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**Information technology —  
Security techniques — Encryption  
algorithms —**

**Part 4:  
Stream ciphers**

**AMENDMENT 1: ZUC**  
**(standards.iteh.ai)**

*Technologies de l'information — Techniques de sécurité —  
Algorithmes de chiffrement —*

*Partie 4: Chiffrements en flot*

<https://standards.iteh.ai/catalog/standards/sist/abac2d8f-3e75-4b24-8875-5bc366c52020/iso-iec-18033-4-2011/amd-1-2020>

**AMENDMENT 1: ZUC-amd-1-2020**



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[ISO/IEC 18033-4:2011/Amd 1:2020](https://standards.iteh.ai/catalog/standards/sist/abac2d8f-3e75-4b24-8875-5bc366c52e31/iso-iec-18033-4-2011-amd-1-2020)  
<https://standards.iteh.ai/catalog/standards/sist/abac2d8f-3e75-4b24-8875-5bc366c52e31/iso-iec-18033-4-2011-amd-1-2020>



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# Information technology — Security techniques — Encryption algorithms —

## Part 4: Stream ciphers

### AMENDMENT 1: ZUC

#### *Introduction*

Change the last paragraph as follows:

This document includes six dedicated keystream generators:

- MUGI keystream generator;
- SNOW 2.0 keystream generator;
- Rabbit keystream generator;
- Decim<sup>v2</sup> keystream generator;
- KCipher-2 (K2) keystream generator; and
- ZUC keystream generator.

#### 4.1

Add the following symbols:

- |        |   |
|--------|---|
| $L_1$  | Linear transform with index 1 used for ZUC. |
| $L_2$  | Linear transform with index 2 used for ZUC. |
| $SS$   | Subfunction used for ZUC.                   |
| $SUB1$ | Lookup table with index 1 used for ZUC.     |
| $SUB2$ | Lookup table with index 2 used for ZUC.     |

#### 8.6

Add new subclause 8.6 as follows:

### **8.6 ZUC keystream generator**

#### **8.6.1 Introduction to ZUC**

ZUC is a keystream generator which uses as input a 128-bit secret key  $K$  and a 128-bit initialization vector  $IV$ . These are used to initialize state variables  $S_i$  ( $i \geq 0$ ). The bit/byte order is big-endian, i.e., if the key and initialization vector are given as a sequence of bits/bytes, the first/leftmost bit/byte is the

most significant bit/byte of the corresponding data. It outputs a 32-bit keystream  $Z_i$  at every iteration of the function  $Strm$ .

The state variable  $S_i$  consists of two components. The first consists of sixteen 31-bit variables:

$$A^{(i)} = (A_{15}^{(i)}, A_{14}^{(i)}, \dots, A_0^{(i)}),$$

and maintains the state of a linear feedback shift register. The second consists of two 32-bit variables:

$$R^{(i)} = (R_2^{(i)}, R_1^{(i)}),$$

that maintains the state of a finite state machine. ZUC is summarised in Figure 15, which shows a snapshot if its operation, at time  $i$ , omitting the time-dependent variable  $(i)$  from the notation.

