TECHNICAL REPORT

ISO/IEC TR 49794

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Information technology — Transition examples from the ISO/IEC 19794:2005 series to the ISO/IEC 39794 series for ID documents

Technologies de l'information — Exemples de passage de la série ISO/IEC 19794:2005 à la série ISO/IEC 39794 pour les documents d'identité

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Foreword

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This document was prepared by Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 37, *Biometrics*.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html and www.iso.org/members.html</a

Introduction

Face images, fingerprints and iris patterns have been used for many decades to verify the identity of individuals. In recent years, digital face images have been used in many applications of automated face recognition. Photographic formats are standardized, for example for electronic machine-readable travel documents (eMRTDs), identity documents and driver's licences.

Biometric data interchange formats enable the interoperability of different biometric systems. The first generation of biometric data interchange formats was published in 2005 with the first edition of the ISO/IEC 19794 series. From 2011 onwards, the second generation of biometric data interchange formats was published, in which new data elements related to biometric sample quality were added and header data structures were harmonized across all parts of the ISO/IEC 19794 series, along with XML (Extensible Markup Language) encoding.

To meet new and emerging market demands and to avoid future compatibility issues, ISO/IEC JTC 1/SC 37 developed the ISO/IEC 39794 series. This was the third generation of biometric data interchange formats, defining extensible biometric data interchange formats capable of including future extensions in a structured manner. Extensible specifications in ASN.1 (Abstract Syntax Notation One) and the Distinguished Encoding Rules of ASN.1 form the basis for encoding biometric data in binary tag-length-value formats. XML Schema Definitions form the basis for encoding biometric data in XML.

The extended and new data formats documented in the ISO/IEC 39794 series specify application-specific profiles. The structure of the data format in this series is not backward compatible with the previous generations. However, this new generation addresses, for the first time, a mechanism for maintaining future extensions in a backwards and forwards compatible manner.

This document, ISO/IEC TR 49794, is intended to assist organizations in moving from the first edition of the ISO/IEC 19794 series (2005) to the current edition of the ISO/IEC 39794 series (2019) for ID documents by providing transition examples.

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Information technology — Transition examples from the ISO/IEC 19794:2005 series to the ISO/IEC 39794 series for ID documents

1 Scope

This document provides transition examples from ISO/IEC 19794-4:2005 and ISO/IEC 19794-5:2005 formats to ISO/IEC 39794-4:2019 and ISO/IEC 39794-5:2019 formats for eMRTD application. This document also provides an implementation example for the ISO/IEC 39794-6:2021 format.

This document includes:

- information for eMRTD issuers and eMRTD-reader vendors;
- summarized tables of data elements of ISO/IEC 19794-4:2005 and ISO/IEC 19794-5:2005 and ISO/IEC 39794-4:2019, ISO/IEC 39794-5:2019 and ISO/IEC 39794-6:2021;
- correspondence tables of data elements between ISO/IEC 19794-4:2005 and ISO/IEC 19794-5:2005 and ISO/IEC 39794-4:2019 and ISO/IEC 39794-5:2019, providing:
 - information on whether each data element is normative or optional, and
 - a brief note of each data element from the viewpoint of transition;
- tag, length, value (TLV) data examples of ISO/IEC 39794-4:2019, ISO/IEC 39794-5:2019 and ISO/IEC 39794-6:2021 for implementation, and $_{0.02,0000}$
- tag lists of ISO/IEC 39794-4:2019, ISO/IEC 39794-5:2019 and ISO/IEC 39794-6:2021, and an extended example of ISO/IEC 39794-5 as informative annexes.

The following are not within the scope of this document:

- second and later editions of the ISO/IEC 19794 series (2011 and after), and,
- ASN.1 formats and XML formats specified in the ISO/IEC 39794 series.

2 Normative references

There are no normative references in this document.

