## INTERNATIONAL STANDARD

ISO/IEC 11770-7

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## Information security — Key management —

Part 7:

**Cross-domain password-based authenticated key exchange** 

Ten ST Sécurité de l'information + Gestion des clés —

Partie 7: Échange de clés authentifié entre mots de passe entre domaines

ISO/IEC 11770-7:2021

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#### Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see <a href="www.iso.org/directives">www.iso.org/directives</a>).

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A list of all parts in the ISO/IEC 11770 series can be found on the ISO website.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at <a href="https://www.iso.org/members.html">www.iso.org/members.html</a>.

#### Introduction

In a security domain, two entities can authenticate each other and establish a shared session key to protect their communication. This authentication is typically based on pre-established information, such as a shared password or symmetric key or possession of each other's public key certificates. In a cross-domain communication, two entities assigned to two distinct security domains may not have suitable pre-established authentication information. However, they can still establish a shared session key by using the authentication information that each entity shares with its own domain server and relying on the domain servers themselves to authenticate each other.

Practical cross-domain communication scenarios include email communication, mobile phone communication, and instant messaging. In these cases, communications need to be protected against both passive and active attackers. In these scenarios, each entity is typically registered with a domain-specific server, such as an email exchange server (for email communications) or a home location register (for mobile phone communications). Moreover, the two communicating entities from different domains typically neither share a password or a symmetric key nor possess each other's public key certificate.

An authenticated key exchange (AKE) mechanism enables two entities to establish a shared session key based on their pre-established authentication information. A password-based AKE mechanism is based on two entities pre-sharing a password. Similarly, a symmetric key or an asymmetric key based AKE mechanism is based on two entities pre-sharing a secret key or possessing each other's public key certificate (and a trusted means to verify a certificate). In this document, these three types of mechanisms are referred to as two-party password-based authenticated key exchange (2PAKE) protocols, two-party symmetric key based authenticated key exchange (2SAKE) protocols and two-party asymmetric key based authenticated key exchange (2AAKE) protocols, respectively. 2PAKE protocols are specified in ISO/IEC 11770-4, 2SAKE protocols are specified in ISO/IEC 11770-2 and 2AAKE protocols are specified in ISO/IEC 11770-3. All the mechanisms specified in ISO/IEC 11770-1[6], ISO/IEC 11770-2 and ISO/IEC 11770-3 are appropriate for use in a single security domain. For example, the mechanisms specified in ISO/IEC 11770-4 are used in authenticated key exchange applications, where two players, usually referred to as a server and a client, are in the same security domain.

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This document (i.e. ISO/IEC 11770-7) specifies cross-domain password-based authenticated key exchange mechanisms. Such mechanisms enable a user from one domain to establish a session key shared with another user from a different domain through their respective domain servers, and the only pre-established authentication information that each user has is a password shared with their

domain server.

More specifically, each mechanism specified in this document involves four parties in two security domains, in which each user and server pair are in the same domain. This type of mechanism is referred to as a four-party password-based authenticated key exchange (4PAKE) protocol. This document contains a framework for designing such 4PAKE protocols using a compositional approach. That is, a 4PAKE protocol can be implemented based on two building blocks:

- a) a 2PAKE protocol;
- b) a 2SAKE protocol or a 2AAKE protocol.

This document also specifies several mechanisms for such 4PAKE protocols. The 2PAKE, 2SAKE and 2AAKE protocols used to implement such 4PAKE protocols are chosen from ISO/IEC 11770-4, ISO/IEC 11770-2 and ISO/IEC 11770-3 respectively.

The hash functions and key derivation functions used in the mechanisms specified in this document are specified in ISO/IEC 10118-3 and ISO/IEC 11770-6, respectively.

The conversion functions in Annex B used in the mechanisms specified in this document are specified in ISO/IEC JTC 1/SC 27 WG 2 SD 7  $^{[16]}$ .