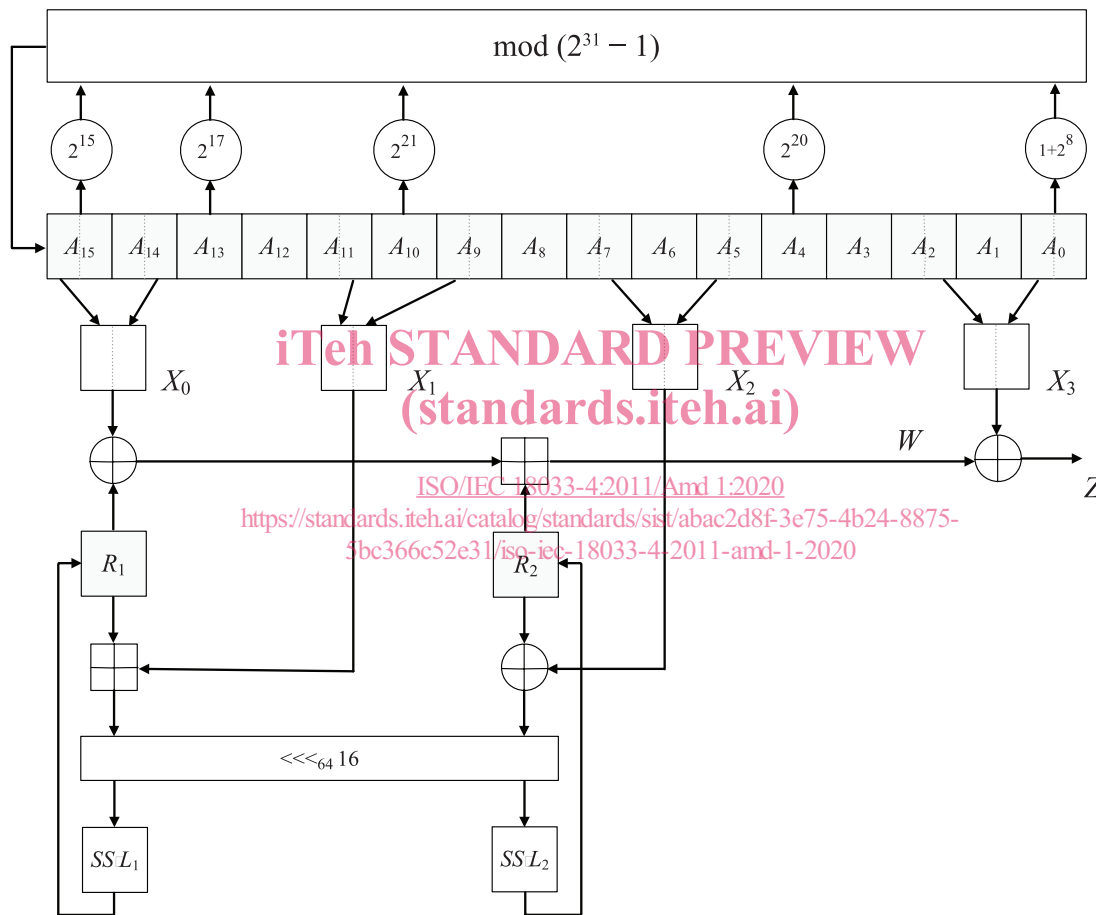


Figure 15 — Schematic drawing of ZUC

The *Init* function, defined in detail in 8.6.2, takes as input the 128-bit key  $K$  and the 128-bit initialization vector  $IV$ , and produces the initial value of the state variable  $S_0 = (A^{(0)}, R^{(0)})$ .

The *Next* function, defined in detail in 8.6.3, takes as input the state variable  $S_i = (A^{(i)}, R^{(i)})$  and produces as output the next value of the state variable  $S_{i+1} = (A^{(i+1)}, R^{(i+1)})$ . The *Next* function runs in two modes, depending on whether the iteration performed is part of the initialization mode or of the normal mode of generating output.

The *Strm* function, defined in detail in 8.6.4, takes as input the state variable  $S_i = (A^{(i)}, R^{(i)})$  and produces as output the 32-bit keystream  $Z_i$ .

NOTE See document [20] for theoretical background on the design rationale for ZUC.

A 240-bit constant  $D = d_0 \parallel d_1 \parallel \dots \parallel d_{15}$  used in ZUC:

$d_0 = 1000100110101111$ ,  $d_1 = 0100110101111100$ ,  $d_2 = 1100010011010111$ ,  $d_3 = 0010011010111110$ ,  
 $d_4 = 101011110001001$ ,  $d_5 = 011010111100010$ ,  $d_6 = 111000100110101$ ,  $d_7 = 0001001101011111$ ,  
 $d_8 = 100110101111000$ ,  $d_9 = 010111100010011$ ,  $d_{10} = 110101111000100$ ,  $d_{11} = 001101011110001$ ,  
 $d_{12} = 101111000100110$ ,  $d_{13} = 011110001001101$ ,  $d_{14} = 111100010011010$ ,  $d_{15} = 100011110101100$ ,  
 where for  $i = 0, 1, \dots, 15$ ,  $d_i$  is a 15-bit variable in binary notation.