3 Terms and definitions

No terms and definitions are listed in this document.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at https://www.iso.org/obp
- IEC Electropedia: available at https://www.electropedia.org/

4 Symbols and abbreviated terms

BDB biometric data block

BHT biometric header template

CBEFF Common Biometric Exchange Format Framework

DER distinguished encoding rules

DG data group

DO ber-tlv data object

eMRTD electronic machine-readable travel document

LDS logical data structure

PID product identifier

TLV tag, length, value

5 Transition of biometric data groups defined in Doc 9303-10

5.1 Data groups for biometric data defined in Doc 9303-10

Doc 9303-10 specifies the LDS of eMRTDs. This includes DG 2 for face (mandatory), DG 3 for fingerprint (optional) and DG 4 for iris (optional). Each DG contains biometric data encoded in accordance with the relevant International Standards in order to maintain international interoperability.

DG 2 is TLV encoding and its tag is shown in Table 1 (see also Doc 9303-10:2021, Table 43). A Biometric Information Template Group Template is DO '7F61' as shown in Table 2, which is located under DO '75' (see Doc 9303-10:2021, Table 44). DO '88' (light grey-highlighted) in Table 2 is a format type; the number assigned respectively to the biometric data formats is stored in it. DO '5F2E' or DO '7F2E' (dark grey-highlighted) contain biometric data. If this biometric data is plain binary data, as in ISO/IEC 19794-5:2005 encoding, DO '5F2E' is chosen. If it is a constructed DO, as in ISO/IEC 39794-5 encoding, DO '7F2E' is chosen.

Table 1 — Data group 2 — Tags of logical data structure (SOURCE: Doc 9303-10:2021, Table 43)

Tag	L	Value
75	Var	See encoding of EF.DG2 (<u>Table 2</u>)

Table 2 — Data group 2 — Biometric encoding tags of logical data structure (SOURCE: Doc 9303-10:2021, Table 44)

Tag	L		Value								
7F61	Var	Biometr	Siometric information template group template								
		Tag	Tag L Value								
		02	01	Integer — Number of instances of this type of biometric							
		7F60	Var	1st Biome	etric infor	mation template					
			Tag	L		Value					
			A1	Var Biometric header template (BHT)							
				Tag	^ ` `						

NOTE In case of '5F2E', the biometric data block is encoded according to the format owner and format type. In case of '7F2E', the biometric data template is defined in ISO/IEC 7816-11.

Table 2 (continued)

		80	02	ICAO header version 0101 (Optional) – Version of the CBEFF patron header format
		81	01-03	Biometric type (Optional)
		82	01	Biometric subtype (Optional for DG2)
		83	07	Creation date and time (Optional)
		85	08 Validity period (from through) (Optional)	
		86	04	Creator of the biometric reference data (PID) (Optional)
		87	02	Format owner (REQUIRED)
		88	02	Format type (REQUIRED)
	5F2E or 7F2E	Var	Biometric data (encoded according to format owner) also called the biometric data block (BDB)	

NOTE In case of '5F2E', the biometric data block is encoded according to the format owner and format type. In case of '7F2E', the biometric data template is defined in ISO/IEC 7816-11.

DG 3 has the same structure as DG 2 and its details are shown in <u>Table 3</u> (see Doc 9303-10:2021, Table 46) and <u>Table 4</u> (see Doc 9303-10:2021, Table 47). The roles of DO '88' and DO '5F2E' / DO '7F2E' are identical to those of DG 2.

