



Designation: D2777 – 12

## Standard Practice for Determination of Precision and Bias of Applicable Test Methods of Committee D19 on Water<sup>1</sup>

This standard is issued under the fixed designation D2777; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reappraisal. A superscript epsilon ( $\epsilon$ ) indicates an editorial change since the last revision or reappraisal.

### 1. Scope

1.1 This practice establishes uniform standards for estimating and expressing the precision and bias of applicable test methods for Committee D19 on Water. In principle, all test methods are covered by this practice.

1.2 Except as specified in 1.4, 1.5, and 1.6, this practice requires the task group proposing a new test method to carry out a collaborative study from which statements for precision (overall and single-operator standard-deviation estimates) and bias can be developed. This practice provides general guidance to task groups in planning and conducting such determinations of precision and bias.

1.3 This practice also provides guidance to task groups for conducting limited-scale collaborative studies (known as “comparability studies”) for test methods that have been revised, when such revision includes substantive modifications. Examples of substantive modifications may include, but are not limited to, changes in mandatory or allowable instrumentation, reagents, reaction times, etc.

1.3.1 Changes to applicable water matrices in the Scope of a method may constitute a substantive modification under this provision. However, recognize that even the original collaborative study may not have used all the various matrix types specified in the method’s original Scope.

1.3.2 A method’s concentration-range extension that is deemed to merit additional collaborative testing (even without a method modification that would otherwise be considered substantive) shall require a full collaborative study, as described in Sections 7.1-7.5, but only at Youden-pair concentrations representative of the extended range. Note that such a collaborative study could involve as little as a single-sample Youden-pair study in a single reproducible matrix.

1.3.3 Whether a revision to a test method includes substantive modification shall be determined by consensus of the Committee.

1.4 If a full-scale collaborative study is not technically feasible, due to the nature of the test method or instability of samples, the largest feasible scaled-down collaborative study shall be conducted to provide the best possible limited basis for estimating the overall and single-operator standard deviations.

1.4.1 Examples of acceptable scaled-down studies are the local-area studies conducted by Subcommittee D19.24 on microbiological methods because of inherent sample instability. These studies involve six or more completely independent local-area analysts who can begin analysis of uniform samples at an agreed upon time.

1.4.2 If uniform samples are not feasible under any circumstances, a statement of single-operator precision will meet the requirements of this practice. Whenever possible, this statement should be developed from data generated by independent multiple operators, each doing replicate analyses on independent samples (of a specific matrix type), which generally fall within specified concentration ranges (see 7.2.5.2 (3)).

1.4.3 This practice is not applicable to methodology involving continuous sampling or continuous measurement, or both, of specific constituents and properties.

1.4.4 This practice is also not applicable to open-channel flow measurements.

1.5 A collaborative study that satisfied the requirements of the version of this practice in force when the study was conducted will continue to be considered an adequate basis for the precision-and-bias statement required in each test method. If the study does not satisfy the current minimum requirements for a collaborative study, a statement listing the study’s deficiencies and a reference to this paragraph shall be included in the precision-and-bias statement as the basis for an exemption from the current requirements.

1.6 This paragraph relates to special exemptions not clearly acceptable under 1.4 or 1.5. With the approval of Committee D19 on the recommendation of the Results Advisor and the Technical Operations Section of the Executive Subcommittee of Committee D19, a statement giving a compelling reason why compliance with all or specific points of this practice cannot be achieved will meet both ASTM requirements (1)<sup>2</sup>

<sup>1</sup> This practice is under the jurisdiction of ASTM Committee D19 on Water and is the direct responsibility of Subcommittee D19.02 on Quality Systems, Specification, and Statistics.

Current edition approved June 15, 2012. Published July 2012. Originally approved in 1969. Last previous edition approved in 2008 as D2777 – 08E01. DOI: 10.1520/D2777-12.

<sup>2</sup> The boldface numbers in parentheses refer to the list of standards at the end of this practice.

and the related requirements of this practice. In addition, Committee D19, through a Main Committee ballot, may approve publication of a “Preliminary” Standard Method for a period not to exceed 5 years. Preliminary Standards must contain a minimum of a single-operator precision-and-bias statement and a Quality Control section based on the single operator data. Publication of a Preliminary Standard is conditional on the approval of a full D2777 collaborative study design for the standard. Precision-and-bias statements authorized by this paragraph shall include the date of approval by Committee D19.

1.7 Per Section A21.2.3 of the ASTM Form and Style Manual the committee may delay an interlaboratory study for a new method and include a temporary statement in the Precision and Bias Section that addresses only single operator precision (“repeatability”). This statement is valid for five years from the initial publication date. In this case, a single laboratory study shall be conducted in accordance with Section 7.6.

1.8 In Section 12 this practice shows exemplary precision-and-bias-statement formats for: (1) test methods yielding a numerical measure, (2) test methods yielding a non-numerical report of success or failure based on criteria specified in the procedure, and (3) test methods specifying that procedures in another ASTM test method are to be used with only insignificant modifications.

1.9 All studies, even those exempt from some requirements under Sections 1.4 through 1.8, shall receive approval from the Results Advisor before being conducted (see Section 8) and after completion (see Section 13).

1.10 This practice satisfies the QC requirements of Practice D5847.

1.11 It is the intent of this practice that task groups make every effort to retain all the data from their round-robin studies. Values should not be eliminated unless solid evidence exists for their exclusion. The Results Advisor should work closely with the task groups to effect this goal.