The description uses notations defined in Clause 4 of this part of ISO/IEC 18033. For a string  $A$  which has at least 16 bits, the notation  $A_H$  represents the leftmost 16 bits of  $A$  and the notation  $A_L$  represents the rightmost 16 bits of  $A$ . For example, if  $A = 1000100110111110111110101111001$  is a 31-bit string, then  $A_H = 1000100110111110$  and  $A_L = 0111110101111001$ .

### 8.6.2 Initialization function *Init*

The Initialization function *Init* is as follows.

Input: 128-bit key  $K$ , 128-bit initialization vector  $IV$ .

Output: Initial value of state variable  $S_0 = (A^{(0)}, R^{(0)})$ .

- a) Initialize the state variable  $S_{.33}$  with the key  $K$ , the 128-bit initialization vector  $IV$  and the constant  $D$ .
  - Set  $(k_0, k_1, \dots, k_{15}) = K$ ;  $(iv_0, iv_1, \dots, iv_{15}) = IV$ , where  $k_i$  and  $iv_i$  are bytes for  $i = 0, 1, \dots, 15$ .
  - Set  $A_i^{(-33)} = k_i \parallel d_i \parallel iv_i$  for  $i = 0, 1, \dots, 15$ .
  - Set  $R_1^{(-33)} = R_2^{(-33)} = 0^{(32)}$ .
- b) Set  $S_{.1} = \text{Next}^{32}(S_{.33}, \text{INIT})$ , where  $\text{Next}^{32}$  denotes 32 iterations of the *Next* function.
- c) Set  $S_0 = \text{Next}(S_{.1}, \text{null})$ .
- d) Output  $S_0$ .

### 8.6.3 Next-state function *Next*

The *Next* function has two modes, and is defined as follows.

Input: State variable  $S_i = (A^{(i)}, R^{(i)})$ , mode  $\in \{\text{INIT}, \text{null}\}$ .

Output: Next value of the state variable  $S_{i+1} = (A^{(i+1)}, R^{(i+1)})$ .

Local variables: 32-bit strings  $W, W_1, W_2, X_0, X_1, X_2$  and 31-bit string  $V$ .

- a) Set  $X_0 = A_{15}^{(i)} \parallel A_{14}^{(i)}$ ;  $X_1 = A_{11}^{(i)} \parallel A_9^{(i)}$ ;  $X_2 = A_7^{(i)} \parallel A_5^{(i)}$ .
- b) Set  $W = (X_0 \oplus R_1^{(i)}) +_{32} R_2^{(i)}$ ;  $W_1 = R_1^{(i)} +_{32} X_1$ ;  $W_2 = R_2^{(i)} \oplus X_2$ ;  $R_1^{(i+1)} = \text{SS}(L_1(W_{1L} \parallel W_{2H}))$ ;  $R_2^{(i+1)} = \text{SS}(L_2(W_{2L} \parallel W_{1H}))$ .
- c) Set  $V = 2^{15}A_{15}^{(i)} + 2^{17}A_{13}^{(i)} + 2^{21}A_{10}^{(i)} + 2^{20}A_4^{(i)} + (1+2^8)A_0^{(i)} \pmod{2^{31}-1}$ .
- d) If mode = INIT, set  $A_{15}^{(i+1)} = V + (31 \sim W) \pmod{2^{31}-1}$ . Otherwise, set  $A_{15}^{(i+1)} = V$ . If  $A_{15}^{(i+1)} = 0$ , set  $A_{15}^{(i+1)} = 2^{31}-1$ .
- e) Set  $A_j^{(i+1)} = A_{j+1}^{(i)}$  for  $j = 0, 1, \dots, 14$ .
- f) Set  $S_{i+1} = (A^{(i+1)}, R^{(i+1)})$ .
- g) Output  $S_{i+1}$ .

NOTE For two 31-bit strings  $a$  and  $b$ , if  $b = 2^i$ , then  $ab \bmod (2^{31}-1) = a \lll_{31} i \bmod (2^{31} - 1)$ ; if  $b = 2^i + 2^j$ , then  $ab \bmod (2^{31}-1) = (a \lll_{31} i) + (a \lll_{31} j) \bmod (2^{31} - 1)$ . Reference C code for ZUC is given in document [21].

**8.6.4 Keystream function  $Strm$**

The keystream function  $Strm$  is as follows:

Input: State variable  $S_i$ .

Output: 32-bit keystream  $Z_i$ .

Local variables: 32-bit strings  $X_0, X_3$ .

- a) Set  $X_0 = A_{15}^{(i)}_H \parallel A_{14}^{(i)}_L; X_3 = A_2^{(i)}_L \parallel A_0^{(i)}_H$ .
- b) Set  $Z_i = ((X_0 \oplus R_1^{(i)}) +_{32} R_2^{(i)}) \oplus X_3$ .
- c) Output  $Z_i$ .

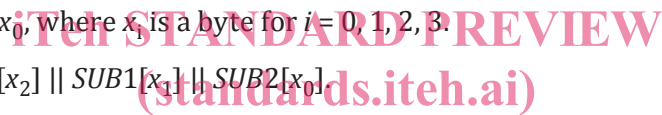
**8.6.5 Function  $SS$**

The function  $SS$  is as follows:

Input: 32-bit string  $X$ .

Output: 32-bit string  $Y$ .

- Define  $X = x_3 \parallel x_2 \parallel x_1 \parallel x_0$ , where  $x_i$  is a byte for  $i = 0, 1, 2, 3$ .
- Set  $Y = SUB1[x_3] \parallel SUB2[x_2] \parallel SUB1[x_1] \parallel SUB2[x_0]$ .
- Output  $Y$ .



