

SLOVENSKI STANDARD oSIST prEN IEC 61362:2023

01-september-2023

Vodilo za specificiranje sistemov za krmiljenje hidravličnih turbin

Guide to specification of hydraulic turbine governing systems

Leitfaden zur Spezifikation der Regeleinrichtung von Wasserturbinen

Guide pour la spécification des systèmes de régulation des turbines hydrauliques

Ta slovenski standard je istoveten z: prEN IEC 61362:2023

https://standards.iteh.ai/catalog/standards/sist/72e3e570-2745-444a-9809-

791c7feb6ced/osist-prep-jec-61362-2023

ICS:

27.140 Vodna energija Hydraulic energy engineering

oSIST prEN IEC 61362:2023 en

oSIST prEN IEC 61362:2023

iTeh STANDARD PREVIEW (standards.iteh.ai)

oSIST prEN IEC 61362:2023

https://standards.iteh.ai/catalog/standards/sist//2e3e5/0-2/45-444a-9809-791c7feb6ced/osist-pren-iec-61362-2023

oSIST prEN IEC 61362:2023

PROJECT NUMBER: IEC 61362 ED3



4/469/CDV

COMMITTEE DRAFT FOR VOTE (CDV)

	2023-06-16	ON:	CLOSING DATE FOR VOTING: 2023-09-08
	SUPERSEDES DOCU	MENTS:	
	4/429/CD, 4/468/		
IEC TC 4: HYDRAULIC TURBINES			
SECRETARIAT:		SECRETARY:	
Canada		Mrs Christine Geraghty	
OF INTEREST TO THE FOLLOWING COMM	ITTEES:	PROPOSED HORIZONTAL STANDARD:	
TC 2,TC 57,TC 114			
		Other TC/SCs are any, in this CDV to	requested to indicate their interest, if o the secretary.
FUNCTIONS CONCERNED:		QUALITY ASSURANCE SAFETY	
SUBMITTED FOR CENELEC PARALLE	LVOTING	☐ NOT SUBMITTED FOR CENELEC PARALLEL VOTING	
The attention of IEC National Committees, members of CENELEC, is drawn to the fact that this Committee Draft for Vote (CDV) is submitted for parallel voting. The CENELEC members are invited to vote through the CENELEC online voting system.			i70-2745-444a-9809- 2023
This document is still under study and	I subject to change.	It should not be us	ed for reference purposes.
Recipients of this document are invite which they are aware and to provide s	d to submit, with the	eir comments, notifi tation.	cation of any relevant patent rights of
Recipients of this document are invited to submit, with their comments, notification of any relevant "In Some Countries" clauses to be included should this proposal proceed. Recipients are reminded that the CDV stage is the final stage for submitting ISC clauses. (SEE <u>AC/22/2007</u> OR <u>NEW GUIDANCE DOC</u>).			
TITLE:			
Guide to specification of hydrau	ulic turbine gove	rning systems	
PROPOSED STABILITY DATE: 2026			
NOTE FROM TC/SC OFFICERS:			

Copyright © 2023 International Electrotechnical Commission, IEC. All rights reserved. It is permitted to download this electronic file, to make a copy and to print out the content for the sole purpose of preparing National Committee positions. You may not copy or "mirror" the file or printed version of the document, or any part of it, for any other purpose without permission in writing from IEC.

1

2

CONTENTS

3	FOREW	ORD	7
4	INTROD	DUCTION	9
5	1 Sco	ppe	10
6	2 Noi	rmative references	1 1
7		ms and definitions	
8	3.1	General terms and definitions	
9	3.1		
9 10	3.1	Terms and definitions related to control levels, control modes and	
11	5.2	operational modes	12
12	3.2	.1 Control levels	12
13	3.2	.2 Control modes at the turbine governing level	13
14	3.2	.3 Main Operation modes	13
15	3.3	Terms and definitions from control theory	13
16	3.3	.1 Differential equation	13
17	3.3	.2 Transient response	13
18	3.3		
19	3.3	.4 Transfer function	14
20	3.4	Subscripts and prefixes	14
21	3.5	Terms and definitions related to the plant and the units	14
22	3.6	Terms and definitions relating to the governing system	17
23	4 Go	verning system structure	27
24	4.1	General and ards itch ai/catalog/standards/sist/72e3e5.702745.444a.9809	27
25	4.2	Main control functions feb6ced/osistanten-lec-61362-2023	27
26	4.2	.1 General	27
27	4.2	.2 Speed control	27
28	4.2	.3 Power output control	27
29	4.2	.4 Opening control	28
30	4.2	.5 Water level control	28
31	4.2	.6 Flow control	28
32	4.3	Configurations of combined controllers	28
33	4.3	.1 General	28
34	4.3	.2 Parallel structure	29
35	4.3	.3 Series structures	29
36	4.4	Special control functions	30
37	4.4	.1 Feed Forward Control	30
38	4.4	.2 Surge tank level or pressure feedback	30
39	4.5	Pump Turbine Control	31
40	4.5	.1 General	31
41	4.5	- I	
42	4.5	.3 Variable speed pump turbine control	32
43	4.6	Manual control	
44	4.7	Linearization	34
45	4.8	Limitation functions	
46	4.9	Bumpless control modes transition	
47	4.10	Optimization control	35

