



DRAFT International Standard

ISO/DIS 80601-2-74

Medical electrical equipment — Part 2-74: Particular requirements for basic safety and essential performance of respiratory humidifying equipment

Appareils électromédicaux —

Partie 2-74: Exigences particulières pour la sécurité de base et les performances essentielles des équipements d'humidification respiratoire

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This document is circulated as received from the committee secretariat.

This draft is submitted to a parallel vote in ISO and in IEC.

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51 Foreword

52 ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies
53 (ISO member bodies). The work of preparing International Standards is normally carried out through ISO
54 technical committees. Each member body interested in a subject for which a technical committee has been
55 established has the right to be represented on that committee. International organizations, governmental and
56 non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International
57 Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

58 The procedures used to develop this document and those intended for its further maintenance are described in
59 the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO
60 documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC
61 Directives, Part 2 (see www.iso.org/directives).

62 Attention is drawn to the possibility that some of the elements of this document may be the subject of patent
63 rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights
64 identified during the development of the document will be in the Introduction and/or on the ISO list of patent
65 declarations received (see www.iso.org/patents).

66 Any trade name used in this document is information given for the convenience of users and does not constitute
67 an endorsement.

68 For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions
69 related to conformity assessment, as well as information about ISO's adherence to the World Trade
70 Organization (WTO) principles in the Technical Barriers to Trade (TBT), see www.iso.org/iso/foreword.html.

71 This document was prepared jointly by Technical Committee ISO/TC 121, *Anaesthetic and respiratory*
72 *equipment*, Subcommittee SC 3, *Respiratory devices and related equipment used for patient care*, and Technical
73 Committee IEC/TC 62, *Medical equipment, software, and systems*, Subcommittee SC 62D, *Particular medical*
74 *equipment, software, and systems*, in collaboration with the European Committee for Standardization (CEN)
75 Technical Committee CEN/TC 215, *Respiratory and anaesthetic equipment*, in accordance with the Agreement
76 on technical cooperation between ISO and CEN (Vienna Agreement).

77 This third edition cancels and replaces the second edition (ISO 80601-2-74:2021), which has been technically
78 revised.

79 The main changes compared to the previous edition are as follows:

- 80 — updated normative references;
- 81 — added requirements for the fill *connector*; and
- 82 — clarified *system recovery* requirements.

83 A list of all parts in the ISO 80601 series and the IEC 80601 series can be found on the ISO and IEC websites.

84 Any feedback or questions on this document should be directed to the user's national standards body. A
85 complete listing of these bodies can be found at www.iso.org/members.html.

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86 **Introduction**

87 This document specifies requirements for respiratory humidifying equipment intended for use on *patients* in
 88 *home healthcare environment* and in professional healthcare environment. *Humidifiers* are used to raise the
 89 water content of gases delivered to *patients*. Gases available for medical use do not contain sufficient moisture
 90 and can damage or irritate the respiratory tract or desiccate secretions of *patients* whose upper airways have
 91 been bypassed. Inadequate humidity in the inspired gas can cause drying of the upper airway, or desiccation of
 92 tracheo-bronchial secretions in the tracheal or tracheostomy tube, which can cause narrowing or even
 93 obstruction of the airway^{[31] [45]}. Heat is employed to increase the water output of the *humidifier*.

94 In addition, many *humidifiers* utilize heated *breathing tubes* in order to increase operating efficiency and reduce
 95 water loss (condensate) as well as heat loss in the *breathing tube*. *Ventilator* and anaesthesia *breathing tubes* in
 96 common use might not withstand the heat generated by *humidifiers* and *breathing tube* heating mechanisms.

97 Many *humidifier manufacturers* use off-the-shelf electrical *connectors* for their electrically heated *breathing*
 98 *tubes*. However, since different *manufacturers* have used the same electrical *connector* for different power
 99 outputs, electrically heated *breathing tubes* can be physically, but not electrically, interchangeable. Use of
 100 improper electrically heated *breathing tubes* has caused overheating, circuit melting, *patient* and *operator*
 101 burns and fires. It was not found practical to specify the interface requirements for electrical *connectors* to
 102 ensure compatibility between *humidifiers* and *breathing tubes* produced by different *manufacturers*.

103 Since the safe use of a *humidifier* depends on the interaction of the *humidifier* with its many *accessories*, this
 104 document sets total system performance requirements up to the *patient-connection port*. These requirements
 105 are applicable to *accessories* such as *breathing tubes* (both heated and non-heated), temperature sensors and
 106 equipment intended to control the environment within these *breathing tubes*.