The functions  $SUB1$  and  $SUB2$  are defined by the following substitution tables:  
<https://standards.iteh.ai/catalog/standards/sist/5c366c52e31f-4b24-8875-5bc366c52e31/iso-iec-18033-4-2011-amd-1-2020>

```
SUB1 [256] = {
0x3e, 0x72, 0x5b, 0x47, 0xca, 0xe0, 0x00, 0x33, 0x04, 0xd1, 0x54, 0x98, 0x09, 0xb9, 0x6d, 0xcb,
0x7b, 0x1b, 0xf9, 0x32, 0xaf, 0x9d, 0x6a, 0xa5, 0xb8, 0x2d, 0xfc, 0x1d, 0x08, 0x53, 0x03, 0x90,
0x4d, 0x4e, 0x84, 0x99, 0xe4, 0xce, 0xd9, 0x91, 0xdd, 0xb6, 0x85, 0x48, 0x8b, 0x29, 0x6e, 0xac,
0xcd, 0xc1, 0xf8, 0x1e, 0x73, 0x43, 0x69, 0xc6, 0xb5, 0xbd, 0xfd, 0x39, 0x63, 0x20, 0xd4, 0x38,
0x76, 0x7d, 0xb2, 0xa7, 0xcf, 0xed, 0x57, 0xc5, 0xf3, 0x2c, 0xbb, 0x14, 0x21, 0x06, 0x55, 0x9b,
0xe3, 0xef, 0x5e, 0x31, 0x4f, 0x7f, 0x5a, 0xa4, 0x0d, 0x82, 0x51, 0x49, 0x5f, 0xba, 0x58, 0x1c,
0x4a, 0x16, 0xd5, 0x17, 0xa8, 0x92, 0x24, 0x1f, 0x8c, 0xff, 0xd8, 0xae, 0x2e, 0x01, 0xd3, 0xad,
0x3b, 0x4b, 0xda, 0x46, 0xeb, 0xc9, 0xde, 0x9a, 0x8f, 0x87, 0xd7, 0x3a, 0x80, 0x6f, 0x2f, 0xc8,
0xb1, 0xb4, 0x37, 0xf7, 0x0a, 0x22, 0x13, 0x28, 0x7c, 0xcc, 0x3c, 0x89, 0xc7, 0xc3, 0x96, 0x56,
0x07, 0xbf, 0x7e, 0xf0, 0x0b, 0x2b, 0x97, 0x52, 0x35, 0x41, 0x79, 0x61, 0xa6, 0x4c, 0x10, 0xfe,
0xbc, 0x26, 0x95, 0x88, 0x8a, 0xb0, 0xa3, 0xfb, 0xc0, 0x18, 0x94, 0xf2, 0xe1, 0xe5, 0xe9, 0x5d,
0xd0, 0xdc, 0x11, 0x66, 0x64, 0x5c, 0xec, 0x59, 0x42, 0x75, 0x12, 0xf5, 0x74, 0x9c, 0xaa, 0x23,
0x0e, 0x86, 0xab, 0xbe, 0x2a, 0x02, 0xe7, 0x67, 0xe6, 0x44, 0xa2, 0x6c, 0xc2, 0x93, 0x9f, 0xf1,
0xf6, 0xfa, 0x36, 0xd2, 0x50, 0x68, 0x9e, 0x62, 0x71, 0x15, 0x3d, 0xd6, 0x40, 0xc4, 0xe2, 0x0f,
0x8e, 0x83, 0x77, 0x6b, 0x25, 0x05, 0x3f, 0x0c, 0x30, 0xea, 0x70, 0xb7, 0xa1, 0xe8, 0xa9, 0x65,
0x8d, 0x27, 0x1a, 0xdb, 0x81, 0xb3, 0xa0, 0xf4, 0x45, 0x7a, 0x19, 0xdf, 0xee, 0x78, 0x34, 0x60};
```

```
SUB2 [256] = {
0x55, 0xc2, 0x63, 0x71, 0x3b, 0xc8, 0x47, 0x86, 0x9f, 0x3c, 0xda, 0x5b, 0x29, 0xaa, 0xfd, 0x77,
0x8c, 0xc5, 0x94, 0x0c, 0xa6, 0x1a, 0x13, 0x00, 0xe3, 0xa8, 0x16, 0x72, 0x40, 0xf9, 0xf8, 0x42,
0x44, 0x26, 0x68, 0x96, 0x81, 0xd9, 0x45, 0x3e, 0x10, 0x76, 0xc6, 0xa7, 0x8b, 0x39, 0x43, 0xe1,
0x3a, 0xb5, 0x56, 0x2a, 0xc0, 0x6d, 0xb3, 0x05, 0x22, 0x66, 0xbf, 0xdc, 0x0b, 0xfa, 0x62, 0x48,
0xdd, 0x20, 0x11, 0x06, 0x36, 0xc9, 0xc1, 0xcf, 0xf6, 0x27, 0x52, 0xbb, 0x69, 0xf5, 0xd4, 0x87,
0x7f, 0x84, 0x4c, 0xd2, 0x9c, 0x57, 0xa4, 0xbc, 0x4f, 0x9a, 0xdf, 0xfe, 0xd6, 0x8d, 0x7a, 0xeb,
0x2b, 0x53, 0xd8, 0x5c, 0xa1, 0x14, 0x17, 0xfb, 0x23, 0xd5, 0x7d, 0x30, 0x67, 0x73, 0x08, 0x09,
0xee, 0xb7, 0x70, 0x3f, 0x61, 0xb2, 0x19, 0x8e, 0x4e, 0xe5, 0x4b, 0x93, 0x8f, 0x5d, 0xdb, 0xa9,
0xad, 0xf1, 0xae, 0x2e, 0xcb, 0x0d, 0xfc, 0xf4, 0x2d, 0x46, 0x6e, 0x1d, 0x97, 0xe8, 0xd1, 0xe9,
0x4d, 0x37, 0xa5, 0x75, 0x5e, 0x83, 0x9e, 0xab, 0x82, 0x9d, 0xb9, 0x1c, 0xe0, 0xcd, 0x49, 0x89,
0x01, 0xb6, 0xbd, 0x58, 0x24, 0xa2, 0x5f, 0x38, 0x78, 0x99, 0x15, 0x90, 0x50, 0xb8, 0x95, 0xe4,
0xd0, 0x91, 0xc7, 0xce, 0xed, 0x0f, 0xb4, 0x6f, 0xa0, 0xcc, 0xf0, 0x02, 0x4a, 0x79, 0xc3, 0xde,
0xa3, 0xef, 0xea, 0x51, 0xe6, 0x6b, 0x18, 0xec, 0x1b, 0x2c, 0x80, 0xf7, 0x74, 0xe7, 0xff, 0x21,
0x5a, 0x6a, 0x54, 0x1e, 0x41, 0x31, 0x92, 0x35, 0xc4, 0x33, 0x07, 0x0a, 0xba, 0x7e, 0x0e, 0x34,
```