48	5	Func	tional performance	36
49		5.1	General	36
50		5.2	Modelling and digital simulation	36
51		5.2.1	General	36
52		5.2.2	Water passages	37
53		5.2.3	Turbine, generator, electrical grid	37
54			Control concept	
55		5.2.5	Hardware-in-the-loop simulation	38
56			Personnel training	
57		5.2.7	Inaccuracy of plant simulators	
58		5.3	Characteristic parameters for PID-controllers	
59		5.3.1	General	
60		5.3.2	'	39
61		5.3.3	1, 3	
62			action time $T_{ extsf{D}}$	40
63		5.3.4	Tuning of turbine governing systems	40
64		5.4	Other parameters of the governing systems	41
65 66		5.4.1	Command signal adjustments for controlled variables (speed, power output, etc.) and load limiter	41
67		5.4.2	Governor insensitivity i _X /2	41
68		5.4.3	Dynamic characteristics of servo-positioner	42
69		5.4.4		
70		5.4.5	Servo-positioner non-linearity by kinematics	44
71	6	Serv	Positioner Configurations	45
72		6.1	Servo-positioners OSIST prEN IEC 61362:2023	45
73		6.2	Configurations of servo-positioners	45
74		6.3	Multiple actuator control	46
75		6.3.1	General	46
76		6.3.2	Parallel structure	46
77		6.3.3	Series structure	46
78		6.3.4	Individual control	46
79 80		6.4	Dual regulation of turbines with controllable guide vane and runner blade angles	47
81		6.5	Dual control of turbines with needles and deflectors	47
82		6.6	Other relationships	47
83	7	Instru	umentation	48
84		7.1	General	48
85		7.2	Rotational speed	48
86		7.3	Power output	48
87		7.4	Water level	48
88		7.5	Actuator position (stroke)	48
89		7.6	Signal transmission from electronic transmitters	48
90	8	Safe	y functions and devices	49
91		8.1	General	49
92		8.2	Quick shutdown and emergency shutdown	49
93		8.2.1	General	49
94		8.2.2	Tripping actions	49
95		8.2.3	Servomotor shutdown initiating devices	49

96	8.2.	4 Tripping criteria	49
97	8.2.	5 Tripping strategies	49
98	8.3	Overspeed protection device	49
99	8.4	Interlocks	50
100	9 Pro	vision of actuating energy	51
101	9.1	General	51
102	9.2	System with an accumulator	51
103	9.2.	1 Pressure tank (air/oil accumulator)	51
104	9.2.	Piston accumulators	53
105	9.2.	3 Bladder accumulators	53
106	9.2.	4 Other systems	53
107	9.2.	,	
108	9.2.	•	
109	9.2.		
110	9.2.	·	
111	9.3	Systems without accumulator	
112	9.3.	•	
113	9.3.		
114	9.4	Direct electric positioner	
115	9.5	Recommendation for hydraulic fluid selection	
116	•	erational transitionsA	
117	10.1	Start-up and synchronization	
118	10.2	Normal shutdown	
119	10.3	Sudden load rejection	
120	10.4	Other operational transitions are N.I.F.C.61.362:2023	59
121		plementary equipment ai/catalog/standards/sist/72e3e570-2745-444a-9809-	
122	11.1	Measures to reduce pressure variations	
123	11.2	Surge control	
124	11.3	Equipment and measures to lower the speed rise	
125	11.4	Joint control	
126	11.5	Braking	
127	11.6	Synchronous condenser mode of operation	
128		siderations for the electronic governor	
129	12.1	Equipment requirements	
130	12.2	Power supply recommendations	
131		to apply the recommendations	63
132		(normative) Simplified differential equations and transfer functions of	75
133			
134		(informative) Grid frequency control	
135	B.1	General	
136	B.2	Power equilibrium and grid frequency	
137	B.2.		
138	B.2.	, ,	
139	B.3	Primary frequency control	
140	B.3.	, , , , , , , , , , , , , , , , , , , ,	
141	B.3.	Secondary frequency control	
142	D.4	Secondary frequency control	

