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Information technology — Automatic identification and data capture techniques — Data Matrix bar code symbology specification

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Foreword

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The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives or www.iso.org/directives

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This document was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 31, *Automatic identification and data capture techniques*.

This third edition cancels and replaces the second edition (ISO/IEC 16022:2006), which has been technically revised.

The main changes are as follows:

- the extended channel interpretations and rectangular formats have become a mandatory feature;
- the historic data matrix variant "ECC 000" to "ECC 140" has been removed:
- continuous grading according to ISO/IEC 15415 has been introduced to all quality measurements;
- transition ratio grading has been changed;
- new quality parameter "print growth" has been added;
- the reference decode algorithm has been revised;
- the interleaving blocks for 144 x 144 matrix size have been clarified.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html and www.iso.org/members.html and www.iso.org/members.html and

Introduction

Data Matrix is a two-dimensional matrix symbology which is made up of nominally square modules arranged within a perimeter finder pattern. Though primarily shown and described in this document as a dark symbol on light background, Data Matrix symbols can also be printed to appear as light on dark.

Manufacturers of bar code equipment and users of the technology need publicly available standard symbology specifications to which they can refer when developing equipment and application standards. The publication of standardised symbology specifications is designed to achieve this.

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Information technology — Automatic identification and data capture techniques — Data Matrix bar code symbology specification

1 Scope

This document defines the requirements for the symbology known as Data Matrix. It specifies the Data Matrix symbology characteristics, data character encodation, symbol formats, dimensions and print quality requirements, error correction rules, decoding algorithm, and user-selectable application parameters.

It applies to all Data Matrix symbols produced by any printing or marking technology.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 19762, Information technology — Automatic identification and data capture (AIDC) techniques — Harmonized vocabulary

ISO/IEC 15415, Information technology — Automatic identification and data capture techniques — Bar code symbol print quality test specification — Two-dimensional symbols

ISO/IEC 646, Information technology — ISO 7-bit coded character set for information interchange

ISO/IEC 29158, Information technology — Automatic identification and data capture techniques — Direct Part Mark (DPM) Quality Guideline

3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 19762 and the following apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at https://www.iso.org/obp
- IEC Electropedia: available at https://www.electropedia.org/

3.1

codeword

symbol character value

intermediate level of coding between source data and the graphical encodation in the symbol

3.2

module

single cell of element in a matrix symbology symbol used to encode one bit of the codeword (3.1)

[SOURCE: ISO/IEC 19762:2016, 04.02.06]

3.3

pattern randomising

procedure to convert an original bit pattern to another bit pattern by inverting selected bits

Note 1 to entry: The resulting bitstream is less likely to have repeating patterns.

4 Symbols

- e number of erasures
- *k* total number of error correction codewords
- *n* total number of data codewords
- N numerical base in an encodation scheme
- *p* number of codewords reserved for error detection
- S symbol character
- t number of errors
- X horizontal and vertical width of a module
- ε error correction codeword

5 Mathematical or logical notations Standards

div integer division operator 38.//Stamuarus.ltem.al.

mod integer remainder after division ument Preview

XOR exclusive-or logic function whose output is one only when its two inputs are not equivalent

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6 Symbol description

6.1 Basic characteristics

Data Matrix is a two-dimensional matrix symbology.

The characteristics of Data Matrix are:

- a) Encodable character set:
 - 1) values 0 127 in accordance with ISO/IEC 646 IRV, i.e. all 128 ASCII characters;
 - 2) values 128 255 in accordance with ISO/IEC 8859-1 (these are referred to as extended ASCII);
 - 3) additional characters can be encoded using the ECI capabilities.
- b) Representation of data: A dark module is a binary one and a light module is a zero.