Table 3 — Data group 3 — Tags of logical data structure (SOURCE: Doc 9303-10:2021, Table 46)

Tag	CIL	Value
63	Var	See encoding of EF.DG3 (<u>Table 4</u>)

Table 4 — Data group 3 — Biometric encoding tags of logical data structure (SOURCE: Doc 9303-10:2021, Table 47)

Tag	standard	s.iteh.ai/c	atalog/sta	ındards/s	ıst/94e32	310-C Value 902-9008-130562663b01/iso-	
7F61	Var	Biometri	c informa	ation template group template			
		Tag	L	Value			
		02	01	Integer – Number of instances of this type of biometric			
		7F60	Var	1st Biom	etric infor	rmation template	
			Tag	L		Value	
			A1	Var	Biometri	ic header template (BHT)	
				Tag L Value			
				80	02	ICAO header version 0101 (Optional) – Version of the CBEFF patron header format	
				81	01-03	Biometric type (Optional)	
				82	01	Biometric subtype (REQUIRED for DG 3)	
				83	07	Creation date and time (Optional)	
				85	08	Validity period (from through) (Optional)	
				86	04	Creator of the biometric reference data (PID) (Optional)	
				87	02	Format owner (REQUIRED)	
				88 02 Format type (REQUIRED)			
			5F2E or 7F2E	Var Biometric data (encoded according to format owner) also called the biometric data block (BDB)			
		Tag	L			Value	

NOTE In case of '5F2E' the biometric data block is encoded according to the format owner and format type. In case of '7F2E', the biometric data template is defined in ISO/IEC 7816-11.

Table 4 (continued)

	7F60	Var	2 nd Biom	etric info	rmation template	
		Tag	L	Value		
		A1	Var	Biometri	c header template (BHT)	
			Tag	L	Value	
			80	02	ICAO header version 0101 (Optional) – Version of the CBEFF patron header format	
			81	01-03	Biometric type (Optional)	
			82	01	Biometric subtype (REQUIRED for DG 3)	
			83	07	Creation date and time (Optional)	
			85	08	Validity period (from through) (Optional)	
			86	04	Creator of the biometric reference data (PID) (Optional)	
			87	02	Format owner (REQUIRED)	
			88	02	Format type (REQUIRED)	
		5F2E or 7F2E	Var	Biometric data (encoded according to format owner) also called the biometric data block (BDB)		

NOTE In case of '5F2E' the biometric data block is encoded according to the format owner and format type. In case of '7F2E', the biometric data template is defined in ISO/IEC 7816-11.

DG 4 also has the same structure as DG 2 and its details are shown in <u>Table 5</u> (see Doc 9303-10:2021, Table 53) and <u>Table 6</u> (see Doc 9303-10:2021, Table 54). The roles of DO '88' and DO '5F2E' / DO '7F2E' are identical to those of DG 2.

Table 5 — Data group 4 — Tags of logical data structure (SOURCE: Doc 9303-10:2021, Table 53)

	Tag	L	ISO/IEC TR Value 2022
http	s://761110	arvarte	See Biometric encoding of EF.DG4 (<u>Table 6</u>)

Table 6 — Data group 4 — Biometric encoding tags of logical data structure (SOURCE: Doc 9303-10:2021, Table 54)

Tag	L		Value							
7F61	Var	Biometri	c informa	tion template group template						
		Tag	L		Value					
		02	01	Integer -	– Number	r of instances of this type of biometric				
		7F60	Var	1st Biome	etric infor	mation template				
			Tag	L		Value				
			A1	Var	Biometri	ic header template (BHT)				
				Tag	L Value					
				80	02	ICAO header version 0101 (Optional) — Version of the CBEFF patron header format				
				81	01-03	Biometric type (Optional)				
				82	01	Biometric subtype (REQUIRED for DG 4)				
				83	07	Creation date and time (Optional)				
				85	08	Validity period (from through) (Optional)				
				86	86 Creator of the biometric reference data (PID) (Optional)					
				87	02	Format owner (REQUIRED)				

NOTE In case of '5F2E' the biometric data block is encoded according to the format owner and format type. In case of '7F2E', the biometric data template is defined in ISO/IEC 7816-11.

Table 6 (continued)

			88	02	Format type (REQUIRED)
		5F2E or 7F2E	Var	Biometric data (encoded according to format owner) also called the biometric data block (BDB)	
	Tag	L			Value
	7F60	Var	2 nd Biom	etric info	rmation template
		Tag	L		Value
		A1	Var	Biometri	ic header template (BHT)
			Tag	L	Value
			80	02 ICAO header version 0101 (Optional) — Version of the CBEFF patron header format	
			81	01-03	Biometric type (Optional)
			82	01	Biometric subtype (REQUIRED for DG 4)
			83	07	Creation date and time (Optional)
			85	08	Validity period (from through) (Optional)
			86	04	Creator of the biometric reference data (PID) (Optional)
			87	02 Format owner (REQUIRED)	
			88	02 Format type (REQUIRED)	
i	Teh	5F2E or 7F2E	Var		ic data (encoded according to format owner) also called etric data block (BDB)

NOTE In case of '5F2E' the biometric data block is encoded according to the format owner and format type. In case of '7F2E', the biometric data template is defined in ISO/IEC 7816-11.

5.2 Data groups for biometric data using the ISO/IEC 39794 series

eMRTD issuers essentially maintain the data structures as shown in Table 7, Table 10 and Table 12.

DG 2 is shown in <u>Table 7</u>. DO '88' (light grey-highlighted) in <u>Table 7</u> is the identifier of format type, which indicates ISO/IEC 39794-5:2019 instead of ISO/IEC 19794-5:2005 (see <u>Table 8</u>).

Table 7 — Data group 2 — Biometric encoding tags of logical data structure for ISO/IEC 39794-5:2019

Tag	L					Va	alue		Notes
7F61	Var.	Biome	tric in	format	ion ter	nplate	group	template	
		Tag	L				Va	ılue	
		02	01	Intege etric	er — N	umber	of inst	ances of this type of biom-	
		7F60	Var.	1st Bio	ometri	infori	mation	template	
				Tag	L	Value)		
				A1	Var.	Biome	etric he	ader template (BHT)	
						Tag	L	Value	
						80	02	ICAO header version 0101 (Optional) – Version of the CBEFF patron header format	
						81	01-03	Biometric type (Optional)	
						82	01	Biometric subtype (Optional for DG 2)	

 Table 7 (continued)

				83	07	Creation date and time (Optional)	
				85	08	Validity period (from through) (Optional)	
				86	04	Creator of the biometric reference data (PID) (Optional)	
				87	02	Format owner (RE- QUIRED)	'0101' is stored, which is the ID of ISO/IEC JTC 1/SC 37
				88	02	Format type (REQUIRED)	'002A' is stored when ISO/ IEC 39794-5:2019 is used.
							See <u>Table 8</u> .
		Tag	L			Value	
		7F2E	Var.		etric da 316-11.	ta template defined in ISO/	'7F2E' is used when ISO/ IEC 39794-5:2019 is contained under it.
							See <u>Table 9</u> .

Table 8 — CBEFF BDB format types for face image data

Data group	Modality	International Standard No.	Format type		
Data group	Modanty	international Standard No.	Hex	Decimal	
DG 2	Eago	ISO/IEC 19794-5:2005	0x0008	8	
	Face	ISO/IEC 39794-5:2019	0x002A	42	

Biometric data encoded according to the ISO/IEC 19794 series (2005 edition) is stored in DO '5F2E'. Biometric data encoded according to the ISO/IEC 39794 series is constructed, so eMRTD issuers use DO '7F2E' instead of DO '5F2E' (see <u>Table 9</u>).

Table 9 — Tag number for storing biometric data in biometric information template

Tag International Standard No.						
5F2E	ISO/IEC 19794 series (2005 edition)					
7F2E	ISO/IEC 39794 series					

DG 3 is shown in <u>Table 10</u>. DO '88' (light grey high-lighted) in <u>Table 10</u> is the identifier of format type, which indicates ISO/IEC 39794-4 instead of ISO/IEC 19794-4:2005 (see <u>Table 11</u>).

