor shall be cleaned of all loose material by scraping, brushing, vacuuming, or other methods, or a combination thereof, as recommended by the resilient flooring manufacturer, immediately before commencing installation of resilient flooring.

4.5 Many resilient floorings may not be installed over concrete when residual asphalt adhesive residue is present. Consult the resilient flooring manufacturer’s written recommendations concerning use of resilient flooring products in these situations.

4.6 Concrete floors shall be smooth to prevent irregularities, roughness, or other defects from telegraphing through the new resilient flooring. The surface of concrete floors shall be flat to within the equivalent of 3/16 in. (3.9 mm) in 10 ft, (as described in ACI 117R, or as measured by the method described in Test Method E1155 or any industry-recognized method specified) and within the equivalent of 1/32 in. (0.8 mm) in 12 in. (305 mm). See X1.7 for more information regarding flatness measurement methods.

~~4.7 *Acclimation*—Because of the role acclimation plays in a successful installation, most resilient flooring manufacturers recommend or require that their flooring products, sundry supplies (adhesives, coatings, welding rods, etc.) and the area to receive the resilient flooring are properly conditioned. Consult floor covering and sundry manufacturers for appropriate temperature and humidity range for the products to be installed and the geographic area where the job site is located. General recommendations are for the installation area and materials listed above to be maintained at a minimum of 65°F (18.3°C) and a maximum of 85°F (29.4°C) for 48 h before, during and for 48 h after completion of the installation. Relative humidity level extremes should also be avoided because of their influence on proper drying and curing of patching compounds and adhesives. General recommended~~

⁵ Available from MASTERSPEC, AIA Master Systems, King Street Station, 225 Reinekers Lane, Suite 215, Alexandria, VA 22314-2875.

humidity control level is between 35 – 55 %. If a system other than the permanent HVAC source is utilized, it must provide proper control of both temperature and humidity to recommended or specific levels for the appropriate time duration.

5. Testing Procedures

5.1 Concrete floors to receive resilient flooring shall be free of dust, solvent, paint, wax, oil, grease, residual adhesive, adhesive removers, sealers, coatings, finishes, dirt, film-forming curing compounds, silicate penetrating curing compounds, hardening or parting compounds, alkaline salts, excessive carbonation or laitance, mold, mildew, and other foreign materials which may affect the rate of moisture dissipation from the concrete or the adhesion of resilient flooring to the concrete. Non-chemical methods for removal, such as abrasive cleaning or bead-blasting, including methods described in Practice D4259 may be used on existing slabs with deleterious residues to achieve an appropriate state for testing. Cleaning shall take place a minimum of 24 h before testing. **Warning**—Hydraulic cement used in concrete construction may contain trace amounts of free crystalline silica. Prolonged exposure to airborne free crystalline silica may be a health hazard. Avoid actions that cause dust to become airborne. Use local or general ventilation to control exposures below applicable exposure limits.

5.2

5.1 *Moisture Testing*—All concrete slabs shall be tested for moisture regardless of age or grade level. For the preferred moisture testing method and limits, consult the written instructions from the floor covering manufacturer, the adhesive manufacturer, the patching/underlayment manufacturer, or combination thereof. In the absence of manufacturer’s guidelines, refer to Table 1.

5.2.1 Consult the resilient flooring manufacturer, the adhesive manufacturer, the underlayment manufacturer’s written instructions, or combination thereof, for their acceptable test methods. If these instructions are in conflict, the most stringent requirements shall apply.

5.3.2 *pH Testing*—Concrete floors shall be tested for pH prior to the installation of resilient flooring. Levels of pH shall not exceed the written recommendations of the resilient flooring manufacturer or the adhesive manufacturer, or both.

5.2.1 To test for pH at the surface of a concrete slab, use wide range pH paper, its associated pH chart, and distilled or deionized water. Place several drops of water on a clean surface of concrete, forming a puddle approximately 1 in. (25 mm) in diameter. Allow the puddle to set for 60 ± 5 s, then dip the pH paper into the water. Remove immediately, and compare to chart to determine pH reading. Other pH testing methods such as pH pencils or pH meters, or both, are available and may be used to measure pH. Readings below 7.0 and in excess of 10.0 have been known to affect resilient flooring or adhesives, or both. Refer to resilient flooring manufacturer’s written instructions for guidelines on acceptable testing methods and acceptable pH levels. See X1.4 for more information about pH levels in concrete slabs.

6. Preparation of New Concrete Floors

6.1 New concrete slabs shall be properly cured and dried or treated before installation of resilient flooring. Drying time before slabs are ready for moisture testing will vary depending on atmospheric conditions and mix design. See X1.3 for more information. Floors containing lightweight aggregate or excess water, and those which are allowed to dry from only one side, such as concrete over a moisture vapor retarder or concrete on metal deck construction, may need a much longer drying time and should not be covered with resilient flooring unless the moisture vapor emission rate or the percentage of internal relative humidity meets the manufacturer’s installation specifications.