107 Humidification can also be used by respiratory support *ME equipment* to increase *patient* comfort and
 108 compliance with the therapy. Examples are obstructive sleep apnoea and nasal high-flow therapy equipment.
 109 The *humidification output* requirements of such *ME equipment* is less demanding as the *patient's* upper airway
 110 is not bypassed.

111 *Humidifiers* are commonly used with air and air-oxygen mixtures and any *humidifier* should be able to operate
 112 with these gases. Care should be taken if using other gas mixes such as helium-oxygen mixtures, as the different
 113 physical and thermal properties of these gases may disturb the operation of the *humidifier*.

114 In this document, the following print types are used:

- 115 — Requirements and definitions: roman type;
- 116 — *Test specifications and terms defined in Clause 3 of the general standard, in this document or as noted: italic*
 117 *type*;
- 118 — Informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative
 119 text of tables is also in a smaller type;

120 In referring to the structure of this document, the term

- 121 — “clause” means one of the five numbered divisions within the table of contents, inclusive of all subdivisions
 122 (e.g. Clause 201 includes subclauses 201.7, 201.8, etc.);
- 123 — “subclause” means a numbered subdivision of a clause (e.g. 201.7, 201.8 and 201.9 are all subclauses of
 124 Clause 201).

125 References to clauses within this document are preceded by the term “Clause” followed by the clause number.
 126 References to subclauses within this document are by number only.

127 In this document, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of
 128 the conditions is true.

- 129 For the purposes of this document, the auxiliary verb:
- 130 — “shall” indicates a requirement;
- 131 — “should” indicates a recommendation;
- 132 — “may” indicates a permission;
- 133 — “can” is used to describe a possibility or capability; and;
- 134 — “must” is used to express an external constraint.
- 135 Annex C contains a guide to the *marking* and labelling requirements in this document.
- 136 Annex D contains a summary of the *symbols* referenced in this document.
- 137
- 138

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139 Medical electrical equipment —

140 Part 2-74: 141 Particular requirements for basic safety and essential performance 142 of respiratory humidifying equipment

143 201.1 Scope, object and related standards

144 Clause 1 of IEC 60601-1:2005+AMD1:2012+AMD2:2020 applies, except as follows.

145 NOTE The general standard is IEC 60601-1:2005+AMD1:2012+AMD2:2020.

146 201.1.1 Scope

147 *Replacement:*

148 NOTE 1 There is guidance or rationale for this subclause contained in Clause AA.2.

149 This document applies to the *basic safety* and *essential performance* of a *humidifier*, also hereafter referred to
150 as *ME equipment*, in combination with its *accessories*, the combination also hereafter referred to as *ME system*.

151 This document is also applicable to those *accessories* intended by their *manufacturer* to be connected to a
152 *humidifier* where the characteristics of those *accessories* can affect the *basic safety* or *essential performance* of
153 the *humidifier*.

154 EXAMPLE 1 Heated *breathing tubes* (heated-wire *breathing tubes*) or *ME equipment* intended to control these heated
155 *breathing tubes* (*heated breathing tube controllers*).

156 NOTE 2 Heated *breathing tubes* and their controllers are *ME equipment* and are subject to the requirements of
157 IEC 60601-1.

158 NOTE 3 ISO 5367 specifies other safety and performance requirements for *breathing tubes*.

159 This document includes requirements for the different medical uses of humidification, such as invasive
160 ventilation, non-invasive ventilation, nasal high-flow therapy, and obstructive sleep apnoea therapy, as well as
161 humidification therapy for tracheostomy *patients*.

162 NOTE 4 A *humidifier* can be integrated into other equipment. When this is the case, the requirements of the other
163 equipment also apply to the *humidifier*.

164 EXAMPLE 2 Heated *humidifier* incorporated into a critical care *ventilator* where ISO 80601-2-12 also applies.

165 EXAMPLE 3 Heated *humidifier* incorporated into a homecare *ventilator* for dependent *patients* where ISO 80601-2-72
166 also applies.

167 EXAMPLE 4 Heated *humidifier* incorporated into sleep apnoea therapy equipment where ISO 80601-2-70 also applies.

168 EXAMPLE 5 Heated *humidifier* incorporated into ventilatory support equipment where either ISO 80601-2-79 or
169 ISO 80601-2-80 also apply.

170 EXAMPLE 6 Heated *humidifier* incorporated into respiratory high-flow therapy equipment where ISO 80601-2-90 also
171 applies.

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172 This document also includes requirements for an *active HME (heat and moisture exchanger)*, *ME equipment*
 173 which actively adds heat and moisture to increase the humidity level of the gas delivered from the *HME* to the
 174 *patient*. This document is not applicable to a passive *HME*, which returns a portion of the expired moisture and
 175 heat of the *patient* to the respiratory tract during inspiration without adding heat or moisture.

