



Designation: D7421 – 11

Standard Test Method for Determining Extreme Pressure Properties of Lubricating Oils Using High-Frequency, Linear-Oscillation (SRV) Test Machine¹

This standard is issued under the fixed designation D7421; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ϵ) indicates an editorial change since the last revision or reapproval.

1. Scope*

1.1 This test method covers a procedure for determining extreme pressure properties of lubricating oils for hydraulics, gears and engines under high-frequency linear-oscillation motion using the SRV test machine.

NOTE 1—This test method was developed and the international round robin tests were jointly performed with the DIN 51834 working group. This procedure is based on the 2005 revision of Test Method D5706 for greases and differs regarding the stroke length and the cleaning solvent.

1.2 The values stated in SI units are to be regarded as standard. No other units of measurement are included in this standard.

1.3 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety and health practices and determine the applicability of regulatory limitations prior to use.*

2. Referenced Documents

2.1 ASTM Standards:²

- A295/A295M Specification for High-Carbon Anti-Friction Bearing Steel
- D235 Specification for Mineral Spirits (Petroleum Spirits) (Hydrocarbon Dry Cleaning Solvent)
- D4175 Terminology Relating to Petroleum, Petroleum Products, and Lubricants
- D5706 Test Method for Determining Extreme Pressure Properties of Lubricating Greases Using a High-Frequency, Linear-Oscillation (SRV) Test Machine
- D6425 Test Method for Measuring Friction and Wear Prop-

erties of Extreme Pressure (EP) Lubricating Oils Using SRV Test Machine

E45 Test Methods for Determining the Inclusion Content of Steel

G40 Terminology Relating to Wear and Erosion

2.2 DIN Standards:³

DIN 51631:1999 Mineral spirits; special boiling point spirits; requirements

DIN EN ISO 683-17 Heat-treated Steels, alloy steels and free-cutting steels – Part 17 : Ball and roller bearing steels (Replaces DIN 17230-1980)

DIN EN ISO 13565-2:1998 Geometrical Product Specifications (GPS) – Surface texture: Profile method; Surfaces having stratified functional properties – Part 2: Height characterization using linear material ratio curve [Replacement of DIN 4776:1990: Measurement of surface roughness; parameters R_K , R_{PK} , R_{VK} , M_{T1} , M_{T2} for the description of the material portion]

3. Terminology

3.1 Definitions:

3.1.1 *break-in, n —in tribology*, an initial transition process occurring in newly established wearing contacts, often accompanied by transients in coefficient of friction or wear rate, or both, which are uncharacteristic of the given tribological system's long-term behavior. **G40**

3.1.2 *coefficient of friction, μ or f , n —in tribology*, the dimensionless ratio of the friction force (F) between two bodies to the normal force (N) pressing these bodies together. **G40**

3.1.3 *Hertzian contact area, n —apparent area of contact between two nonconforming solid bodies pressed against each other, as calculated from Hertz's equations of elastic deformation published in 1881.* **G40**

3.1.4 *Hertzian contact pressure, n —magnitude of the pressure at any specified location in a Hertzian contact area, as calculated from Hertz's equations of elastic deformation. The*

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² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

³ Available from Beuth Verlag GmbH (DIN, Deutsches Institut für Normung e.V.), Burggrafenstrasse 6, 10787, Berlin, Germany, http://www.en.din.de.

*A Summary of Changes section appears at the end of this standard

Hertzian contact pressure can also be calculated and reported as maximum value P_{max} in the centre of the contact or as $P_{average}$ as average over the total contact area. **G40**

3.1.5 *lubricant, n*—any material interposed between two surfaces that reduces the friction or wear, or both between them. **D4175**

3.1.6 *Ra (C.L.A.), n*—in measuring surface finish, the arithmetic average of the absolute distances of all profile points from the mean line for a given distance. **Amstutz**⁴

3.1.6.1 *Discussion*—C.L.A. means center line average, and it is the synonym to Ra.

3.1.7 *Rpk, n*—Reduced peak height according to DIN EN ISO 13565-2:1998. Rpk is the mean height of the peak sticking out above the core profile section.

3.1.8 *Rvk, n*—Reduced valley height according to DIN EN ISO 13565-2:1998. Rvk is the mean depth of the valley reaching into the material below the core profile section.

3.1.9 *Rz (DIN), n*—in measuring surface finish, the average of all Ry values (peak to valley heights) in the assessment length. **Amstutz**⁴

3.2 *Definitions of Terms Specific to This Standard:*

3.2.1 *extreme pressure, adj*—in lubrication, characterized by metal surfaces in contact under high-stress rubbing conditions. It is not limited to metallic materials.

