

Technical Specification

# **ISO/IEC TS 18013-6**

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# Personal identification — ISOcompliant driving licence —

# Part 6: mDL test methods iTeh Standards

Identification des personnes – Permis de conduire conforme des ten ai) à l'ISO –

Partie 6: Méthodes d'essai relatives au permis de conduire sur Preview téléphone mobile

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# Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see <a href="https://www.iso.org/directives">www.iso.org/directives</a> or <a href="https://www.iso.org/directives">www.iso.org/directiv

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This document was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 17, *Cards and security devices for personal identification*.

A list of all parts in the ISO/IEC 18013 series can be found on the ISO and IEC websites.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at <u>www.iso.org/members.html</u> and <u>www.iec.ch/national-committees</u>.

# Introduction

The ISO/IEC 18013 series establishes guidelines for the design format and data content of an ISO-compliant driving licence (IDL) with regard to human-readable features (ISO/IEC 18013-1), ISO machine-readable technologies (ISO/IEC 18013-2), access control, authentication and integrity validation (ISO/IEC 18013-3), associated test methods (ISO/IEC 18013-4) and interface and related requirements to facilitate ISO-compliant driving licence (IDL) functionality on a mobile device (ISO/IEC 18013-5). It creates a common basis for international use and mutual recognition of the IDL without impeding individual countries/states in applying their privacy rules and national/community/regional motor vehicle authorities in taking care of their specific needs.

ISO/IEC 18013-5 establishes interface specifications for the implementation of a driving licence in association with a mobile device. It specifies the interface between the mobile driving licence (mDL) and mDL reader and the interface between the mDL reader and the issuing authority infrastructure.

This document prescribes requirements for testing of the compliance of the data model, device engagement, data transfer and security mechanisms on a mobile driving application with the requirements of ISO/IEC 18013-5.

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# Personal identification — ISO-compliant driving licence —

# Part 6: mDL test methods

# 1 Scope

This document specifies test methods for testing conformity of a mobile driving licence (mDL) or an mDL reader to ISO/IEC 18013-5. This document specifies test methods for:

- mDL on its interface to an mDL reader;
- mDL reader on its interface to an mDL;
- mDL reader on its (optional) interface to an issuing authority infrastructure.

Test cases for an issuing authority infrastructure on its interface to an mDL reader are not included in this document.

Test cases for the use of OIDC by an mDL reader on its interface to an issuing authority infrastructure are not included in this document. This document only provides test cases for the use of WebAPI on this interface.

This document only addresses the functional behaviour of an implementation under test (IUT) on its interface(s) in scope. It does not address:

- the internal implementation of an IUT, such as a secure area in an mDL;
- any functional requirements to an IUT not specified in ISO/IEC 18013-5, for example, requirements of a particular issuing authority;
   <u>ISO/IEC TS 18013-6:2024</u>
- non-functional aspects of the IUT, nor IUT interfaces not listed above, such as the interface from an
  issuing authority infrastructure to an mDL, used to provision mDL data.

# 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 9646 (all parts), Information technology — Open Systems Interconnection — Conformance testing methodology and framework

ISO/IEC 18013-5:2021, Personal identification — ISO-compliant driving licence — Part 5: Mobile driving licence (mDL) application

Bluetooth, Bluetooth Core Specification, Version 5.2

Bluetooth, Supplement to the Bluetooth Core Specification, Version 9

NFC Forum, Connection Handover Technical Specification, Version 1.5, 2020

Wi-Fi Alliance, Neighbor Awareness Networking Specification, Version 3.1

#### **Terms and definitions** 3

For the purposes of this document, the terms and definitions given in ISO/IEC 18013-5 and the following apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

ISO Online browsing platform: available at <a href="https://www.iso.org/obp">https://www.iso.org/obp</a>

IEC Electropedia: available at https://www.electropedia.org/ \_\_\_\_

### 3.1

# implementation conformance statement

ICS

statement made by the supplier of an implementation or system claimed to conform to a given specification, stating which capabilities have been implemented

[SOURCE: ISO/IEC 9646-1:1994, 3.3.39, modified — Form specification removed.]

# 3.2

# implementation under test

IUT

implementation of one or more open systems interconnection (OSI) protocols, being that part of a real open system which is to be studied by testing

[SOURCE: ISO/IEC 9646-1:1994, 3.3.43, modified — User/provider information removed.]

### 3.3 system under test SUT



real open system in which the IUT resides

Note 1 to entry: The following systems under test are recognised in this document: mDL, mDL reader, issuing authority infrastructure, certificate and CRL. Apart from these, this document specifies common test cases, that are applicable to several systems under test; see 6.2.2.