Table 10 — Data group 3 — Biometric encoding tags of logical data structure for ISO/IEC 39794-4:2019

Tag	L					Va	Notes		
7F61	Var.	Biome	tric in	format	ion ten	nplate g	group	template	
		Tag	L				Va	alue	
		02	01	Intege etric	er — Nı	ımber	of inst	ances of this type of biom-	
		7F60	Var.	1 st Bio	metrio	inforn	nation	template	
				Tag	L	Value			
				A1	Var.	Biometric header template (BHT)			
						Tag	L	Value	

 Table 10 (continued)

								o (continued)	
						80	02	ICAO header version 0101 (Optional) — Version of the CBEFF patron header format	
						81	01-03	Biometric type (Optional)	
						82	01	Biometric subtype (RE- QUIRED for DG 3)	
						83	07	Creation date and time (Optional)	
						85	08	Validity period (from through) (Optional)	
						86	04	Creator of the biometric reference data (PID) (Optional)	
						87	02	Format owner (RE- QUIRED)	'0101' is stored, which is the ID of ISO/IEC JTC 1/SC 37
						88	02	Format type (REQUIRED)	'0028' is stored when ISO/ IEC 39794-4:2019 is used.
									See <u>Table 11</u> .
				Tag	L			Value	
		1	Teh	7F2E	Var.		etric da 316-11.	ta template defined in ISO/	'7F2E' is used when ISO/ IEC 39794-4:2019 is con- tained under it.
									See <u>Table 9</u> .
		Tag	L	01 5	т.			alue	
1-44-	~.//~t~~	7F60	Var.	/ 4		c intor	mation	template 22	21-5626-21-01/
http	ıs://staı	ndards	.iteh.a	Tag	g/ L an	uarus/	SISU94	32 Value 45-49c2-90b8-	3b5626c3b01/1so-
				A1	Var.	1	1	ader template (BHT)	
						Tag	L	Value	
						80	02	ICAO header version 0101 (Optional) — Version of the CBEFF patron header format	
						81	01-03	Biometric type (Optional)	
						82	01	Biometric subtype (RE- QUIRED for DG 3)	
						83	07	Creation date and time (Optional)	
						85	08	Validity period (from through) (Optional)	
						86	04	Creator of the biometric reference data (PID) (Optional)	
						87	02	Format owner (RE- QUIRED)	'0101' is stored, which is the ID of ISO/IEC JTC 1/SC 37
						88	02	Format type (REQUIRED)	'0028' is stored when ISO/ IEC 39794-4:2019 is used. See <u>Table 11</u> .
				Tag	L			Value	occ <u>rapic 11</u> .
	1	l	I	ıag	LL.			value	1

Table 10 (continued)

		7F2E	Var.	Biometric data template defined in ISO/	'7F2E' is used when ISO/ IEC 39794-4:2019 is con- tained under it.
					See <u>Table 9</u> .

Table 11 — CBEFF BDB format types for fingerprint image data

Data group	Modality	International Standard	Format type		
Data group	Modality	No.	Hex	Decimal	
DG 3	Einganneint	ISO/IEC 19794-4:2005	0x0007	7	
DG 3	Fingerprint	ISO/IEC 39794-4:2019	0x0028	40	

DG 4 is shown in Table 12. DO '88' (light grey highlighted) in Table 12 is the identifier of format type, which indicates ISO/IEC 39794-6 instead of ISO/IEC 19794-6:2005 (see Table 13).