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## Information security — Key management —

### Part 7:

### Cross-domain password-based authenticated key exchange

#### 1 Scope

This document specifies mechanisms for cross-domain password-based authenticated key exchange, all of which are four-party password-based authenticated key exchange (4PAKE) protocols. Such protocols let two communicating entities establish a shared session key using just the login passwords that they share with their respective domain authentication servers. The authentication servers, assumed to be part of a standard public key infrastructure (PKI), act as ephemeral certification authorities (CAs) that certify key materials that the users can subsequently use to exchange and agree on as a session key.

This document does not specify the means to be used to establish a shared password between an entity and its corresponding domain server. This document also does not define the implementation of a PKI and the means for two distinct domain servers to exchange or verify their respective public key certificates.

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2 Normative references

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The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 11770-2, IT Security techniques  $\frac{849}{Rey}$  management — Part 2: Mechanisms using symmetric techniques

ISO/IEC 11770-3, Information technology — Security techniques — Key management — Part 3: Mechanisms using asymmetric techniques

ISO/IEC 11770-4, Information technology — Security techniques — Key management — Part 4: Mechanisms based on weak secrets

#### 3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 11770-4 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <a href="https://www.iso.org/obp">https://www.iso.org/obp</a>
- IEC Electropedia: available at <a href="https://www.electropedia.org/">https://www.electropedia.org/</a>

#### 3.1

#### asymmetric key pair

pair of related keys where the private key defines the private transformation and the public key defines the public transformation

[SOURCE: ISO/IEC 11770-3:2015, 3.3]

#### 3 2

#### asymmetric-key authenticated key exchange

process of establishing one or more shared secret keys between two entities using asymmetric-key techniques and neither of them can predetermine the values of the shared secret keys

#### 3.3

#### certification authority

entity trusted to create and assign *public key certificates* (3.9)

[SOURCE: ISO/IEC 11770-1:2010, 2.3]

#### 3.4

#### cross-domain password-based authenticated key exchange

process of establishing one or more shared secret keys between two entities associated with two distinct *security domains* (3.10) using the entity's prior domain-specific password-based information such that neither of the entities can predetermine the values of the shared secret keys

#### 3.5

#### distinguishing identifier

information which unambiguously distinguishes an entity

[SOURCE: ISO/IEC 11770-1:2010, 2.9]

#### 3.6

#### key derivation function

function which takes as input a number of parameters, at least one of which is secret, and which gives as output keys appropriate for the intended algorithm(s) and applications

[SOURCE: ISO/IEC 11770-2:2018, 3.6, modified Note 1 to entry has been removed.]

#### 3.7

#### ISO/IEC 11770-7:2021

#### **key establishment** https://standards.iteh.ai/catalog/standards/sist/86ba711d-3edb-40f3-8b32-

process of making available a shared key to one or more rentities, where the process includes key agreement or key transport

[SOURCE: ISO/IEC 11770-3:2015, 3.23]

#### 3.8

#### non-interactive key exchange

process of establishing one or more shared secret keys between two entities in a non-interactive manner with mutual implicit key authentication

#### 3.9

#### public key certificate

public key information of an entity signed by the *certification authority* (3.3)

[SOURCE: ISO/IEC 11770-1:2010, 2.37]

#### 3.10

#### security domain

set of elements, security policy, security authority and set of security-relevant activities in which the set of elements are subject to the security policy for the specified activities, and the security policy is administered by the security authority for the security domain

[SOURCE: ISO/IEC 11770-1:2010, 2.43]

#### 3.11

#### signature

data unit appended to, or a cryptographic transformation of, a data unit that allows a recipient of the data unit to verify the origin and integrity of the data unit and protect the sender and the recipient of the data unit against forgery by third parties, and the sender against forgery by the recipient

[SOURCE: ISO/IEC 11770-3:2015, 3.7, modified — the word "digital" has been removed from the term.]

#### 3.12

#### symmetric-key

key used with symmetric cryptographic techniques and usable only by a set of specified entities

#### 3.13

#### symmetric-key authenticated key exchange

process of establishing one or more shared secret keys between two entities using *symmetric-key* (3.12) techniques such that neither of the entities can predetermine the values of the shared secret keys

### 4 Symbols and abbreviated terms

#### 4.1 Abbreviated terms

2AAKE	two-party asymmetric-key authenticated key exchange
2NIKE	two-party-non-interactive key exchange protocol
2PAKE	two-party password-based authenticated key exchange
2SAKE	two-party symmetric-key authenticated key exchange
4PAKE	four-party password based authenticated key exchange 13-8632-
BS2I	function that converts a bit string into an integer
BS2OS	function that converts a bit string to an octet string
CA	certification authority
FE2I	function that converts a field element to an integer
FE2OS	function that converts a field element to an octet string
$GE2OS_x$	function that converts a group element with <i>x</i> -coordinate to an octet string
I2BS	function that converts an integer to a bit string
I2OS	function that converts an integer to an octet string
KD	key derivation function
MAC	message authentication code
MAX	maximum value function
MIN	minimum value function
PKI	public key infrastructure
Param <sub>A</sub>	2AAKE prior shared system parameters
Param <sub>N</sub>	2NIKE prior shared system parameters

#### **ISO/IEC 11770-7:2021(E)**

Param<sub>s</sub> 2SAKE prior shared system parameters

Param<sub>p</sub> 2PAKE prior shared system parameters

SIF session identity generation function

#### 4.2 Symbols

A, B distinguishing clients' identities including their respective domain names repre-

sented as octet strings

 $AK_{X,Y}$  symmetric authentication key shared between X and Y

aKG authentication public/private key pair generation function

aKV authentication public key validation function

 $apk_X$  entity X's authentication public key

 $ask_X$  entity X's authentication private key corresponding to  $apk_X$ 

 $c_X$  ciphertext generated from a symmetric encryption function by entity X

DEC(*K*, *c*) symmetric decryption function taking a secret key *K* and a ciphertext *c* as input and

giving as output a message m or a decryption failure symbol " $\bot$ "

DL discrete logarithm setting (standards.iteh.ai)

*EC* elliptic curve setting

SNC(V) SNC(V)

ENC(*K*, *m*) symmetric encryption function taking a secret key *K* and a variable-length message

m as input and giving a ciphertext c as output, e.g. by using one of the symmetric encryption systems specified in ISO/IEC 18033-3<sup>[9]</sup> and ISO/IEC 18033-4<sup>[10]</sup>

 $EK_{XY}$  symmetric encryption key shared between X and Y

eKG ephemeral public/private key pair generation function

eKV ephemeral public key validation function

 $epk_X$  entity X's ephemeral public key

 $esk_X$  entity X's ephemeral private key corresponding to  $epk_X$ 

GE group element under either discrete logarithm or elliptic curve setting

H hash-function taking an octet string as input and giving a bit string as output, e.g.

one of the dedicated hash-functions specified in ISO/IEC 10118-3[4]

 $h_X$  entity X's private key agreement key corresponding to  $p_X$ 

 $K_{YY}$  symmetric key shared between two entities X and Y

MAC(*K*, *m*) MAC function taking a symmetric key *K* and a variable-length message *m* as input

and giving a fixed-length cryptographic checksum  $\mu_X$  as output, e.g., by using one of

the MAC algorithms specified in ISO/IEC 9797-2[3]

MAX(x, y) maximum value function taking two integers x and y as input and giving the maxi-

mum value between *x* and *y* as output

MIN(x, y)minimum value function taking two integers x and y as input and giving the mini-

mum value between *x* and *y* as output

MiXstep *i* performed by entity *X* in a mechanism

entity X's public key agreement key  $p_X$ 

password shared between a domain server S and a domain client C pwd<sub>s</sub> c

distinguishing identities of domain servers of clients A and B, respectively, repre- $S_A$ ,  $S_B$ 

sented as octet strings

SI group setting index

session identity which is uniquely indicating to the session sid

entity X's private signature key corresponding to  $VK_X$  $SK_X$ 

session id contribution from entity A  $sn_A$ 

TK2SAKE symmetric authentication key

timestamp specifying a start time and an end time ts

 $VK_X$ entity X's public verification key

cryptographic checksum generated from a MAC function by entity X  $\mu_X$ 

digital signature system ards.iteh.ai) Σ

digital signature generated from  $\Sigma$ .SIG by entity X $\sigma_X$ 

https://standards.iteh.ai/catalog/standards/sist/86ba711d-3edb-40f3-8b32-private signature transformation function taking entity X's private signature key  $\Sigma$ .SIG( $SK_X$ , m)