Note 2 to entry: ISO/IEC 18013-5 defines and uses the terms "mdoc" and "mdoc reader" next to "mDL" and "mDL reader". Clauses 6 and 7 of ISO/IEC 18013-5, as well as Annex B, are applicable only to mdocs that fulfil the same function as an ISO-based driving licence (IDL), and hence use "mDL" and "mDL reader". Clauses 8 and 9 of ISO/IEC 18013-5 are applicable to mdocs in general, and hence use "mdoc" and "mdoc reader". This document follows ISO/IEC 18013-5, and uses "mDL" or "mDL reader" in test cases that are based on Clauses 6 or 7 or Annex B, and "mdoc" or "mdoc reader" for test cases that are based on Clauses 8 or 9 of ISO/IEC 18013-5. Nevertheless, this document uses "mDL" and "mDL reader" to indicate the possible Systems under Test, because ISO/IEC 18013-5 primarily standardises the mobile driving licence.

[SOURCE: ISO/IEC 9646-1:1994, 3.3.103, modified — Notes to entry added.]

### 3.4

test case

description of test purpose, unique test case identifier, test inputs, test execution conditions, test steps, and the results required to pass the test

[SOURCE: ISO/IEC 18013-4:2019, 3.1]

### 4 Abbreviated terms

CA	certificate authority
CBOR	concise binary object representation
COSE	cbor object signing and encryption
CRL	certificate revocation list
DS	document signer
ECDSA	elliptic curve digital signature algorithm
IACA	issuing authority certificate authority
JWS	JSON web signature
OCSP	online certificate authority
OID	object identifier
TLS	transport layer security
URI	uniform resource identifier

URL uniform resource locator

# iTeh Standards

## **5** Conformance

Test cases are described in the Appendices 1, 2 and 3 to this document, which are published as separate documents and can be found at <u>https://standards.iso.org/iso-iec/ts/18013/-6/ed-1/en</u>. Test cases are intended to be performed separately and independently. An IUT is not required to pass through all tests sequentially. In addition, not all tests may be applicable to a given implementation of an mDL or mDL reader. The applicability of a test case is determined by comparing the statements in the profile element of each test case (see <u>6.2.4.2</u>) to the implementation conformance statement for the IUT provided by the applicant for 24 conformance testing; see <u>6.3.3</u>.

An IUT is considered conformant to this document and, in extension, to ISO/IEC 18013-5, if it passes all applicable test cases specified in this document.

NOTE Passing all applicable test cases in this document does not guarantee that no failures will occur under operational conditions.

# 6 Test design

### 6.1 General

This clause describes mDL and mDL reader test design in accordance with the ISO/IEC 9646 series. Several basic elements referred to in the specification of individual test cases are explained in this clause.

NOTE These elements facilitate the synchronisation of additional specifications written by different organisations with this document.

## 6.2 Test case hierarchy

### 6.2.1 Structure

Test cases specified in this document are grouped into a coherent test structure. This clause describes the following elements of the test structure: system under test, test layer, test area, test group, test unit and test case. These elements have a hierarchical relationship, as shown in Figure 1.



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Figure 1 — Test element hierarchy

Subclauses 6.2.2, 6.2.3 and 6.2.4 provide more information about the elements of the test case structure.

### 6.2.2 System under test

An IUT can be one of following main systems under test (see ISO/IEC 18013-5):

- an mDL;
- an mDL reader;
- an issuing authority infrastructure.

This document specifies test cases for mDLs and mDL readers. Apart from these, this document also specifies:

— Test cases for certificates specified in ISO/IEC 18013-5: These test cases will typically not be executed independently. They are primarily invoked when executing test cases for an mDL or mDL reader. For example, when testing the implementation of issuer data authentication by an mDL under test, the certificate test cases applicable to a document signer certificate will be executed on the DS certificate provided by the mDL. Next to that, certificate test cases may optionally be executed independently, for example to verify the format of a certificate stored as a file.

— Common test cases: These test cases are applicable to more than one system under test. Common test cases will not be executed independently. Rather, they are invoked when executing test cases for an mDL or mDL reader. For example, when testing whether a device retrieval mdoc request received from an mDL reader under test is correctly formatted, the Common\_CBOR test cases will be executed together with other Common\_CBOR test cases. The same test cases will also be executed when testing the device retrieval mdoc response received from an mDL under test.

NOTE Test cases for certificate revocation lists, as specified in ISO/IEC 18013-5, are not included in this edition of this document.

### 6.2.3 Test layers, test areas, test groups and test units

The test case structures for each of the systems under test distinguished in <u>6.2.2</u> are provided in <u>Annex A</u> in this document. These test case structures are derived from the structure of ISO/IEC 18013-5:2021, clauses 7, 8, 9 and Annex B. For each SUT, a number of test layers are defined. When needed, a test layer is divided into test areas, a test area into test groups and a test group into test units, to further clarify the intent of the test cases within them, or to prevent the number of test cases in a single area, group or unit from growing inconveniently large.