7. Preparation of Existing Concrete Floors

7.1 The resilient flooring manufacturer shall be consulted regarding the necessity of removal of old resilient flooring, adhesive residue, paint, or other surface contaminants. If old resilient flooring, paint, or adhesive residue is to be removed, follow 7.1.1 and 7.1.2:

7.1.1 **Warning**—Do not sand, dry sweep, dry scrape, drill, saw, beadblast, or mechanically chip or pulverize existing resilient flooring, backing, lining felt, paint, asphaltic cutback adhesives, or other adhesives. These products may contain asbestos fibers or crystalline silica. Avoid creating dust. Inhalation of such dust is a cancer and respiratory tract hazard. Smoking by individuals exposed to asbestos fibers greatly increases the risk of serious bodily harm. Unless positively certain that the product is a nonasbestos-containing material, presume that it contains asbestos. Regulations may require that the material be tested to determine asbestos content. The Resilient Floor Covering Institute’s (RFCI’s) recommended work practices for removal of existing resilient floor coverings should be consulted for a defined set of instructions addressed to the task of removing all resilient floor covering structures.

7.1.2 **Warning**—Certain paints may contain lead. Exposure to excessive amounts of lead dust presents a health hazard. Refer to applicable federal, state, and local laws and guidelines for hazard identification and abatement of lead-based paint published by

TABLE 1 ASTM Test Methods for Concrete Moisture Reading

Test Method	Maximum Limit
F1869	3 lb/1000 ft ² (170 µg/m ²) per 24 h
F2170	75 %

the U.S. Department of Housing and Urban Development⁶ regarding appropriate methods for identifying lead-based paint and removing such paint, and any licensing, certification, and training requirements for persons performing lead abatement work.

7.2 *Adhesive Removers*—There are a number of commercial adhesive removers that will properly remove adhesive residue from a subfloor, however, there are concerns that these products may adversely effect the new adhesive and new floor covering. The Resilient Floor Covering Institute's (RFCI's) recommended work practices for removal of existing resilient floor coverings and the resilient flooring manufacturer's written instructions should be consulted for a defined set of instructions which should be followed if existing adhesives must be removed.

8. Installation on Radiant Heated Floors

8.1 Most resilient flooring can be installed on radiant heated slabs providing the maximum temperature of the surface of the slab does not exceed 85°F (29°C) under any condition of use. Consult the resilient flooring manufacturer for specific recommendations.

9. Keywords

9.1 adhesive removers; cement; concrete floors; installation; moisture; moisture vapor emissions; pH testing; preparation; resilient flooring; rubber; slabs

APPENDIXES

(Nonmandatory Information)

X1. CONCRETE COMPOSITION AND PRACTICES

X1.1 *General*—This brief information on concrete composition and practices is provided to help specifiers, resilient flooring installers, and resilient flooring manufacturers understand the properties of concrete. A concrete slab is not an inert substrate. It is a complex mixture of organic and inorganic substances whose properties and condition will affect the performance of a floor covering placed on its surface. Surface flatness, strength, joints, alkalinity, permeability, and many other concrete properties will have a significant effect on the long-term appearance and performance of resilient flooring.

X1.1.1 Concrete used for most floors is a mixture of hydraulic cement, fine aggregate (sand), coarse aggregate (stone), water and admixtures. In addition to these batch ingredients, chemical admixtures can be used to control the setting time, rate of strength development, workability, air entrapment, and other properties of concrete. For example, water-reducing admixtures can increase the slump of fresh concrete without adding additional water. Pozzolanic admixtures such as fly ash or ground granulated blast furnace slag are sometimes present as a partial replacement for the cement.

X1.1.2 Lightweight concrete, less than 115 lb/ft³ (1841 kg/m³), may have such low compressive strength that it is unsuitable for covering with resilient flooring unless 1 in. (25 mm) or more of standard weight concrete, generally 140 lb/ft³ (2241 kg/m³) or more, is used as a topping.

X1.2 *Water-Cement Ratio*—The most important factor affecting concrete properties is the water-cement ratio. This is the ratio of the mass of water to the mass of cement in a standard volume of concrete. For a given concrete mix design, as the water-cement ratio is increased, most concrete properties are affected negatively. Of special interest to the floor covering industry, compressive and flexural strengths are decreased, permeability is increased, and drying times are lengthened. Moderate to moderately low water-cement ratios (0.40 to 0.45) can be used to produce floor slabs that can easily be placed, finished, and dried, and which will have acceptable permeability to moisture. Floor slabs with water-cement ratios above 0.60 take an exceedingly long time to dry and cause adhesives or floor coverings, or both, to fail due to high moisture permeability.

X1.3 *Curing and Drying New Concrete:*

X1.3.1 Freshly placed concrete sets and gains strength by the chemical reaction of water with the silicate and aluminate materials in the cement. As long as water is available during the planned curing period, the concrete will continue to gain strength and decrease its permeability. Various ways concrete is cured include cover curing with paper or plastic sheets or other methods which aid in retaining some moisture in the concrete, thus retarding the rate of drying. Resilient flooring and adhesive manufacturer's specifications often prohibit the use of membrane forming curing compounds as they can interfere with the bond of the adhesive to the concrete.

X1.3.2 Membrane forming curing compounds, in many cases, form a surface film of oil, wax, resins, or a combination thereof, that tend to lengthen the drying time of the concrete, obstruct the bond between the concrete surface and the adhesive and/or the patching or underlayment compound to the concrete, or may trap moisture in the concrete which will be released at a future date,

⁶ Available from SPECTEXT, National Institute of Building Sciences, 1090 Vermont Avenue, NW, Suite 700, Washington, DC 20005-4905.

⁶ *Lead-Based Paint: Interim Guidelines for Hazard Identification and Abatement in Public and Indian Housing*, U.S. Department of Housing and Urban Development, Washington, DC, 1990.