 $SK_{x}$  and a variable-length message m as input and giving a digital signature  $\sigma_{x}$  as output, e.g. by using one of the digital signature systems specified in ISO/IEC 9796

(all parts)<sup>[2]</sup> and ISO/IEC 14888 (all parts)<sup>[8]</sup>

 $\Sigma$ .VER( $VK_X$ , m,  $\sigma_X$ ) public signature verification function taking entity X's public verification key  $VK_X$ ,

a variable-length message m and a digital signature  $\sigma_x$  as input, and giving a single

bit output: 0 (invalid) or 1 (valid)

 $\parallel$ X||Y denotes the result of the concatenation of octet strings X and Y in the order

> specified. In cases where the result of concatenating two or more octet strings is input to a cryptographic function as part of one of the mechanisms specified in this document, this result shall be composed so that it can be uniquely resolved into its constituent octet strings, i.e. so that there is no possibility of ambiguity in interpretation. This latter property can be achieved in a variety of different ways, depending on the application. For example, it can be guaranteed by a) fixing the length of each of the octet strings throughout the domain of use of the mechanism, or b) encoding the sequence of concatenated octet strings using a method that guarantees unique decoding, e.g. using the distinguished encoding rules defined in ISO/

IEC 8825-1<sup>[1]</sup>.

|y|bit length of a binary string y

#### ISO/IEC 11770-7:2021(E)

$\lceil X \rceil$	ceiling function taking a real number $x$ as input and giving the least integer greater than or equal to $x$ as output
	floor function taking a real number $x$ as input and giving the greatest integer less than or equal to $x$ as output
$0_E$	point at infinity on an elliptic curve $E$

#### Requirements 5

Each security domain shall have a trusted domain server acting as an authentication server governing a group of entities. Each entity within the domain shall share a password with the server. The entity shall possess a copy of its server's credential, such as a public key certificate and the means to verify it, in order to verify messages generated by the server. However, it is not necessarily for the server credential to be distributed in advance. In practice, the servers can distribute their credentials to the entities within their respective domains during the execution of a cross-domain key exchange protocol as specified in this document.

Further, a domain server shall make its public key available to other domain servers in the form of a public key certificate. That is, each domain server shall have access to the authenticated credentials of other domain servers. However, there is no interaction between domain servers during a protocol run.

The 4PAKE protocols specified in this document shall make use of currently available secure two-party key exchange protocols, including:

- NDARD PREVIEW 2PAKE protocols as specified in ISO/IEC 11770-4;
- 2AAKE protocols as specified in ISO/IEC 11770-3:
- 2SAKE protocols as specified in ISO/IEC 11770-2;11770-7:2021 https://standards.iteh.ai/catalog/standards/sist/86ba711d-3edb-40f3-8b32-
- 2NIKE protocols as specified in ISO/IEO 11770-3so-iec-11770-7-2021

NOTE Further information about these protocols can be found in References [12], [15] (for 2PAKE) and [13] (for 2AAKE and 2SAKE).

In addition, Annex A lists the object identifiers which shall be used to identify the mechanisms specified in this document.

#### **Mechanisms**

#### 6.1 General

This clause specifies three cross-domain password-based authenticated key exchange mechanisms. The key exchange mechanisms specified in 6.3 to 6.5 require that:

- each domain client involved shares a password-based weak secret with its domain server;
- each domain server involved shall possess an asymmetric key pair.

For the key exchange mechanisms specified in 6.3 and 6.4, each domain server involved possesses a private signature key and the corresponding public verification key of a digital signature system.

For the key exchange mechanism specified in 6.5, each domain server involved possesses a pair of private and public key agreement keys for a two-party non-interactive key exchange protocol.

All three cross-domain password-based authenticated key exchange mechanisms have the following initialization and key establishment processes.