176 NOTE 5 ISO 9360-1 and ISO 9360-2 specify safety and performance requirements for a passive *HME*.

177 NOTE 6 If a clause or subclause is specifically intended to be applicable to *ME equipment* only, or to *ME systems* only,
 178 the title and content of that clause or subclause will say so. If that is not the case, the clause or subclause applies both to
 179 *ME equipment* and to *ME systems*, as relevant.

180 *Hazards* inherent in the intended physiological function of *ME equipment* or *ME systems* within the scope of this
 181 document are not covered by specific requirements in this document except in
 182 IEC 60601-1:2005+AMD1:2012+AMD2:2020, 7.2.13 and 8.4.1.

183 NOTE 7 Additional information can be found in IEC 60601-1:2005+AMD1:2012+AMD2:2020, 4.2.

184 This document does not specify the requirements for cold pass-over or cold bubble-through humidification
 185 devices, the requirements for which are given in ISO 20789.

186 This document is not applicable to equipment commonly referred to as “room humidifiers” or humidifiers used
 187 in heating, ventilation and air conditioning systems, or *humidifiers* incorporated into infant incubators to
 188 humidify the chamber air (i.e., are not directly connected to the *patient*).

189 This document is not applicable to nebulizers used for the delivery of a drug to *patients*.

190 NOTE 8 ISO 27427 specifies the safety and performance requirements for nebulizers and ISO 80601-2-94 for
 191 inhalational therapy nebulizer equipment.

201.1.2 Object

193 *Replacement:*

194 The object of this document is to establish particular *basic safety* and *essential performance* requirements for a
 195 *humidifier*, as defined in 201.3.241, and its *accessories*.

196 *Accessories* are included because the combination of the *humidifier* and the *accessories* needs to be adequately
 197 safe. *Accessories* can have a significant impact on the *basic safety* or *essential performance* of a *humidifier*.

198 NOTE 1 This document has been prepared to address the relevant *essential principles* and labelling guidances of the
 199 International Medical Devices Regulators Forum (IMDRF) as indicated in Annex II.

200 NOTE 2 This document has been prepared to address the relevant general safety and performance requirements of
 201 European regulation (EU) 2017/745.

201.1.3 Collateral standards

203 *Addition (add after existing text):*

204 This document refers to those applicable collateral standards that are listed in Clause 2 of the general standard
 205 and in 201.2 of this document.

206 IEC 60601-1-2:2014+AMD1:2020, IEC 60601-1-6:2010+AMD1:2013+AMD2:2020,
 207 IEC 60601-1-8:2006+AMD1:2012+AMD2:2020 and IEC 60601-1-11:2015+AMD1:2020 apply as modified in
 208 Clauses 202, 206, 208 and 211, respectively. IEC 60601-1-3:2008+AMD1:2013+AMD2:2021 and IEC 60601-1-
 209 9:2007+AMD1:2013+AMD2:2020 do not apply. All other published collateral standards in the IEC 60601-1
 210 series apply as published.

201.1.4 Particular standards

212 *Replacement:*

213 In the IEC 60601 series, particular standards define *basic safety* and *essential performance* requirements, and
 214 may modify, replace or delete requirements contained in the general standard and collateral standards as
 215 appropriate for the particular *ME equipment* under consideration.

216 A requirement of a particular standard takes priority over the general standard.

217 For brevity, IEC 60601-1:2005+AMD1:2012+AMD2:2020 is referred to in this document as the general
 218 standard. Collateral standards are referred to by their document number.

219 The numbering of clauses and subclauses of this document corresponds to that of the general standard with
 220 the prefix “201” (e.g. 201.1 in this document addresses the content of Clause 1 of the general standard) or
 221 applicable collateral standard with the prefix “20x”, where x is the final digit(s) of the collateral standard
 222 document number (e.g. 202.4 in this document addresses the content of Clause 4 of the IEC 60601-1-2 collateral
 223 standard, 208.6 in this document addresses the content of Clause 6 of the IEC 60601-1-8 collateral standard,
 224 etc.). The changes to the text of the general standard are specified by the use of the following words:

225 “Replacement” means that the clause or subclause of the general standard or applicable collateral standard is
 226 replaced completely by the text of this document.

227 “Addition” means that the text of this document is additional to the requirements of the general standard or
 228 applicable collateral standard.

229 “Amendment” means that the clause or subclause of the general standard or applicable collateral standard is
 230 amended as indicated by the text of this document.