## 2. Referenced Documents

### 2.1 ASTM Standards:<sup>3</sup>

- D1129 Terminology Relating to Water
- D1141 Practice for the Preparation of Substitute Ocean Water
- D1193 Specification for Reagent Water
- D4375 Practice for Basic Statistics in Committee D19 on Water
- D5790 Test Method for Measurement of Purgeable Organic Compounds in Water by Capillary Column Gas Chromatography/Mass Spectrometry
- D5847 Practice for Writing Quality Control Specifications for Standard Test Methods for Water Analysis
- D5905 Practice for the Preparation of Substitute Wastewater

<sup>3</sup> For referenced ASTM standards, visit the ASTM website, [www.astm.org](http://www.astm.org), or contact ASTM Customer Service at [service@astm.org](mailto:service@astm.org). For *Annual Book of ASTM Standards* volume information, refer to the standard’s Document Summary page on the ASTM website.

D6091 Practice for 99a??%/95a??% Interlaboratory Detection Estimate (IDE) for Analytical Methods with Negligible Calibration Error

D6512 Practice for Interlaboratory Quantitation Estimate

E177 Practice for Use of the Terms Precision and Bias in ASTM Test Methods

E178 Practice for Dealing With Outlying Observations

E456 Terminology Relating to Quality and Statistics

E691 Practice for Conducting an Interlaboratory Study to Determine the Precision of a Test Method

E1169 Practice for Conducting Ruggedness Tests

### 2.2 ASTM Adjuncts:

DQCALC Microsoft Excel-based software for the Interlaboratory Quantitation Estimate (IQE)<sup>4</sup>

## 3. Terminology

3.1 *Definitions*—For definitions of terms used in this practice, refer to Terminologies D1129, D4375 and E456, and Practice E177.

### 3.2 Definitions of Terms Specific to This Standard:

3.2.1 *accuracy, n*—a measure of the degree of conformity of a single test result generated by a specific procedure to the assumed or accepted true value, and includes both precision and bias.

3.2.2 *bias, n*—the persistent positive or negative deviation of the average value of a test method from the assumed or accepted true value.

3.2.3 *comparability study, n*—a collaborative study that incorporates side-by-side evaluation of the test method before and after a substantive modification to a test method.

3.2.4 *laboratory, n*—a single and completely independent analytical system with its own specific apparatus, source of reagents, set of internal standard-operating procedures, etc. Different laboratories will differ from each other in all of these aspects, regardless of how physically or organizationally close they may be to each other.

3.2.5 *limited validation study, n*—in a test method, a validation study that does not fulfill all D2777 requirements for a full-scale collaborative study, but that can be used for revalidation of revised methods.

3.2.6 *operator, n*—usually the individual analyst within each laboratory who performs the test method throughout the collaborative study. However, for complicated test methods, the operator may be a team of individuals, each performing a specific function throughout the study.

3.2.7 *precision, n*—the degree of agreement of repeated measurements of the same property, expressed in terms of dispersion of test results about the arithmetical-mean result obtained by repetitive testing of a homogeneous sample under specified conditions. The precision of a test method is expressed quantitatively as the standard deviation computed from the results of a series of controlled determinations.

3.2.8 *substantive modification, n*—in a test method, a change (or changes) that is deemed by the Committee to be of

<sup>4</sup> Available from ASTM International Headquarters. Order Adjunct No. ADJDQ-CALC. Original adjunct produced in 2007.

such magnitude that the change might affect the precision-and-bias data published with the original method.

#### 4. Summary of Practice

4.1 After the task group has assured itself that the test method has had all preliminary evaluation work completed, the task group should prepare the test-method write-up in final form. The plan for collaborative study is developed in accordance with this practice and submitted along with the test-method write-up to the Results Advisor for concurrence except as specified in 1.4, 1.5, and 1.6. Upon receipt of concurrence, the collaborative test is conducted, data analyzed, and precision-and-bias statements formulated by the task group. Estimates of the lower limits of quantitation and detection may also be developed. The final precision-and-bias statistics must be based on usable data from at least six independent laboratories. The statements, with backup data including the reported-results summary, the calculations leading up to the statements, and the test method write-up with precision-and-bias statements included are submitted to the subcommittee vice-chairman, who in turn sends a copy to the Results Advisor for concurrence before balloting. This procedure assures having an acceptable copy of the collaborative-study results to send to ASTM for items on the main-committee ballot. In most instances, the collaborative study shall be complete before a subcommittee ballot. If the collaborative study is not complete, the test method may go on the ballot as a provisional test method rather than a standard test method. Copies of the test data, approved calculations, and statistical results shall be filed at ASTM Headquarters when the test method is submitted by the subcommittee chairman as an item for the main-committee ballot.

4.1.1 The appendix shows an example of “Form A—Approval of Plans for Interlaboratory Testing,” as Fig. X1.1.  
<https://standards.iteh.ai/catalog/standards/sist/9918cc26-777-4a0d-b011-281100000000/astm-d2777-12>

4.1.2 For examples of data-reporting forms, see Appendix X3, 6.0.

4.1.3 In addition, the appendix shows a sample calculation of precision and bias from real collaborative-test data, the related table of statistics, and the related precision-and-bias statement.

#### 5. Significance and Use

5.1 Following this practice should result in precision-and-bias statements that can be achieved by any laboratory properly using the test method studied. These precision-and-bias statements provide the basis for generic limits for use in the Quality Control section of the test method. Optionally, the detection and quantitation values provide estimates of the level at which most laboratories should be able to achieve confident detection and meet the minimum precision (expressed as relative standard deviation) expected.

5.2 The method specifies the matrices for which the test method is appropriate. The collaborative test corroborates the write-up within the limitations of the test design. An extensive test can only use representative matrices so that universal applicability cannot be implied from the results.

5.3 The fundamental assumption of the collaborative study is that the matrices tested, the concentrations tested, and the participating laboratories are a representative and fair evaluation of the scope and applicability of the test method as written.

#### 6. Preliminary Studies

6.1 Considerable pilot work on a test method must precede the determination of its precision and bias (2, 3). This pilot work should explore such variables as preservation requirements, reaction time, concentration of reagents, interferences, calibration, and sample size. Potentially significant factors must be investigated and controlled in the written test method in advance of the collaborative test. Also, disregard of such factors may introduce so much variation among operators that results are misleading or inconclusive (4) (see 9.3 and 9.4). A ruggedness study conducted in a single laboratory is particularly useful for such investigations and should be conducted to prove a test method is ready for interlaboratory testing (see Guide E1169 for details).

6.2 Only after a proposed test method has been tried, proved, and reduced to unequivocal written form should a determination of its precision and bias be attempted.

6.3 If the task group intends to evaluate the method characteristics of detection and quantification, Practice D6091 (Interlaboratory Detection Estimate) or Standard Practice D6512 (Interlaboratory Quantitation Estimate), or both, should be evaluated and the recommendations for study designs incorporated. Determining detection capability and absolute bias for measurements at background is especially critical for methods (such as radiochemical methods) that do not censor measurement results (for example, against a critical level, MDL or reporting level).

6.3.1 To minimize the number of samples required, data would be gathered in two phases:

6.3.1.1 *Phase I*—Single-laboratory characterization. In this phase, the method developer would run a sufficient number of samples at a sufficient number of concentrations to characterize fully response vs. concentration, as well as error vs. concentration. The lowest concentration would be the level of the blank or the lowest concentration that could be measured; the highest concentration would be at the upper limit of the analytical range.

6.3.1.2 *Phase II*—Collaborative study. Using the results of Phase I, the method developer would estimate the minimum number and the magnitude of concentrations necessary to meet the requirements of the documents of interest.

#### 7. Planning the Collaborative Test

7.1 Based upon the task group’s knowledge of a test method and the unequivocal write-up, several factors must be considered in planning the collaborative test to assess the precision of the test method properly. The testing variables that must be considered in planning are discussed below. In the collaborative study, it is generally not acceptable to control significant sources of variability that cannot be controlled in routine use of the test method, because this control leads to false estimates of the test-method precision and bias. In addition, the task group

must determine within the resources available how best to estimate the precision and bias of the test method.

## 7.2 Testing Variables:

7.2.1 It is desirable to develop a test method's precision statement that indicates the contribution to overall variation of selected causes such as laboratory, operator, sample matrix, analyte concentration, and other factors that may or have been shown to have strong effects on the results. Since any test method can be tried in only a limited number of applications, the standard deviation calculated from the results of a study can be only an estimate of the universe standard deviation. For this reason, the symbol  $s$  (sample standard deviation) is used herein. The precision estimates generated from the study data will usually be the overall standard deviation ( $s_T$ ) at any one concentration and the pooled single-operator standard deviation ( $s_o$ ) for each sample matrix and concentration studied.

7.2.2 Laboratories, operators, sample matrices, and analyte concentrations are the only sources of variability represented in the precision-and-bias statements resulting from the usual collaborative study. These sources may not represent the additional influence that can arise from differences in sample splitting, field preservation, transportation, etc., all of which may influence routine analytical results as shown in the general precision definitions in Terminology **D1129**.

7.2.3 *Laboratories*—The final precision-and-bias statistics for each analyte, matrix, and concentration must be based on data from at least six laboratories that passed any outlier tests (see **10.3**) (that is, usable data). To be assured of meeting this requirement, it is recommended that usable data be obtained from a minimum of eight independent laboratories. To guarantee eight will provide usable data, it will often be necessary to get ten or more laboratories to agree to participate, because some may not provide data and others may not provide usable data. Maximizing the number of participating laboratories is often the most important thing that can be done to guarantee a successful study.

7.2.4 Even if the single-operator standard deviation is the only statistic to be estimated in the study (see **1.4.2**), there should be a minimum of eight operators who provide usable data, so there is assurance of data from six operators after all outlier removal.

7.2.5 *Sample Matrices*—The collaborative study shall be conducted with at least one representative sample matrix, which should be reproducible by subsequent user-laboratories so that they can compare their results with the results of the collaborative study.

7.2.5.1 Typically, a reagent water prepared according to Specification **D1193** or a synthetic medium, such as the substitute wastewater described in Practice **D5905** or the substitute ocean water described in Specification **D1141**, is used as the reference matrix. Analytes and matrix may be supplied separately, with the analytes supplied as concentrates for addition to this matrix by each laboratory; alternatively, the reference matrix containing the analyte(s) may be supplied to each participant. Information on how the reference matrix was prepared in the study shall be clear in the precision-and-bias statement of the test method so users can reproduce the study conditions properly.

7.2.5.2 Additional collaborative testing should also be conducted using other matrices specified in the scope of the test method. Since these matrices must be the same for each study participant, they may have to be prepared (or obtained from a single source), preserved, and distributed to all laboratories. As with the reference matrix, analytes may be supplied in a separate spiking solution or already added to the matrix. A particularly attractive matrix might be a standard material available from an organization such as the National Institute of Standards and Technology (NIST). In a collaborative test, use of uniform sample matrices is necessary since they enable a more certain comparison with the reference matrix than is possible with matrices supplied separately by each participant.

(1) Use of matrices with naturally occurring, non-zero background levels of the analyte(s) being studied will result in precision-and-bias estimates that will be much more difficult to compare properly with estimates from the reference matrix.

(2) Any matrix spiking that may be necessary shall not significantly change the natural characteristics of the matrix.

(3) With the exception of the kind of limited study described in **1.4.2**, the matrix-of-choice approach, in which each participant is expected to acquire his or her own sample of a designated type, should not be used. Such studies are basically incompatible with the statistical approaches employed in this practice. In addition, the presence of variable background concentrations prevents the assignment of a proper mean-concentration level to each precision estimate produced in the study.

7.2.5.3 The same study design should be used for all sample matrices. A separate precision-and-bias statement should be generated for each sample matrix with a brief description of the matrix tested.

7.2.5.4 When studies are available indicating the applicability of the test method for matrices untested in **7.2.5.1** and **7.2.5.2** and not meeting the other requirements of this practice, at the discretion of the task group responsible for the test method and the Results Advisor, and providing the data are analyzed in accordance with Section **10** of this practice, these supporting data may be included in a separate section of the precision-and-bias statement. Included shall be a clear but brief description of the matrices and the study protocol employed. It is the intent of this practice that ultimately, data concerning the precision and bias of the test method in the full range of matrices covered in the scope and analyzed in accordance with this practice, will be made available to the users of the test method.

7.2.6 *Analyte Concentrations*—If pilot work has shown that precision is linear with increasing analyte concentrations, at least three Youden pairs (**5**) (that is, six concentrations) covering the desired range of the test method should be included for each matrix. If the pilot work suggests that the precision should be other than constant or linear, more concentration levels should be analyzed, especially in the non-linear portions of the expected relationship between precision and concentration. Also, if the desired uses of the method include comparisons (for example, either among laboratories or with a regulatory standard) at or near the estimated detection

level of the method, sufficient concentrations should be included in the desired matrix to comply with the requirements of the IDE. Similarly, if it is desired to know the level of quantitation of the method for data to be used in interlaboratory comparisons, concentrations should be selected to comply with the requirements of the IQE. Study concentrations, except additional concentrations needed in the trace range to characterize detection below the range of calibration should generally be rather uniformly distributed over the range of the test method.

**NOTE 1**—The precision and bias statement is only valid for the range of the data included in the study, so care should be taken to assure that trace concentrations and upper bound of the linear range are considered in establishing the study concentrations.

7.2.6.1 Study samples with concentrations at or near a detection limit can produce non-quantitative results from participating laboratories if participants are permitted to use their detection limit to censor their results. Zeroes or 'less thans' that result from this censoring process are non-quantitative results and cannot be included in the statistical analysis of study results specified later in this practice. Conducting the specified statistical analysis on remaining quantitative data (that is, eliminating the non-numeric data) under such circumstances can produce misleading precision-and-bias estimates. In general, if at a single concentration or Youden pair, more than  $\frac{1}{3}$  of the data are non-numeric, the concentration/pair should be excluded from the precision and bias determination. Therefore, when designing the study, carefully consider instructions to laboratories on censoring practices, typical levels of detection and minimum calibration and consider including more concentrations in the study than the minimum required. Results from analyses of Youden pairs at or near the detection limit can be included in this traditional statistical analysis (and thus the working range of the method extended) if it turns out that most laboratories report quantified results.

7.2.7 Since the order of analyses should not be a source of systematic variability in the study, each participant should either be told to randomize the order of study-sample analyses or be given a specific random order for the analyses.

7.2.7.1 Whenever the time of analyses has been shown to influence the analytical results, close control over the time of analyses will be essential.

7.2.8 If pilot work has shown that the sample container must be of a specific material prepared in a specific manner prior to use, the variation in containers obtained and prepared by the participants will be a random variable and should be treated as such in the planning of the study and in the statistical analysis of the data.

7.2.9 The manner of preservation or other treatment of the sample prior to typical use of the test method (if known to affect the precision or bias, or both, of results) shall be incorporated into the collaborative-study design.

### 7.3 Measurement of Precision:

7.3.1 Every interlaboratory study done to provide precision-and-bias estimates for a D19 test method must use a Youden-pair design rather than a replicate-sample design. Justifiable exceptions to this requirement shall be approved through the

process provided in 1.6. In a Youden-pair design, each participant receives (or prepares from a concentrate and a matrix, both of which are furnished by the study) a separate sample *for each analysis required in the study*. Among the set of samples each laboratory analyzes for a specific matrix, there are pairs of samples containing similar but usually different analyte concentrations that differ from each other by up to 20 %; the percentage calculation is based on the average of the two samples in the pair. As a matter of convenience in preparing the samples or spiking concentrates, up to half the concentration levels may be represented by blind duplicates rather than Youden pairs, but the participants must have no basis for comparing their single-test results from analyses of different study samples.

7.3.2 The only difference in treatment of data from a Youden-pair study is the calculation used to estimate the means and standard deviations; these calculations may be found in Youden and Steiner (6). Once developed, these mean and standard-deviation estimates are treated the same as statistics from a study with the usual replicate design. A detailed example with and without raw experimental data is given in Refs. (7) and (8), respectively.

7.3.3 The value of the nonreplicate design is that the single-operator standard-deviation estimates are free of any conscious or unconscious analyst bias. The procedures for calculating overall and single-operator standard deviations are given in 11 and illustrated in Appendix X2.

### 7.4 Measurement of Bias:

7.4.1 The concept of accuracy comprises both precision and bias (see Terminology D1129 and Practice E177). As discussed in Practice E177, there is not a single form that can be universally recommended for statements of accuracy. Since the accuracy of a measurement process is affected by both random and systematic sources of error, measures of both kinds of error are needed. The standard deviation is a universal measure of random sources of error (or precision). Bias is a measure of the systematic errors of a test method.

7.4.2 A collaborative-study evaluation of bias for a specific matrix produces a set of analyte/sample means. The difference between a true value (however defined) and the related mean is an estimate of the average systematic error (that is, bias of the test method).

7.4.3 There are three major approaches commonly used to test a measurement procedure: (1) measurement of known materials, (2) comparison with other measurement procedures, and (3) comparison with modifications of the procedure itself (9). The third approach may involve the standard-addition technique or the simultaneous analysis of several aliquots of different sizes (for example, 0.5, 1, 1.5, 2, 2.5 units). The task group will select the approach that best suits its needs within the resources available to it.

7.4.4 The most likely task-group approach will be the use of known materials. Since reference standards are unlikely to be available, the task group will prepare its samples with added (therefore known to them) quantities of the constituent(s) being tested. The best available chemical and analytical techniques for preparing, stabilizing (if necessary), storing and shipping the prepared samples should be known within the task group

and will not be addressed in this practice. However, if the sample-preparation and handling techniques used for the study are different from those expected to be used for samples during routine application of the test method, those differences shall be pointed out in the precision-and-bias statement. Future users of the test method may decide that these differences had an effect on the precision or bias results, or both, from the study.

#### 7.5 *Quality Control During the Study:*

7.5.1 The Quality Control section to appear in the test method must be drafted before the collaborative-study design is made final, and the study design must assure that the collaborative study will produce any background data not otherwise available to complete the final Quality Control section properly. Each part of the draft Quality Control section must be used during the collaborative study, unless insufficient background data exist to establish credible interim required performance criteria for that part.

7.5.2 All quality control data/information produced to meet the requirements of 7.5.1 shall be reported to the task-group chair, along with results from analyses on the study samples.

7.6 A temporary Precision and Bias statement that addresses only repeatability (see 1.6) shall follow the procedures of 7.1 through 7.5 to the extent possible.

7.6.1 Repeatability for each concentration level shall be based on a minimum of seven retained replicate determinations. Adequate replicate concentrations should be used to insure that there are at least seven values will be usable after eliminating outliers.

7.6.2 Replicates of each concentration shall be true replicate concentrations, not comprised of Youden pairs.

7.6.3 The analyst should be blinded with respect to the true concentration at each level.

7.6.4 At least three concentrations covering the range of the test method shall be included for each matrix tested.

7.6.5 The temporary Precision and Bias statement commonly will be based on results from a single laboratory. However, two or more laboratories or analysts may be used and their results pooled to form the repeatability estimate. Fewer than seven replicates may be analyzed within each laboratory or analyst as long as there are at least six “degrees of freedom” for repeatability (the single operator standard deviation). Degrees of freedom are calculated as the total number of replicates analyzed across all laboratories/analysts minus the number of laboratories/analysts. Interlaboratory results, such as interlaboratory standard deviation (“reproducibility”) may be reported with a clear statement that the results are based on data not in accordance with D2777 and are to be considered only illustrative of potential results. A description of the data actually used (number of laboratories and/or analysts) shall be included in any case.

7.6.6 All other requirements for collaborative studies shall apply to the repeatability study. References to Youden pairs shall be construed as references to replicate samples. References to interlaboratory results or comparisons shall be ignored.

7.7 In cases where a test method has been revised and the revision is deemed to have included substantive modification to

the method (see Section 1.3), a comparability study must be completed whenever it is feasible to do so. At any rate, a limited validation study must be completed when a full comparability study is not feasible.

7.7.1 The comparability study shall follow the general principles of the full-scale Collaborative Test, as outlined in Sections 7.1 through 7.5, above, with following exceptions, which describe the minimum requirements for the comparability study.

7.7.1.1 Three laboratories providing usable data shall participate in the comparability study.

7.7.1.2 One representative, reproducible matrix shall be tested, as described in Section 7.2.5.

7.7.1.3 Two concentrations of the analyte(s) shall be tested, representing low and high levels with respect to the method’s intended range. Triplicates of each concentration level, comprising true replicate concentrations, not Youden pairs, shall be prepared for each laboratory. Concentrations used shall be identical for each laboratory, which shall be blind with respect to the true concentration values.

7.7.1.4 Each laboratory shall conduct analysis of the samples using both the original method as written before revision and the proposed revised method. Each sample shall be processed through the method, original or revised, in its entirety prior to analysis of another test sample, even when the methods are identical with regard to their initial or final steps. Ideally, laboratories should alternate in their use of the original and revised methods, or randomize their order, although this may not be possible in many cases due to limited space, availability of equipment, or other limiting factors.

7.7.2 In cases where it is not feasible to perform a comparability study, such as when it is not possible or practical to expect a laboratory to operate the two different procedures, different instruments, etc., a limited validation study shall be performed in lieu.

7.7.2.1 Three laboratories providing usable data shall participate in the study.

7.7.2.2 One representative, reproducible matrix shall be tested, as described in 7.2.5.

7.7.2.3 Three concentrations of the analyte(s) shall be tested, covering the method’s intended range. Triplicates of each concentration level, comprising true replicate concentrations, not Youden pairs, shall be prepared for each laboratory. Concentrations used shall be identical for each laboratory, which shall be blind with respect to the true concentration values.

7.7.3 All other requirements for collaborative studies shall apply to the comparability or limited validation study. References to Youden pairs shall be construed as references to replicate samples.

## 8. Collaborative Study Design Approval

8.1 After design approval by the task group, the task-group chair (or designee) will summarize the proposed design of the collaborative study. This summary will include: (1) the test method (in ASTM format and as approved by the task group) to be tested; (2) the analytes to be included in the study; (3) the number of samples in accordance with the paired-sample plan

of 7.3.1; (4) the approach for determining the bias of the test method as exemplified in the collaborative study; (5) the range of concentrations covered, and approximate concentration of material in each sample or set; (6) the approximate number of laboratories and analysts; (7) the matrices and QC samples being tested; (8) plans for developing study samples; and (9) a copy of the instruction and data-reporting package to be given to each study participant. This summary should be presented to the Results Advisor in the form of a letter.

8.1.1 As an aid, the task group chairman may use, “Form A-Approval of Plans for Interlaboratory Testing,” and in **Appendix X1** (a completed example is shown in **Fig. X1.1**).

8.2 Upon review of the plan, the Results Advisor will advise the task-group chairman whether the plan meets the requirements of this practice or what changes are necessary to meet the requirements of this practice.

8.3 Upon receipt of approval of the collaborative-test plan by the Results Advisor, the task-group chairman (or designee) will conduct the collaborative test.

## 9. Conducting the Collaborative Study

9.1 A single entity, acting for the task group, will prepare the samples for the collaborative study and ship them to the participants with: (1) instructions for the study; (2) a copy of the exact test method (if not already supplied); and, (3) the participant reporting form (or reporting instructions).

9.1.1 The instructions for the collaborative study shall require sufficient preliminary work by potential collaborators to familiarize them adequately with the test method prior to study measurements. This preliminary familiarization is necessary to ensure that each collaborative study is made by a peer group and that a learning experience is not included in the statistics of the collaborative study. The task group may also develop procedures to qualify prospective collaborators, and this approach is strongly recommended.

9.1.2 Each laboratory should usually supply its own calibration materials, as independent calibration materials are a significant source of interlaboratory variability. However, if the cost of availability of calibration materials is judged to be a significant deterrent to participation, or if currently available materials are inadequate and not considered typical for subsequent routine use of the test method, these materials may be distributed with the study samples. If calibration standards are provided, the precision-and-bias section of the test method should so note, including the concentrations and matrix of the standards and any specific instructions for their use.

9.1.3 As an aid, the task-group chairman may use the “Sample Template for a Round-Robin Study Workplan,” as in **Appendix X3**.

9.2 The batch of samples containing a specific member of a Youden pair should be clearly marked with a common unique code, informative to the distributors but not informative to the study participants. Samples should be sized to supply more than the minimum amount necessary to participate in the study (with reasonable allowance for pipetting, rinsing, etc.) to allow for trial runs and analytical restarts that may be necessary. A separate set of samples shall be provided for each operator.

Sample concentrations should not be easily surmised values (1, 5, etc.). The assignment of samples to the participating laboratories should be randomized within each concentration level. The above recommendations should help assure statistical independence of results.

9.3 A copy of the test method under investigation, the written instructions for carrying out his/her part of the program, and the necessary study samples should be supplied to each operator. No supplementary instructions or explanations (such as by telephone or from a task-group member within a cooperating laboratory) should be supplied to one participant if not to all. Study materials should be distributed from one location, and the operator’s reports should be returned to one location.

9.4 The written instructions should cover such items as: (1) directives for storing and subdividing the sample; (2) preparation of sample prior to using the test method; (3) order of analyses of samples (random order within each laboratory is often best); (4) details regarding the reporting of study results on the reporting form; and (5) the time limit for return of the reporting form.

9.4.1 Laboratories shall be required to report all figures obtained in making measurements, instead of rounding results before recording them. This practice may result in recording one or more significant figures beyond what may be usual in the Report section of the test method. A decision about rounding all data can be made by the task group when the final statistical analyses are performed.

9.4.2 The laboratories shall report results from analyses of study samples without background subtraction and shall also report background levels for every matrix that they use in the study. The task group will make any background corrections that may be necessary.

9.4.3 Zeros and negative numbers should be reported whenever they represent the actual test results produced. Test results should never be censored by a participant. The reporting of “less-than” or “greater-than” results negates the objectivity of subsequent statistical calculations and should be avoided. Zero never should be reported in place of a less-than or other nonquantitative test result.

9.5 The task-group chair (or designee) should monitor the collaborative study to assure that results are reported back within the agreed upon time limit and are free of obvious procedural, transcription, clerical, or calculation errors. Careful design of the reporting form (or reporting instructions) will facilitate this task.

## 10. Collaborative Study Data Analysis

10.1 For each matrix/analyte, the steps involved by the task-group chair in the data analysis consist of: (1) tabulating the data; (2) eliminating any laboratories that did not follow significant study instructions, were not in control during the study, or were so consistently high or low that their results are unreasonable (see 10.3); (3) for each matrix and analyte concentration studied, calculating the overall and single-operator standard deviations and means from the usable data and calculating the bias from each mean spike recovery (must

subtract the mean reported background value whenever necessary); (4) tabulating the statistics; (5) assembling information required for the research report; and, if desired, (6) summarizing these results in a graph or regression equation for the test-method statement.

10.1.1 As an aid to following the steps, the task group chair may find it helpful to review the sample calculations of precision and bias given in **Appendix X2**.

10.2 *Tabulation of Data*—The data reported by the laboratories shall be made consistent in reporting units and, if possible, in the number of reported values per operator or laboratory (10). Before data tabulation is begun, any unusable data sets (that is, sets generated by laboratories that did not follow significant study instructions or used an unacceptable variation of the test method being studied) shall be removed. Unless each laboratory used its own matrix with a unique background concentration, all bias and precision estimates are to be based on the concentration reported, rather than on background-corrected results.

10.2.1 Sometimes, looking at the histogram of a set of data will help one recognize or understand, or both, the cause of unusual data.

10.3 *Evaluation of Outliers*—Data from this study will be used to develop precision-and-bias statements that are applicable to a “reasonably competent” laboratory properly using the test method. Occasionally, data from an individual laboratory may seem “out of line” in relation to data from the other laboratories to such an extent that it creates doubt as to whether that laboratory did indeed perform the test method properly or is reasonably competent, at least with respect to its ability to use this particular method. An unusual individual data value may also raise the suspicion that, although the other results from that laboratory appear reasonable, “something must have gone wrong” in this instance.

10.3.1 When questionable data are encountered, the first step shall be to contact the laboratory to try to determine whether it followed proper procedure or whether it can offer some other explanation that may preclude the use of these data, or both.

10.3.2 If this contact fails to resolve the issue, data may be excluded with the approval of the Results Advisor. The rationale for such exclusion shall a formal test rejecting the data as an outlier in accordance with Practice **E178**.

## 11. Statistical Calculations for Each Matrix, Analyte, and Concentration

### 11.1 Calculation of Single-Operator Standard Deviation Estimates

11.1.1 *Calculation for Youden Pairs*—Estimate the single-operator standard deviation ( $s_o$ ) from the data pairs available for each Youden pair, analyte, and matrix in the study as follows:

$$s_o = \sqrt{\frac{\sum_{i=1}^m (D_i - \bar{D})^2}{2(m-1)}} \quad (1)$$

where:

$m$  = the number of usable pairs of results available for that Youden pair, analyte and matrix,

$D_i$  = the difference between the usable value from laboratory  $i$  for the Youden sample with the higher true value of the pair minus the usable value from laboratory  $i$  for the other sample of the pair, and

$\bar{D}$  = the mean of the  $m$  usable  $D_i$  values.

NOTE 2—In the calculation of  $D_i$ , the sample with the higher true (known) value of the Youden pair is always the same sample for each laboratory, even though its measured value may be lower in any individual laboratory.

11.1.2 *Calculation for Blind Duplicates*—In cases where blind duplicates are used, the calculation of  $s_o$  is:

$$s_o = \sqrt{\frac{\sum D_i^2}{2m}} \quad (2)$$

where  $m$  is the number of usable pairs of duplicates.

### 11.2 Calculation of the Mean ( $\bar{x}$ ) and Overall Standard Deviation ( $s_T$ )—

11.2.1 Let the usable data reported for a specific matrix, analyte, or concentration be designated  $x_i$ ,  $i = 1$  to  $n$ . Then calculate the mean ( $\bar{x}$ ) and overall standard deviation ( $s_T$ ) as follows:

$$\bar{x} = \frac{\left(\sum_{i=1}^n x_i\right)}{n} \quad (3)$$

and

$$s_T = \sqrt{\frac{\sum_{i=1}^n (x_i - \bar{x})^2}{n-1}} \quad (4)$$

11.2.2 *Calculation for Blind Duplicates*—When two samples comprise blind duplicates, rather than Youden pairs, follow the above procedure for calculating the mean and overall standard deviation by substituting  $(x_{1i} + x_{2i})/2$  for  $x_i$  in all cases, where  $x_{1i}$  and  $x_{2i}$  are the measured values from the blind duplicates obtained by the  $i$ th laboratory. A final adjustment of  $s_T$  is necessary in order to reflect the standard deviation for a single measurement, rather than the average of two measurements, among laboratories. The required adjustment is given by

$$s_T = \sqrt{s_{T(\text{original})}^2 + \frac{1}{2}s_o^2} \quad (5)$$

where  $s_o$  is calculated as in **11.1.2**.

11.3 Return to **11.1** for the next matrix, analyte, and concentration, until all statistics have been calculated for every combination studied.

### 11.4 Calculation of Bias

11.4.1 The calculation of the bias of a test method will logically follow the collaborative-study design (7.4). The usual collaborative-study technique will involve reporting the recovery of added (therefore known) amounts of the analytes being measured.

11.4.2 The calculation of bias for a specific matrix, analyte, and concentration is as follows:



$$\text{Bias} (\%) = 100 (\bar{x} - b - c)/c \quad (6)$$

where:

- $\bar{x}$  = the mean of usable data for that matrix, analyte, and concentration,
- $c$  = the true concentration added, and
- $b$  = the mean background concentration reported, if necessary.

11.4.3 Where other types of studies are used to develop a true concentration for use in estimation of the test-method bias, special care shall be taken to assure that the other study provides a logical reference value. Consultation with the Results Advisor and other recognized experts may be appropriate in such cases.

11.4.4 Calculations from a single laboratory study or less than full collaborative study, used to fulfill the requirement for a temporary Precision and Bias statement (1.6) shall be based on the repeatability standard deviation as discussed in Practice E691. Where two or more laboratories or analysts are used for this purpose, a reproducibility standard deviation may be calculated based on E691. Consultation with the Results Advisor or other experts may be necessary in some cases.

11.4.5 Calculations from a comparability study or limited validation study for substantively modified methods shall be based on the repeatability and reproducibility standard deviations as discussed in Practice E691.

## 12. Format of the Precision and Bias Statement Required in Each Test Method

12.1 For most test methods, a collaborative study will be conducted and the following requirements apply.

12.1.1 A brief note shall provide the reader of the test method with a complete understanding of the collaborative study conducted. At a minimum, this note shall include the number of laboratories that contributed data, the matrices studied, the version of Practice D2777 followed in designing and analyzing the study data, and any other significant aspects of the study not presented elsewhere in the test method.

12.1.1.1 Regarding significant study aspects that *must* be described, if the analytical conditions used during the collaborative study were more restrictive than those allowed in the test method, it is particularly important that these restrictive conditions be fully described in the precision-and-bias statement of the test method. Results from the collaborative study may not apply to other analytical conditions allowed in the test method.

12.1.1.2 The following caution shall also be included, “Results of this collaborative study may not be typical of results for matrices other than those studied.”

12.1.1.3 The study results shall always be available in the form of a table, which, for each matrix, analyte, and concentration studied, will usually include the true concentration ( $c$ ) added to the matrix, and must include the number of values reported, the number of values, and (from the usable data): (1) the mean response ( $\bar{X}$ ), (2) bias as a percent of  $c$ , and (3) the overall standard deviation ( $s_T$ ). For each matrix, analyte, and Youden pair of sample concentrations, the table shall include the number of usable data *pairs* and the single-operator standard deviation ( $s_o$ ) estimated from these pairs of usable

values. This table shall be included in the test method. Equivalent mathematical or graphical relationships of the mean (or bias),  $s_T$  and  $s_o$ , to true concentration (mean background + spike) may be provided also. If a matrix had a naturally occurring, non-zero background level for this analyte, the mean background level may be determined by employing the Method of Standard Additions, using the mean responses from all of the laboratories for each level versus the true spike-addition concentrations. This mean background concentration shall also be reported in this table, and the bias estimates shall be calculated from the recovery of the true spikes, (that is,  $x$ —average background). This table shall always be included in the research report provided to the Results Advisor and filed at ASTM Headquarters. If the full table is not included in the test method, at least a listing of the true concentrations studied for each matrix and analyte, and the number of usable values for each, shall be included in the precision-and-bias statement.

12.1.4 Mathematical or graphical relationships developed from the study results shall represent the general way precision and bias vary with concentration. These relationships can be very helpful to a test-method user, who must estimate the precision and bias at a specific concentration within the range studied. Graphs that simply connect the estimates from the collaborative study (connect the dots) are not acceptable. Mathematical relationships shall be accompanied by some indication of the goodness of their fit to the study statistics, unless those statistics are given in the test method.

12.1.5 Precision and bias results, following the requirements of 12.1.3, from a comparability study, or limited validation study for a substantively modified method, shall be reported after the precision-and-bias statement from the method as originally published, as well as after any subsequent precision-and-bias statement resulting from previous comparability or limited validation studies. Where a full comparability study has been performed, it should be reported side-by-side with the original full comparability study, to enable direct comparison to results obtained from the original study. Statistical comparisons may be presented between precision and/or bias results obtained from each version of the method. However, this practice makes no attempt to prescribe “acceptable”  $p$ -values, confidence intervals, variance ratios, or the like.

12.2 If there is some reason why a full collaborative study could not be done, the precision-and-bias statement shall present a complete justification with reference to 1.4, 1.5, or 1.6, whenever appropriate. If a special exemption was approved by Committee D19 on the recommendation of the Results Advisor and the Technical Operations Section of the Executive Subcommittee of Committee D19, the date of that exemption shall also be provided.

### 12.3 Test Methods with Non-Numerical Reports:

12.3.1 When a method specifies that a test result is a non-numerical report of success or failure based on criteria in the procedure, the statement on precision and bias should read as follows:

12.3.1.1 *Precision and Bias*—No statement is made about either the precision or the bias of Method D XXXX for measuring (insert here the name of property), since the result

merely states whether there is conformance to the criteria for success specified in the procedure.

#### 12.4 *Test Methods Specifying Other Procedures:*

12.4.1 When a method specifies that the procedures in another ASTM method are to be used, a statement such as the following should be used to assure the user that precision-and-bias statements apply.

12.4.1.1 *Precision and Bias*—The precision and bias of this test method of measuring (insert here the name of the property) are as specified in Method (insert here the designation of the other method).

12.5 Where the IDE detection estimate has been established for a method, the quality control section should state the determined value, the units, and the model used for characterizing the standard deviation. The DQCALC software provided by ASTM provides a report of this information.

12.6 Where the IQE quantitation estimate(s) has been established for a method, the quality control section should state the Percent Relative Standard Deviation (for example, 10 %, 20 %, 30 %, or combinations thereof), the determined value, the units and the model used for characterizing the standard deviation. The DQCALC software provided by ASTM provides a report of this information.

### 13. Approval of Data Analysis and Statements

13.1 Approval of the precision-and-bias statement shall be obtained from the Results Advisor before the test method is submitted for committee ballot, providing him/her with a copy of:

13.1.1 All test data resulting from the collaborative test.

13.1.2 All statistical calculations.

NOTE 3—The output file from the DQCALC software contains all information required to document the detection and quantitation calculations.

<https://standards.iteh.ai/catalog/standards/sist/9918cc26-4993-47b7-8cd1-fe2f9d16067/astm-d2777-12>

13.1.3 A summary of the final statistical estimates in tabular form.

13.1.4 A copy of the final test method, including the precision-and-bias statement based on the study results.

13.1.5 A copy of every document given to the participants during the collaborative study.

13.1.6 A complete list of the laboratories (names, addresses, principal contact, etc.) that participated in the study. Do not identify the source of specific study data using anything other than randomly assigned laboratory numbers or codes. The relationship between these numbers/codes and the contributing laboratories must be held strictly confidential.

13.1.7 A description of how the study samples were prepared, etc.

13.1.8 Any background information that may have influenced the results, and any other information required for the research report, along with a copy of correspondence documenting approval by the Results Advisor.

13.1.9 Once satisfied with this study file, the Results Advisor shall see that it is sent to ASTM for filing as the official research report.

13.2 *Experimental Data*—The precision-and-bias statement in the test method shall include a footnote indicating where the supporting data can be found. The footnote shall read as in the following example:

Supporting data for the precision-and-bias statements have been filed at ASTM Headquarters. Request RR:D\_\_\_\_\_.

### 14. Keywords

14.1 collaborative study; detection; interlaboratory study; method bias; method precision; method recovery; quantitation; round-robin study; statistical analysis; Youden study design

## APPENDIXES

### (Nonmandatory Information)

#### X1. APPROVAL OF STUDY DESIGN

X1.1 Using Test Method **D5790** also known as USEPA Method 524.2, as an example, **Fig. X1.1** was sent by the Task Group Chair to the Results Advisor for his approval before

preparation of the samples for the interlaboratory study actually began.