This document specifies Data Matrix symbols in terms of dark modules marked on a light background. However, <u>6.2</u> provides that symbols can also be produced with the module's colours reversed. In such symbols, dark modules would be a binary zero, and light modules would be a binary one.

c) Symbol size in modules (not including quiet zone) ranging from 10×10 to 144×144 square and rectangular versions ranging from 8×18 to 16×48 (see <u>Table 10</u>).

NOTE Additional rectangular symbol sizes are defined in ISO/IEC 21471 (see Reference [4]).

d) Data characters per symbol (for maximum symbol size):

1) Alphanumeric data: up to 2 335 characters

2) 8-bit byte data: 1 555 characters

3) Numeric data: 3 116 digits.

Code type: Matrix

Orientation independence: Yes

Error detection and correction: Reed Solomon.

6.2 Summary of additional features

The following summarises additional features which are inherent or optional in Data Matrix:

- Reflectance reversal: (inherent): Symbols are either dark on light or light on dark (see Figure 1). The specifications in this document are based on dark images on a light background, therefore references to dark or light modules should be taken as references to light or dark modules respectively in the case of symbols produced with reflectance reversal.
- Extended Channel Interpretations: (ECI), (inherent): This mechanism enables characters from other character sets (e.g. Arabic, Cyrillic, Greek, Hebrew) and other data interpretations or industry-specific requirements to be represented.
- Rectangular symbols: (inherent): Six symbol formats are specified in a rectangular form.
 - NOTE Additional rectangular symbol formats are available by ISO/IEC 21471 (see Reference [4]).
- Structured append: (optional): This allows files of data to be represented in up to 16 Data Matrix symbols. The original data can be correctly reconstructed regardless of the order in which the symbols are scanned. If the feature is not implemented, reader should not transmit data in case of a structured append symbol.

6.3 Symbol structure | Symbol st

6.3.1 General

Each Data Matrix symbol consists of data regions which contain nominally square modules set out in a regular array. In larger symbols, data regions are separated by alignment patterns. The data region, or set of data regions and alignment patterns, is surrounded by a finder pattern, and this shall in turn be surrounded on all four sides by a quiet zone border. Figure 1 illustrates two representations of a Data Matrix symbol, dark on light and reflectance reversal.



a) Data Matrix, dark on light



b) Data Matrix, light on dark (reflectance reversal)

Figure 1 — Data Matrix "A1B2C3D4E5F6G7H8I9J0K1L2"

6.3.2 Finder pattern

The finder pattern is a perimeter to the data region and is one module wide. Two adjacent sides, the left and lower sides, forming the L boundary, are solid lines; these are used primarily to determine physical size, orientation and symbol distortion. The two opposite sides are made up of alternating dark and light modules. These are used primarily to define the cell structure of the symbol, but also can assist in determining physical size and distortion. The extent of the quiet zone is indicated by the corner marks in Figure 1.

6.3.3 Symbol sizes and capacities

Data Matrix symbols have an even number of rows and an even number of columns. Some symbols are square with sizes from 10×10 to 144×144 not including quiet zones. Some symbols are rectangular with sizes from 8×18 to 16×48 not including quiet zones. For all Data Matrix code symbols, the upper right corner module has the opposite reflectance state (i.e. light or dark) of the "L" finder pattern (see Figure 1). The complete attributes are given in Table 10.

7 Data Matrix code requirements

7.1 Encode procedure overview

7.1.1 General

<u>Subclause 7.1</u> provides an overview of the encoding procedure. Following sections will provide more details. An encoding example is given in <u>Annex I</u>. The following steps convert user data to a Data Matrix code symbol.

7.1.2 Step 1: data encodation

As Data Matrix includes various encodation schemes that allow a defined set of characters to be converted into codewords more efficiently than the default scheme, analyse the data stream to identify the variety of different characters to be encoded. Insert additional codewords to switch between the encodation schemes and to perform other functions. Add pad characters as needed to fill the required number of codewords. If the user does not specify the matrix size, then choose the smallest size that accommodates the data. A complete list of matrix sizes is shown in Table 10.