143 144		formative) Role of governing systems for stability in interconnected grid	80
145	_	eneral	
146		ability of the unit with respect to the water hydraulic system	
147	C.3 St	ability of the unit with respect to the electrical power system	80
148	C.3.1	General	80
149	C.3.2	Power oscillations due to the electrical power system	81
150	C.3.3	Power oscillations due to pressure fluctuations in hydraulic machines	81
151	C.3.4	Conclusion	
152	Annex D (inf	ormative) Quick shutdown and emergency shutdown	83
153		eneral	
154		ternative example I	
155	D.2.1	General	
156	D.2.2	Quick shutdown	
157	D.2.3	Emergency shutdown	
158	D.2.4 D.3 AI	Summary table and combined tripping casesternative example II	
159 160			
	Dibliography		07
161 162	Figure 2 – C	ontrolled system self-regulation factor	17
163	Figure 3 – C	ontrolled variable range	18
164		ermanent droop and and and antaland	
165		roportional action coefficient and integral action time	
166	_	erivative time constant	
167		ead band	
168		linimum servomotor opening/closing time6.1362-2023	
169		ime constants of the servo-motor/servo-valve combination	
	•	Servo-positioner inaccuracy	
170	•	·	
171	•	Governing system dead time	25
172 173		Governing system with speed and power output control functions in	29
174 175		Governing system with speed control function and water level control arallel	29
176	Figure 14 –	Governing system with power output and speed control functions in series .	30
177 178		Schematic diagram of a turbine governing system with an additional dback compensation control function	31
179	Figure 17 –	Governor function in conventional pump mode	32
180 181		Governor function of variable speed pumped storage systems in pump	32
182 183		Governor function of variable speed pumped storage systems in turbine ower output based control	33
184 185	Figure 20 – mode with ro	Governor function of variable speed pumped storage systems in turbine otating speed based control	33
186	Figure 21 –	Servo-positioner control loop – simplified dynamic model with P-controllers .	42
187	_	Servo-positioner control loop - simplified dynamic model	
188	•	Time step response and frequency response of the output of the servo-	
189			43

	IEC CDV 61362/Ed3 © IEC:2023 - 6 -	4/469/CDV
190 191	Figure 25 – Parallel structure with defined functional relation and an additional significant superimposition	
192 193	Figure 26 – Series structure with defined functional relation and additional signal superimposition	
194	Figure 27 – Structure with different set-points for each servo-positioner	47
195	Figure 28 – Pressure tank content and pressure ranges	51
196	Figure 29 – Open-circuit system	55
197	Figure 30 – Start-up speed curve up to synchronization	58
198	Figure 31 – Load rejection	59
199	Figure A.1 – Idealized PID in pure parallel structure	75
200	Figure A.2 – Idealized PID alternative representation	75
201 202 203	Figure B.1 – Example of principle schematic functional diagram of a unit with a tu governing system using an idealized PID control function with a frequency-power droop	
204 205	Figure B.2 – Behaviour of two units with different governor permanent droop value	
206	Table 13.1– Unit and plant categories	63
207 208	Table D.1 – Alternative I – Summary of cases for quick shut-down and emergency shut-down	
209 210	Table D.2 – Alternative II – Summary of cases for quick shut-down and emergence shut-down	86

-7-

4/469/CDV

INTERNATIONAL ELECTROTECHNICAL COMMISSION

215

214

040

216 217

GUIDE TO SPECIFICATION OF HYDRAULIC TURBINE GOVERNING SYSTEMS

218219220

221

FOREWORD

227

228 229

233

234

235

236237

238

239

243

244

245

246 247

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 23) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
 - 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
 - 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
 - 6) All users should ensure that they have the latest edition of this publication.
 - 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 249 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 251 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.
- IEC 61362 has been prepared by subcommittee WG 14: Hydroelectric Power Plant Automation and Turbine Governing Systems, of IEC technical committee TC 4: Hydraulic turbines. It is an International Standard.
- This third edition cancels and replaces the second edition published in 2012. This edition constitutes a technical revision.
- 258 This edition includes the following significant technical changes with respect to the previous edition:
- 260 a) Adoption of parts of IEC 60308 second edition published 2005 which deal with specification matters;
- b) Introduction of several new technical topics;
- 263 c) Overall editorial revision.
- The text of this International Standard is based on the following documents:

Draft	Report on voting
XX/XX/FDIS	XX/XX/RVD

-8-

4/469/CDV

- Full information on the voting for its approval can be found in the report on voting indicated in the above table.
- The language used for the development of this International Standard is English.
- This document was drafted in accordance with ISO/IEC Directives, Part 2, and developed in
- accordance with ISO/IEC Directives, Part 1 and ISO/IEC Directives, IEC Supplement, available
- at www.iec.ch/members_experts/refdocs. The main document types developed by IEC are
- described in greater detail at http://www.iec.ch/standardsdev/publications.
- 273 The committee has decided that the contents of this document will remain unchanged until the
- stability date indicated on the IEC website under webstore.iec.ch in the data related to the
- specific document. At this date, the document will be
- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- 279 amended.