### 6.2.4 Test cases

### 6.2.4.1 General

Each test case is defined by the following information:

	Test case-ID	Uniquely identifies the test case. See <u>6.2.4.2</u> .	
	Purpose	Specifies the requirement(s) addressed in this test case.	
	References	erences Identifies specific references to the requirement(s) addressed by this test case.	
	Profile	Defines the profile(s) for which the test case is applicable. See <u>6.2.4.3</u> .	
	Preconditions	Define the state in which the IUT needs to be before the test case can be executed, See <u>6.2.4.4</u> .	
	Test scenario	Defines the test steps that shall be taken.	
Each step covers a be included in the t		Each step covers a simple, exactly defined operation with a measurable result that can be included in the test report. The steps shall be performed in the order listed.	
Each test step is defined by the following information:		Each test step is defined by the following information:	
		<ul> <li>Test step ID: a consecutive number, uniquely identifying each test step and the execution order in the test case.</li> </ul>	
		<ul> <li>Description: defining the operation that has to be executed for this step.</li> </ul>	
		<ul> <li>Configuration data: optionally specifying input data required to perform this test step.</li> </ul>	
	Expected result	The expected result defines pass criteria for each test step in the test scenario. The anal- ysis of the observed result in comparison with the expected result leads to a verdict, e.g. "Pass" or "Fail". The results of the individual test steps or the overall result, or both, of the test case are transferred to the test report.	

### 6.2.4.2 Test case ID

Test case IDs are formed as follows:

SUT\_TestLayer\_Test\_Area\_TestGroup\_TestUnit\_##, where:

— SUT is the name of the system under test (see <u>6.2.2</u>) for which the test case is applicable, e.g. mDL (mDL), mDL reader (mDLR), certificate (Cert), or common (Common);

- TestLayer, TestArea, TestGroup and TestUnit are the names of the test layer, test area, test group and test unit to which the test cases belong, as shown in the tables in <u>Annex A</u>. To prevent names from becoming too long, the name abbreviation given between brackets is used, if provided. If no abbreviation is given, the full name is used;
- ## is a two-digit decimal number.

In case no test area, test group, or test unit is defined in <u>Annex A</u> for the test case, the respective name is omitted from the test case ID.

### 6.2.4.3 Technology

Functions are defined for identifying which technology (i.e. device engagement and data transfer) in the IUT is to be tested in each scenario. If a test case is applied to only optional functions (e.g. L2CAP), the IUT which does not support such optional functions, the test shall be skipped for IUT.

a. Engagement and Data Transfer Technologies

[mDL]	mdoc supporting doctype org.iso.18013.5.1.mDL
[QR-NFC]	Device engagement with QR code/Data Transfer with NFC
[QR-BLE]	Device engagement with QR code/Data Transfer with BLE Peripheral Server mode
[QR-WiFiAware]	Device engagement with QR code/Data Transfer with WiFi Aware
[QR-WebAPI]	Device engagement with QR code/Server retrieval Token with WebAPI
[QR-OIDC]	Device engagement with QR code/Server retrieval Token with OIDC
[NFC-NFC]	Device engagement with NFC/Data Transfer with NFC
[NFC-BLE]	Device engagement with NFC/Data Transfer with BLE
[NFC-WiFiAware]	Device engagement with NFC/Data Transfer with WiFi Aware
[NFC-WebAPI]	Device engagement with NVC/Server retrieval Token with WebAPI
[NFC-OIDC]	Device engagement with QR NFC/Server retrieval Token with OIDC
b. Security mechanisms	
[SEC-MSO]	Issuer data authentication
[SEC-DSA]	mdoc ECDSA/EdDSA authentication
[SEC-MAC]	mdoc MAC authentication
[SEC-RA]	Reader authentication

### 6.2.4.4 Profile

Profiles are defined for identifying optional functionality in the IUT. If a profile is present in a test case, this impacts the applicability of that test case. This enables the tester or the automated test apparatus to select which tests should be executed to the IUT. This selection is based upon comparing the profile of the test case to the IUT information in the ICS filled out by the applicant or tester (also see <u>6.3.3</u>).

If no profile is listed in a test case, the test case shall be executed on all implementations under test. If one or more profiles are specified and the IUT does not match with all of the specified profiles, the test shall be skipped for that IUT and shall be marked as Not Applicable in the test report.

### 6.2.4.5 Preconditions

Preconditions define the state in which the IUT needs to be before the test case can be executed. Preconditions can apply, among others, to:

- any action that must have taken place, such as successful device engagement;
- conditions that must be fulfilled, for example correct CBOR encoding of a tested device retrieval request or response;
- the presence of a certain CA certificate as a trust point in the IUT;
- the availability, in the test apparatus, of certain end-entity certificates and associated private keys.