Table 12 — Data group 4 — Biometric encoding tags of logical data structure for ISO/IEC 39794-6:2021

Tag	L					Notes			
7F61	Var.	Biome	tric in	format	ion ten				
		Tag	L		h 0		Va	alue DDD	717VV
		02	01	Intege etric	er — Ni	umber	of insta	ances of this type of biom-	
		7F60	Var.	1 st Bio	metri	infor	mation	template	
				Tag	L				
				A1	Var.	Biome	etric he	ader template (BHT)	
	ht	ps://sta	andard	ls.iteh.a	ai/cata	Tag	nd£rds	/sist/94e3_Value 945-49c2	-90b8-f3b5626c3b01/iso-
						80	02	ICAO header version 0101 (Optional) — Version of the CBEFF patron header format	
						81	01-03	Biometric type (Optional)	
						82	01	Biometric subtype (RE- QUIRED for DG 4)	
						83	07	Creation date and time (Optional)	
						85	08	Validity period (from through) (Optional)	
						86	04	Creator of the biometric reference data (PID) (Optional)	
						87	02	Format owner (RE- QUIRED)	'0101' is stored, which is the ID of ISO/IEC JTC 1/SC 37
						88	02	Format type (REQUIRED)	'002C' is stored when ISO/ IEC 39794-6:2021 is used.
									See <u>Table 13</u> .
				Tag	L			Value	
				7F2E	Var.	Biometric data template defined in ISO/IEC 7816-11.			'7F2E' is used when ISO/ IEC 39794-6:2021 is contained under it.
									See <u>Table 9</u> .

Table 12 (continued)

		Tag	L				Va		
		7F60	Var.	2 nd Bi	ometri	c infor	mation	template	
				Tag	L			Value	
				A1	Var.	Biome	etric he	ader template (BHT)	
						Tag	L	Value	
						80	02	ICAO header version 0101 (Optional) — Version of the CBEFF patron header format	
						81	01-03	Biometric type (Optional)	
						82	01	Biometric subtype (RE- QUIRED for DG 4)	
						83	07	Creation date and time (Optional)	
						85	08	Validity period (from through) (Optional)	
						86	04	Creator of the biometric reference data (PID) (Optional)	
		i	Tel	S	ГА	87	02	Format owner (RE-QUIRED)	'0101' is stored, which is ID of ISO/IEC JTC 1/SC 37
				()	sta	88	02	Format type (REQUIRED)	'002C' is stored when ISO/ IEC 39794-6:2021 is used.
									See <u>Table 13</u> .
				Tag	L	SO/IE	CTP	Value	
http	s://stai	ndards	.iteh.a	/catalo	g/stan Var.	Biometric data template defined in ISO/IEC 7816-11.			'7F2E' is used when ISO/ IEC 39794-6:2021 is con- tained under it.
									See <u>Table 9</u> .

Table 13 — CBEFF BDB format types for iris image data

Data group	Modality	International Standard	Forma	it type	Notes
	Modality	No.	Hex	Decimal	
DG 4		ISO/IEC 19794-6:2005	0x0009	9	Rectilinear coordi- nates
	Iris		0x000B	11	Polar coordinates
		ISO/IEC 39794-6:2021	0x002C	44	

ISO/IEC JTC 1/SC 17 is responsible for the biometric data template DO '7F2E', whereas the tag allocation authority of ISO/IEC 39794-4, ISO/IEC 39794-5 and ISO/IEC 39794-6 is ISO/IEC JTC 1/SC 37. For DOs that are nested into a biometric information template DO '7F60' and whose tag is not allocated by ISO/IEC JTC 1/SC 17, the tag allocation authority is ISO/IEC JTC 1/SC 37, because eMRTD implementation assigns ISO/IEC JTC 1/SC 37 as default tag allocation authority.

The ASN.1 module in <u>Annex A</u> describes the syntax of the biometric data template (DO '7F2E') in the LDS of eMRTDs, based on ISO/IEC 7816-11. The biometric data template is encoded by applying the ASN.1 distinguished encoding rules (DERs) defined in ISO/IEC 8825-1. <u>Table 14</u> shows the resulting encoding.

DO '7F2E' contains biometric data encoded in ISO/IEC 39794-4, ISO/IEC 39794-5 and ISO/IEC 39794-6, of which the top tag numbers are shown in <u>Table 15</u>. Examples of detailed data elements are described