280

iTeh STANDARD PREVIEW (standards.iteh.ai)

oSIST prEN IEC 61362:2023
https://standards.iteh.ai/catalog/standards/sist/72e3e570-2745-444a-9809-791c7feb6ced/osist-pren-iec-61362-2023

– 9 –

INTRODUCTION

4/469/CDV

282 283 284 285 286	While a standard for the testing of hydraulic turbine governing systems had been existing for a very long time (IEC 60308 published in 1970) ¹ , a guide for the specification of hydraulic turbine governing systems was missing until 1998. The need for such a guide became more and more urgent with the fast development and the new possibilities especially of the digital components of the governor.
287 288 289	While the first edition was written more or less as a supplement to the already existing guide for testing, the objective of the second edition was to be the leading guide with respect to turbine governing systems.
290 291	The second edition of the guide took into account the experience with the guide until 2012 as well as the progress in the state of the art of the underlying technologies.
292 293 294	This third edition was developed together with the third edition of the standard for the testing of hydraulic turbine governing systems (IEC 60308) in order to harmonize their contents and their publishing dates.
295 296	Furthermore, the standards are kept open for state of the art by introducing new topics and harmonizing the structure as well as the terms and definitions for both standards
297 298 299	The International Electrotechnical Commission (IEC) draws attention to the fact that it is claimed that compliance with this document may involve the use of a patent. IEC takes no position concerning the evidence, validity, and scope of this patent right.
300 301 302 303	The holder of this patent right has assured IEC that s/he is willing to negotiate licences under reasonable and non-discriminatory terms and conditions with applicants throughout the world. In this respect, the statement of the holder of this patent right is registered with IEC. Information may be obtained from the patent database available at http://patents.iec.ch.
304 305 306	Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights other than those in the patent database. IEC shall not be held responsible for identifying any or all such patent rights.
307	

IEC 60308:1970, International code for testing of speed governing systems for hydraulic turbines. This publication was withdrawn and replaced by IEC 60308:2005.

IEC CDV 61362/Ed3 © IEC:2023 - 10 -

332

4/469/CDV

308	Guide to Specification of Hydraulic Turbine
309	GOVERNING SYSTEMS
310	
311	
312	
313	1 Scope
314	This International Standard includes relevant technical data necessary to describe hydraulic
315	turbine governing systems and to define their performance. It is aimed at unifying and thus
316	facilitating the selection of relevant parameters in bidding specifications and technical bids. It
317	serves also as a basis for setting up technical guarantees.
318	The scope of this standard is restricted to the turbine governing level. Additionally some
319	remarks about the control loops of the plant level and about interaction with the electrical grid
320	in case of primary and secondary frequency control (see also Annexes B and C) are made for
321	better understanding without making a claim to be complete.
322	Important topics covered by the guide are:
323	- speed, power, water level, opening and flow (discharge) control for reaction and impulse-
324	type turbines including double regulated machines;
325	 means of providing actuating energy;
326	 safety devices for emergency shutdown, etc.
327	To facilitate the setting up of specifications, this guide also includes data sheets, which are to
328	be filled out by the customer and the supplier in the various stages of the project and the
329	contract.
330	Acceptance tests and specific test procedures are outside the scope of the guide; those topics
331	are covered by IEC 60308.791c7feb6ced/osist-pren-iec-61362-2023

– 11 –

4/469/CDV

2	Normative	references
---	-----------	------------

333

342 343

334 335 336 337	The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.
338	IEC 60050-351, International Electrotechnical Vocabulary – Part 351: Control technology
339	IEC 60308, Hydraulic turbines – Testing of governing systems
340 341	IEC 61131-2: Industrial-process measurement and control - Programmable controllers – Part 2: Equipment requirements and tests

IEC 60193: Hydraulic turbines, storage pumps and pump-turbines - Model acceptance tests

iTeh STANDARD PREVIEW (standards.iteh.ai)

oSIST prEN IEC 61362:2023 https://standards.iteh.ai/catalog/standards/sist/72e3e570-2745-444a-9809-791c7feb6ced/osist-pren-iec-61362-2023

- 12 -

4/469/CDV

Terms and definitions 344

- For the purposes of this document, the following terms and definitions apply. 345
- 346 ISO and IEC maintain terminological databases for use in standardization at the following 347 addresses:
- 348 IEC Electropedia: available at http://www.electropedia.org/
- ISO Online browsing platform: available at http://www.iso.org/obp 349

General terms and definitions 3.1 350

3.1.1 Turbine governing system 351

- Technical equipment governing the opening (guide vane, runner blade, needle, deflector 352
- position) of hydraulic turbines 353
- 354 Note 1 to entry: At the present state of the art, the turbine governing system consists of an oil hydraulic and an
- electronic part, the "oil hydraulic governor" and the "electronic governor" and an interface between both, the 355
- 356 electro/hydraulic converter.

3.1.2 Controlled system 357

- system controlled by the actuators of the governing system consisting of the hydraulic turbine, 358
- its water supply and discharge passages, the generator with voltage regulator and the electric 359
- power grid to which it is connected 360

Terms and definitions related to control levels, control modes and operational 3.2 361 362 modes

3.2.1 **Control levels** 363

Turbine governing level TprEN IEC 61362:2023 3.2.1.1 364

- 365 Control functions directly related to the governing system of a single turbine
- 366 Note 1 to entry: The following control modes are related to the turbine governing level:
- 367 speed control:
- 368 power output control;
- 369 water level control:
- 370 opening control; 371
 - flow control (the term flow used in this guide has the same meaning as the term discharge).

372 373 374

3.2.1.2 Unit control level

- Control functions directly related to the overall control of a single unit (turbine, generator, unit 375
- auxiliaries) including turbine governing, voltage regulation, start-stop-sequencing etc. 376

3.2.1.3 Plant control level 377

- Control functions related to the overall control of a whole plant including the control of several 378
- 379
- 380 Note 1 to entry: In automatic unit and plant control operation, the turbine governing system gets its modes and set-
- 381 points from the unit and plant control level.

3.2.1.4 Grid control level 382

- Control functions related to the overall control of the grid as a whole 383
- 384 Note 1 to entry: If required the turbine governing system participates in grid control over the primary and/or 385
- secondary frequency control mode (see Annex B).

IEC CDV 61362/Ed3 © IEC:202	EC CD
-----------------------------	-------

	1	3	
_	- 1	٠,٦	_

4/469/CDV

387 3.2.2 Control modes at the turbine governing le	3. 2.2	87 3.2.2 Co i	ntrol modes	at the	turbine	governing	leve
---	---------------	----------------------	-------------	--------	---------	-----------	------

- 388 Note 2 to entry: The scope of this standard is restricted to the turbine governing level. Additionally some remarks
- about the control loops of the plant level and about primary and secondary frequency control (see Annex B) are made
- for better understanding without making a claim to be complete.
- 391 **3.2.2.1 Speed control**
- Mode of the governing system dealing with the control of the speed of the turbine.
- 393 3.2.2.2 Power output control
- Mode of the governing system dealing with the control of the power output of the generator.
- 395 3.2.2.3 Water level control
- 396 Mode of the governing system dealing with the control of the water level of the headwater
- 397 reservoir.
- 398 3.2.2.4 Opening control
- Mode of the governing system dealing with the control of the position of the main actuator(s) of
- 400 the turbine.

- 401 **3.2.2.5** Flow control
- Mode of the governing system dealing with the control of the flow through the turbine.
- 403 3.2.3 Main Operation modes and ards. iteh. ai)
- 404 3.2.3.1 No-load operation
- Mode of the governing system when the unit is not connected to a grid. 444a-9809-
- 791c7feb6ced/osist-pren-iec-61362-2023
- 406 3.2.3.2 Island operation
- 408 Operation of a generating unit that is interconnected with a relatively small number of other
 - generating units, such as may occur after inadvertent tripping of circuit breakers that
 - 410 interconnect the island with a large interconnected power system.
 - 411 3.2.3.3 Isolated operation
 - 412 3.2.3.4 A specific case of islanded operation consisting of a single generating
 - 413 unit.Grid operation
 - 414 Mode of the governing system when the unit is connected to a stable grid.
 - 415 3.3 Terms and definitions from control theory
 - 416 3.3.1 Differential equation
 - Equation describing the dynamic system behaviour in the time-domain, as shown in Annex A
 - 418 3.3.2 Transient response
 - System response (output) to a step change of the input