Encodation scheme Characters Bits per data character double digit numerics 4 **ASCII** ASCII values 0 to 127 8 Extended ASCII values 128 to 255 16 Upper case alphanumeric 5,33 C40Lower case and special characters 10,66a Lower case alphanumeric 5,33 Text Upper case and special characters 10,66^b X12 ANSI X12 EDI data set 5,33 **EDIFACT** ASCII values 32 to 94 6 Base 256 All byte values 0 to 255 8

Table 1 — Encodation schemes for Data Matrix code

7.1.3 Step 2: error checking and correcting codeword generation

Encoded as two C40 values as result of use of a shift character. Encoded as two Text values as result of use of a shift character.

For symbols with more than 255 codewords, sub-divide the codeword stream into interleaved blocks to enable the error correction algorithms to be processed as shown in <u>Annex A</u>. Generate the error correction

codewords for each block. The result of this process expands the codeword stream by the number of error correction codewords. Place the error correction codewords after the data codewords.

7.1.4 Step 3: module placement in matrix

Place the codeword modules in the matrix. Insert the alignment pattern modules, if any, in the matrix. Add the finder pattern modules around the matrix.

7.2 Data encodation

7.2.1 Overview

The data may be encoded using any combination of six encodation schemes (see <u>Table 1</u>). ASCII encodation is the basic scheme. All other encodation schemes are invoked from ASCII encodation and return to this scheme. The compaction efficiencies given in <u>Table 1</u> need to be interpreted carefully. The best scheme for a given set of data may not be the one with the fewest bits per data character. If the highest degree of compaction is required, account has to be taken of switching between encodation schemes and between code sets within an encodation scheme (see <u>Annex J</u>). It should also be noted that even if the number of codewords is minimised, the codeword stream sometimes needs to be expanded to fill a symbol. This fill process is done using pad characters.

7.2.2 Default character interpretation

The default character interpretation for character values 0 to 127 shall conform to ISO/IEC 646 IRV. The default character interpretation for character values 128 to 255 shall conform to ISO/IEC 8859-1. The graphical representation of data characters shown throughout this document complies with the default interpretation. This interpretation can be changed using ECI escape sequences, see 7.3. The default interpretation corresponds to ECI 000003.

7.2.3 ASCII encodation

ASCII encodation is the default set for the first symbol character in all symbol sizes. It encodes ASCII data, double density numeric data and symbology control characters. Symbology control characters include function characters, the pad character and the switches to other code sets. ASCII data is encoded as codewords 1 to 128 (ASCII value plus 1). Extended ASCII (data values 128 to 255) is encoded using the upper shift symbology control character (see <u>7.2.4.3</u>). The digit pairs 00 to 99 are encoded with codewords 130 to 229 (numeric value plus 130). The ASCII code assignments are shown in <u>Table 2</u>.

NOTE ASCII encodation is the name of the character set in Data Matrix. It is not to be confused with the ASCII character set (ISO/IEC 646 IRV).

Codeword Data or function Not to be used in ASCII encodation 1 to 128 ASCII data (ASCII value + 1) 129 Pad 130 to 229 2-digit data 00 to 99 (Numeric Value + 130) 230 Latch to C40 encodation 231 Latch to Base 256 encodation 232 FNC1 233 Structured Append 234 Reader Programming 235 Upper Shift (shift to Extended ASCII) 236 05 Macro

Table 2 — ASCII encodation values

Table 2 (continued)

Codeword	Data or function	
237	06 Macro	
238	Latch to ANSI X12 encodation	
239	Latch to Text encodation	
240	Latch to EDIFACT encodation	
241	ECI Character	
242 to 255	Not to be used in ASCII encodation	

7.2.4 Symbology control characters

7.2.4.1 General

Data Matrix symbols have several special symbology control characters, which have particular significance to the encodation scheme. These characters shall be used to instruct the decoder to perform certain functions or to send specific data to the host computer as described in <u>7.2.4.2</u> to <u>7.2.4.10</u>. These symbology control characters, with the exception of values from 242 through 255, are found in the ASCII encodation (see <u>Table 2</u>).