0x88, 0xb1, 0x98, 0x7c, 0xf3, 0x3d, 0x60, 0x6c, 0x7b, 0xca, 0xd3, 0x1f, 0x32, 0x65, 0x04, 0x28, 0x64, 0xbe, 0x85, 0x9b, 0x2f, 0x59, 0x8a, 0xd7, 0xb0, 0x25, 0xac, 0xaf, 0x12, 0x03, 0xe2, 0xf2}.

### 8.6.6 Linear transforms $L_1$ and $L_2$

Both  $L_1$  and  $L_2$  are linear transforms of 32-bit strings, defined as follows:

$$L_1(X) = X \oplus (X \lll_{32} 2) \oplus (X \lll_{32} 10) \oplus (X \lll_{32} 18) \oplus (X \lll_{32} 24),$$

$$L_2(X) = X \oplus (X \lll_{32} 8) \oplus (X \lll_{32} 14) \oplus (X \lll_{32} 22) \oplus (X \lll_{32} 30).$$

#### Annex A

Replace the object identifiers in Annex A as follows:

```

EncryptionAlgorithms-4 {
  iso(1) standard(0) encryption-algorithms(18033) part(4)
    asn1-module(0) algorithm-object-identifiers(0) }
  DEFINITIONS EXPLICIT TAGS ::= BEGIN

-- EXPORTS All; --

-- IMPORTS None; --

OID ::= OBJECT IDENTIFIER -- Alias

-- Synonyms --
is18033-4 OID ::= { iso(1) standard(0) is18033(18033) part4(4) }
id-kg OID ::= { is18033-4 keystream-generator(1) }
id-scmode OID ::= { is18033-4 stream-cipher-mode(2) }

-- Assignments --
id-kg-mugi OID ::= { id-kg mugi(1) }
id-kg-snow OID ::= { id-kg snow(2) }
id-kg-rabbit OID ::= { id-kg rabbit(3) }
id-kg-decim2 OID ::= { id-kg decim2(4) }
id-kg-k2 OID ::= { id-kg k2(5) }
id-kg-zuc OID ::= { id-kg zuc(6) }

id-scmode-additive OID ::= { id-scmode additive(1) }
id-scmode-multis01 OID ::= { id-scmode multis01(2) }

-- Algorithms and parameters --

StreamCipher ::= AlgorithmIdentifier {{ StreamCipherAlgorithms }}

StreamCipherAlgorithms ALGORITHM ::= {
  additiveStreamCipher |
  multiS01StreamCipher,
... -- Expect additional algorithms --
}

additiveStreamCipher ALGORITHM ::= {
  OID id-scmode-additive PARMS AdditiveStreamCipherParameters
}

AdditiveStreamCipherParameters ::= KeyGenerator

multiS01StreamCipher ALGORITHM ::= {
  OID id-scmode-multis01 PARMS MultiS01StreamCipherParameters
}

MultiS01StreamCipherParameters ::= SEQUENCE {
  keyGenerator KeyGenerator,

```