If the preconditions listed in the test case cannot be fulfilled during test execution, test execution shall be skipped, and the test case shall be marked as Inconclusive in the test report.

### 6.3 Test administration

### 6.3.1 Preconditions for testing of an mDL

#### 6.3.1.1 Preparation, personalisation, and configuration of the mDL

Before testing can begin, the mDL under test shall be prepared. It is very likely that the mDL under test takes the form of an application intended to be installed on a generic-purpose mobile device. If so, the mDL application under test is installed on a suitable test device, whose hardware and software supports all technology options that must be tested for the mDL application under test according to the ICS. Any action needed to install the mDL application under test is proprietary.

NOTE The term "mDL application" is not used in ISO/IEC 18013-5. The standard does not make a distinction between the different elements that make up an mDL, such as the mobile device, the application residing on that device, the document(s) within that application and the data associated with each document. Many requirements in the standard can only be complied with by several of these elements in combination. However, for the purposes of testing a distinction is made between the mDL application, the data within that application, and the mobile device on which it resides. This is because the mDL application and the data within it form the implementation under test, whereas the mobile device is part of test apparatus.

ttps://standards.iteh.ai/catalog/standards/iso/a93bc2c4-42e4-420f-a5d5-729c9b598b90/iso-iec-ts-18013-6-2024 After installation, the new mDL application instance needs to be configured and personalised:

Configuration means setting the functional properties of the mDL instance under test. Configuration
of an mDL application instance is proprietary. It is up to the tester, possibly in cooperation with the
applicant, to perform this correctly for the given mDL application.

EXAMPLE 1 If the mDL application supports multiple curves for session encryption, the curve to be used by this application instance under test must be configured. It is not possible to use multiple curves simultaneously.

EXAMPLE 2 If the mDL application supports both QR code and NFC for device engagement, the mDL application instance must be configured to use either QR code or NFC, unless the application allows the tester to change this during testing. Similarly, if NFC is used, the application instance must be configured to use either Static Handover or Negotiated Handover, since these options cannot be supported simultaneously.

— Personalisation means providing the new application instance with the correct mDL data, including cryptographic keys and certificates. The mDL tests in this document require a fully personalized mDL. This means that all mandatory data elements shall be present as a minimum. In addition, the mDL shall be personalised with all data, cryptographic keys and certificates required to test the mandatory features specified in ISO/IEC 18013-5, as well as all optional features declared in the ICS according to 6.3.3. Personalisation of an mDL application is not standardized in ISO/IEC 18013-5. It is up to the tester, possibly in cooperation with the applicant, to perform this correctly.

### 6.3.1.2 Installing the CA root certificates for mdoc reader authentication in the mDL under test

If the mdoc reader authentication security mechanism must be tested, the mDL under test needs to verify a mdoc reader authentication certificate presented by the test apparatus. To be able to do this, the mDL needs the public key in the CA root certificate that was used to sign this mdoc reader authentication certificate.

Annex C gives an overview of all CA root certificates that are used in the test cases for mdoc reader authentication. The applicant, possibly in cooperation with the tester, shall make sure that all of the certificates (or at least the relevant information extracted from these certificates) from <u>Annex C</u> are present in the mDL under test as trust points. How this can be done is proprietary for each mDL under test.

### 6.3.1.3 Installing the IACA root certificates for issuer data authentication in the test apparatus

As part of testing the issuer data authentication security mechanism, the test apparatus (see <u>6.3.1.4</u>) needs to verify one or more DS certificates presented by the mDL under test. To be able to do this, the test apparatus needs the public key(s) in the IACA root certificate(s) that were used to sign these DS certificates.

The applicant shall provide the necessary IACA root certificate(s) to the tester. The tester shall ensure that all of these IACA root certificate(s) are present in the test apparatus as trust points. How this can be done is proprietary for each test apparatus.

### 6.3.1.4 Test apparatus

Figure 2 gives an overview of the test apparatus that is assumed to be present for testing an mDL.



Figure 2 — Test Apparatus for testing an mDL

In order to allow testing, the mDL application under test is installed on a suitable test device. This test device shall comply with the (minimum) requirements set by the applicant for the mDL application under test. In particular, it shall support all communication technologies for device engagement (either QR code or NFC, or both) and device retrieval (BLE, Wi-Fi Aware, NFC, or all) that must be tested for the mDL application under test.

The test device should preferably be provisioned by the tester. To ensure that test results are representative for all possible mobile devices on which the mDL application will be used in practice, the tester should carefully choose the test device. To increase representativeness, the tester may consider installing the mDL