7.2.4.2 Latch characters

A latch character shall be used to switch from ASCII encodation to one of the other encodation schemes. All codewords which follow a latch character shall be compacted according to the new encodation scheme. The encodation schemes have different methods for returning to the ASCII encodation.

7.2.4.3 Upper Shift character 195: / standards.iteh.ai)

The Upper Shift character is used in combination with an ASCII value (1 to 128) to encode an extended ASCII character (129 to 255). An extended ASCII character encoded in the ASCII, C40, or Text encodation scheme requires a preceding Upper Shift character and the extended ASCII character value decreased by 128 is then encoded according to the rules of the encodation scheme. In ASCII encodation, the Upper Shift character is represented by codeword 235. The reduced data value (i.e. ASCII value minus 128) is transformed into its codeword value by adding 1. For example, to encode \(\frac{1}{2}\) (Yen currency symbol) (ASCII value 165), an upper shift character (codeword 235) is followed by value 37 (165 to 128), which is encoded as codeword 38. If there are long data strings of characters from the extended ASCII range, a latch to Base 256 encodation should be more efficient.

7.2.4.4 Pad character

If the encoded data, irrespective of the encodation scheme in force, does not fill the data capacity of the symbol, pad characters (value 129 in ASCII encodation) shall be added to fill the remaining data capacity of the symbol. The pad characters shall only be used for this purpose. Before inserting pad characters, it is necessary to return to ASCII encodation if in any other encodation mode. The 253-State pattern randomising algorithm shall be applied to the pad characters starting at the second pad character as specified in Annex B.

7.2.4.5 ECI character

An ECI character is used to change from the default interpretation used to encode data. The ECI protocol is common across a number of symbologies and its application to Data Matrix is defined more fully in 7.3. The ECI character shall be followed by one, two or three codewords which identify the ECI being invoked. The new ECI remains in place until the end of the encoded data, or until another ECI character is used to invoke another interpretation. See also $\frac{Annex\ M}{A}$.

7.2.4.6 Shift characters in C40 and Text encodation

In C40 and Text encodation, three special characters, called shift characters, are used as a prefix to one of 40 values to encode about three quarters of the ASCII characters. This allows the remaining ASCII characters to be encoded in a more condensed way with single values.

7.2.4.7 FNC1 alternate data type identifier

To encode data to conform to specific industry standards as authorised by AIM Inc., a FNC1 character shall appear in the first or second symbol character position (or in the fifth or sixth data positions of the first symbol of Structured Append). FNC1 encoded in any other position is used as a field separator and shall be transmitted as $^{G}_{S}$ control character (ASCII value 29).

7.2.4.8 Macro characters

Data Matrix provides a means of abbreviating an industry specific header and trailer in one symbol character. This feature exists to reduce the number of symbol characters needed to encode data in a symbol using certain structured formats. A Macro character shall be in the first character position of a symbol. They shall not be used in conjunction with Structured Append and their functions are defined in <u>Table 3</u>. The header shall be transmitted as a prefix to the data stream and the trailer shall be transmitted as a suffix to the data stream. The symbology identifier, if used, shall precede the header.

Macro codewordNameInterpretation236 $05 \, \text{Macro}$ $[) > ^R _S 05 ^G _S$ $^R _S ^E o_T$ 237 $06 \, \text{Macro}$ $[) > ^R _S 06 ^G _S$ $^R _S ^E o_T$

Table 3 — Macro functions

7.2.4.9 Structured Append character

A Structured Append character is used to indicate that the symbol is part of a Structured Append sequence according to the rules defined in 7.5.

7.2.4.10 Reader Programming character

A Reader Programming character indicates that the symbol encodes a message used to program the reader system. The Reader Programming character shall appear as the first codeword of the symbol and Reader Programming shall not be used with Structured Append.