231 Clauses, subclauses, figures or tables which are additional to those of the general standard are numbered
 232 starting from 201.101. However, due to the fact that definitions in the general standard are numbered 3.1
 233 through 3.154, additional definitions in this document are numbered beginning from 201.3.201. Additional
 234 annexes are lettered AA, BB, etc., and additional items aa), bb), etc.

235 Subclauses, figures or tables which are additional to those of a collateral standard are numbered starting from
 236 20x, where “x” is the number of the collateral standard, e.g. 202 for IEC 60601-1-2, 211 for IEC 60601-1-11, etc.

237 The term “this document” is used to make reference to the general standard, any applicable collateral standards
 238 and this particular document taken together.

239 Where there is no corresponding clause or subclause in this document, the clause or subclause of the general
 240 standard or applicable collateral standard, although possibly not relevant, applies without modification; where
 241 it is intended that any part of the general standard or applicable collateral standard, although possibly relevant,
 242 is not to be applied, a statement to that effect is given in this document.

243 **201.2 Normative references**

244 The following documents are referred to in the text in such a way that some or all of their content constitutes
 245 requirements of this document. For dated references, only the edition cited applies. For undated references, the
 246 latest edition of the referenced document (including any amendments) applies.

247 Clause 2 of IEC 60601-1:2005+AMD1:2012+AMD2:2020 applies, except as follows.

248 *Replacement:*

249 *Addition:*

250 ISO 3744:2010, *Acoustics — Determination of sound power levels and sound energy levels of noise sources using*
 251 *sound pressure — Engineering methods for an essentially free field over a reflecting plane*

252 ISO 5356-1:2015, *Anaesthetic and respiratory equipment — Conical connectors — Part 1: Cones and sockets*

253 ISO 5359:2014+AMD1:2017, *Anaesthetic and respiratory equipment — Low-pressure hose assemblies for use*
 254 *with medical gases*

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- 255 ISO 5367:2023, *Anaesthetic and respiratory equipment — Breathing sets and connectors*
- 256 ISO 7396-1:2016+AMD1:2017, *Medical gas pipeline systems — Part 1: Pipeline systems for compressed medical*
257 *gases and vacuum*
- 258 ISO 14937:2009, *Sterilization of health care products — General requirements for characterization of a*
259 *sterilizing agent and the development, validation and routine control of a sterilization process for medical devices*
- 260 ISO 17664-1:2021, *Processing of health care products — Information to be provided by the medical device*
261 *manufacturer for the processing of medical devices — Part 1: Critical and semi-critical medical devices*
- 262 ISO 17664-2:2021, *Processing of health care products — Information to be provided by the medical device*
263 *manufacturer for the processing of medical devices — Part 2: Non-critical medical devices*
- 264 ISO 18562-1:2024, *Biocompatibility evaluation of breathing gas pathways in healthcare applications — Part 1:*
265 *Evaluation and testing within a risk management process*
- 266 ISO 20417:—1, *Medical devices — Information to be supplied by the manufacturer*
- 267 ISO 80369-1:—2, *Small-bore connectors for liquids and gases in healthcare applications — Part 1: General*
268 *requirements*
- 269 ISO 80369-2:2024, *Small-bore connectors for liquids and gases in healthcare applications — Part 2: Connectors*
270 *for breathing systems and driving gases applications*
- 271 IEC 60601-1:2005+AMD1:2012+AMD2:2020, *Medical electrical equipment — Part 1: General requirements for*
272 *basic safety and essential performance*
- 273 IEC 60601-2-19:2020, *Medical electrical equipment — Part 2-19: Particular requirements for the basic safety and*
274 *essential performance of infant incubators*
- 275 IEC 62570:2014, *Standard practice for marking medical devices and other items for safety in the magnetic*
276 *resonance environment*
- 277 IEC 81001-5-1:2021, *Health software and health IT systems safety, effectiveness and security — Part 5-1: Security*
278 *— Activities in the product life cycle*
- 279 IEC Guide 115:2023, *Application of uncertainty of measurement to conformity assessment activities in the*
280 *electrotechnical sector*
- 281 **201.3 Terms and definitions**
- 282 For the purposes of this document, the terms and definitions given in
283 IEC 60601-1-8:2006+AMD1:2012+AMD2:2020 and the following apply.
- 284 ISO and IEC maintain terminological databases for use in standardization at the following addresses:
- 285 — ISO Online browsing platform: available at <https://www.iso.org/obp>
- 286 — IEC Electropedia: available at <https://www.electropedia.org/>
- 287 NOTE An alphabetized index of defined terms is found in Annex JJ.

¹ Under preparation. Stage at the time of publication: ISO/DIS 20417:2024.

² Under preparation. Stage at the time of publication: ISO/FDIS 80369-1:2024.