3.2.2 *seizure, n*—localized fusion of metal between the rubbing surfaces of the test pieces. **D5706**

3.2.2.1 *Discussion*—In this test method, seizure is indicated by a sharp rise in the coefficient of friction, over steady state, of >0.2 for over 20 s. In severe cases, a stoppage in the motor will occur. (These criteria were believed to be right, because this test method is related to liquid lubricants.)

3.3 *Abbreviations:*

3.3.1 *SRV, n*—Schwingung, Reibung, Verschleiß (German); oscillating, friction, wear (English translation).

4. Summary of Test Method

4.1 This test method is performed on an SRV test machine using a steel test ball oscillating against a stationary steel test disk with lubricant between them. Test load is increased in 100-N increments until seizure occurs. The load, immediately prior to the load at which seizure occurs, is measured and reported as O.K.-load, which can be converted in Hertzian contact pressures.

NOTE 2—Test frequency, stroke length, temperature, and ball and disk material can be varied to simulate field conditions. The test ball yields point-contact geometry. To obtain line or area contact, test pieces of differing configurations can be substituted for the test balls.

NOTE 3—With regard to the test chamber and the operating conditions, SRV models III and IV are identical. However, the SRV IV allows to incline the axis of movement. Both models are fully computer controlled. In SRV IV models, the test described here is run horizontally and without inclination. SRV I and II models can also perform this test, but they are limited with regard to maximum load and stroke. As modern and high performance oils may exceed an O.K.-load of 1200 N, seizure may not be



FIG. 1 SRV Test Machine (Model III)

reached. Optimol Instruments supplies an upgrade kit to allow for SRV I and SRV II models to be operated at 1600 N, if needed.⁵

5. Significance and Use

5.1 This laboratory test method can be used to quickly determine extreme pressure properties of lubricating oils at selected temperatures specified for use in applications where not only high-speed vibrational or start-stop motions are present with high Hertzian point contact. This test method has found wide application in qualifying lubricating oils used in constant velocity joints of front-wheel-drive automobiles, gear-hydraulic circuit, rear axles, gears and engine components. Users of this test method should determine whether results correlate with field performance or other applications.

6. Apparatus

6.1 *SRV Test Machine*,⁵ illustrated in Figs. 1-3.

6.2 *Test Balls*,⁵ 52100 steel, 60 ± 2 Rc hardness, 0.025 ± 0.005 - μ m Ra surface finish, 10-mm diameter.

6.3 *Lower Test Disk*,⁵ vacuum arc remelted (VAR) AISI 52100 steel with a inclusion rating using Method D, Type A, and a severity level number of 0.5 according to Specification **A295/A295M** or Test Methods **E45** or an inclusion sum value $K1 \leq 10$ in accordance with DIN EN ISO 683-17 and spheroidized annealed to obtain globular carbide, 60 ± 2 Rc hardness, with the surfaces of the disk being lapped and free of lapping raw materials. The topography of the disk will be determined by four values, 24-mm diameter by 7.85 mm thick:

- $0.5 \mu\text{m} < Rz < 0.650 \mu\text{m}$
- $0.035 \mu\text{m} < \text{C.L.A. (Ra)} < 0.050 \mu\text{m}$
- $0.020 \mu\text{m} < Rpk < 0.035 \mu\text{m}$
- $0.050 \mu\text{m} < Rvk < 0.075 \mu\text{m}$

⁵ The sole source of supply of the apparatus known to the committee at this time is Optimol Instruments GmbH, Westendstr. 125, D-80339 Munich, Germany. If you are aware of alternative suppliers, please provide this information to ASTM International Headquarters. Your comments will receive careful consideration at a meeting of the responsible technical committee,¹ which you may attend.

⁴ Amstutz, Hu, "Surface Texture: The Parameters," Bulletin MI-TP-003-0785, Sheffield Measurement Division, Warner and Swasey, 1985.

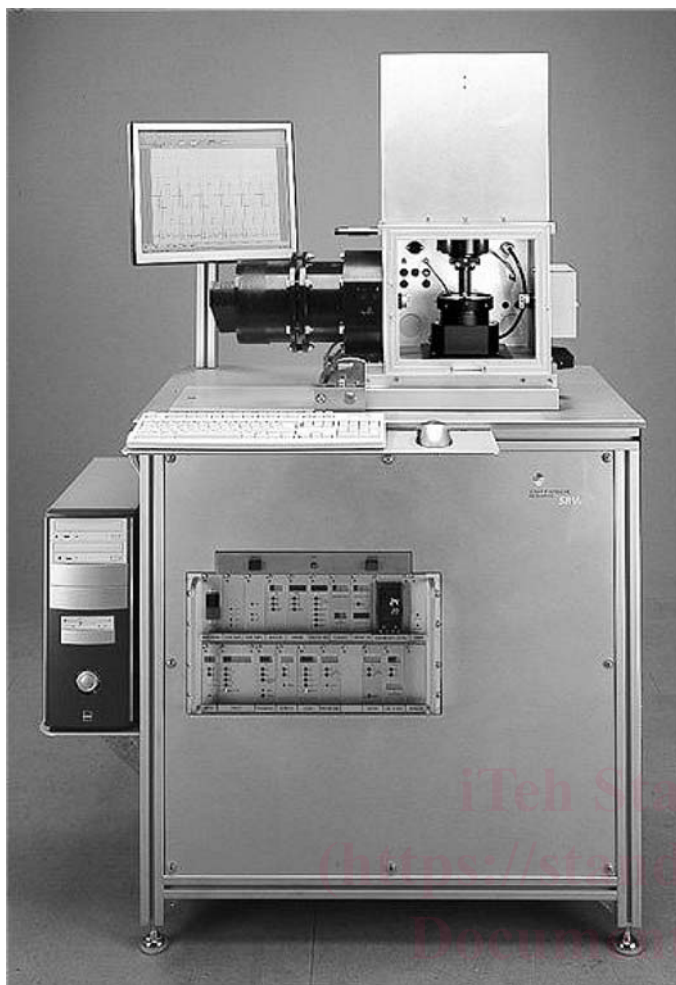


FIG. 2 SRV Test Machine (Model IV)

8.6 Set the desired span and calibrate the chart recorder in accordance with the manufacturer's instructions. Select the desired chart speed.

8.7 Turn on the heater control, and preheat the disk holder to the desired temperature. 50°C, 80°C, and 120°C are recommended (see Table 1). When the temperature has stabilized, turn on the chart recorder and depress the drive start toggle switch until the timer begins to count and then adjust the stroke amplitude knob to 2.00 mm.

8.8 Set the load charge amplifier to setting that corresponds to the 400-N load.

8.9 Change the load charge amplifier at each load in accordance with the manufacturer's instructions when the coefficient of friction at each test load is to be studied.

8.10 When the digital timer reaches 30 s, increase the load to 100 N using the slow ramp speed rate, and maintain this load for 15 min.

8.11 The 15-min interval includes the loading ramp sequence. The load has to be increase by 100 N every 2 min using the slow ramp until a load of 1200 N is reached, or the load limit of the test apparatus is attained, or failure occurs. Failure is indicated by a rise in coefficient of friction of greater than 0.2 over steady state for over 20 s or a stoppage in the oscillating of the test machine (see Test Method D5706 or D6425).

NOTE 6—Because a 30-s break-in at 50 N is used, the load increase times will occur on the half minute of even minutes.

8.12 When the 1200-N load run or maximum load of the test apparatus is completed or failure occurs, turn off the heater control, release the load to minimum setting, (typically -13 or -14 N), and remove the test ball, disk, and lubricating oil test specimen.

Preparation of SRV III and IV models

8.13 When using SRV III and SRV IV models, clean and install the specimens as specified under 9.1 to 9.7. Turn on the test machine or the PC and allow to warm up for 15 min prior to running tests. The test parameters (Frequency = 50 Hz, test temperature, stroke = 2 mm) are to be entered via the control software. The test will be run automatically. Test results (coefficient of friction, normal load, total, linear wear length, sample temperature) will be displayed and saved.

8.14 Generate a dynamic test program with the following test parameters. In this dynamic test program, the maximum load range should be set to a maximum of 2000 N.

Frequency: 50 Hz

Test temperature: for example, 50°C, 80°C, or 120°C

Stroke: 2 mm

Load 50 N for 30 s, then apply a load increment of 100 N for 15 min.

After that, apply a load increment of 100 N every 2 min.

9. Procedure for All SRV Models

9.1 Using solvent resistant gloves, clean the test ball and disk by wiping the surfaces with laboratory tissue soaked with the cleaning solvent. Repeat wiping until no dark residue appears on the tissue. Immerse the test ball and disk in a beaker

7. Reagents and Materials

7.1 *Cleaning Solvent*—single boiling point spirit type 2-A according to DIN 51631:1999. (**Warning**—Flammable. Health hazard.)

NOTE 4—In the case of unavailability, please refer to Specification D235 regarding Type I, Class B, mineral spirits.

8. Preparation of Apparatus

Preparation of SRV I and II models

8.1 Turn on the test machine and chart recorder and allow to warm up for 15 min prior to running tests.

8.2 Select the friction data to be presented in the crest peak value position in accordance with the manufacturer's directions.

NOTE 5—In most cases, this is accomplished by positioning the sliding switch on electronic card NO. 291.35.20E (front side of electronics behind the front panel) and the sliding switch located back on the panel of the control unit.

8.3 Turn the amplitude knob to ZERO.

8.4 Switch the stroke adjustment to AUTO position.

8.5 Set the frequency to 50 Hz.