7.2.5 C40 encodation

7.2.5.1 **General**

The C40 encodation scheme is designed to optimise the encoding of upper-case alphabetic and numeric characters but also enables other characters to be encoded by the use of shift characters in conjunction with the data character.

C40 characters are partitioned into 4 subsets. Characters of the first set, called the basic set, are the three special shift characters, the space character, and the ASCII characters A to Z and 0 to 9. They are assigned to a single C40 values. Characters of the other sets shall be assigned to one of the three shift characters, pointing to one of the 3 remaining subset, followed by one of the C40 values (see <u>Table C.1</u>).

As a first stage, each data character is converted into a single C40 value or a pair of C40 values. The complete string of C40 values is then decomposed into groups of three values (special rules apply if one or two values remain at the end, see 7.2.5.3). Each triplet (C1, C2, C3) is then encoded into a 16-bit value according to the formula: (1600 * C1) + (40 * C2) + C3 + 1. Each 16-bit value is then separated into 2 codewords by taking the most significant 8 bits and the least significant 8 bits.

7.2.5.2 Switching to and from C40 encodation

It is possible to switch to C40 encodation from ASCII encodation using the appropriate latch codeword (230). Codeword 254 immediately following a pair of codewords in C40 encodation acts as an unlatch codeword to switch back to ASCII encodation. Otherwise, the C40 encodation remains in effect to the end of the data encoded in the symbol.

7.2.5.3 C40 encodation rules

Each pair of codewords represents a 16-bit value where the first codeword represents the most significant 8 bits. Three C40 values (*C1*, *C2*, *C3*) shall be encoded as:

$$(1600*C1) + (40*C2) + C3 + 1$$

which produces a value from 1 to 64 000. <u>Table 4</u> illustrates three C40 values compacted into two codewords. Characters in the Shift 1, Shift 2 and Shift 3 sets shall be encoded by first encoding the appropriate shift character, and then the C40 value for the data. C40 encodation may be in effect at the end of the symbol's codewords which encode data.

The following rules apply when only one or two symbol characters remain in the symbol before the start of the error correction codewords:

- a) If two symbol characters remain and three C40 values remain to be encoded (which may include both data and shift characters) encode the three C40 values in the last two symbol characters. A final unlatch codeword is not required.
- b) If two symbol characters remain and two C40 values remain to be encoded (the first C40 value may be a shift or data character but the second shall represent a data character) append a "Shift 1" character (C40 value 0) to the input to increase to three characters so that it can be processed normally as with any three character C40 input. A final unlatch codeword again is not required.
- c) If two symbol characters remain and only one C40 value (data character) remains to be encoded, the first symbol character is encoded as an unlatch character and the last symbol character is encoded with the data character using the ASCII encodation scheme.
- d) If one symbol character remains and one C40 value (data character) remains to be encoded, the last symbol character is encoded with the data character using the ASCII encodation scheme. The unlatch character is not encoded, but is assumed, before the last symbol character.

In all other cases, either an unlatch character is used to exit the C40 encodation scheme before the end of the symbol, or a larger symbol size is required to encode the data.

Data characters	AIM	
C40 values	14, 22, 26	
Calculate 16-bit value	(1600 * 14) + (40 * 22) + 26 + 1 = 23307	
1st codeword: (16-bit value) div 256	23 307 div 256 = 91	
2nd codeword: (16-bit value) mod 256	23 307 mod 256 = 11	
Codewords	91, 11	

Table 4 — Example of C40 encoding

7.2.5.4 Use of Upper Shift with C40

In C40 encodation the Upper Shift character is not a symbology function character but a shift within the encodation set. When a data character from the extended ASCII character range is encountered, three or four values in C40 encodation need to be encoded according to the following rule:

IF [ASCII value – 128] is in the Basic Set then: