



Standard Guide for Statistical Procedures to Use in Developing and Applying Test Methods¹

This standard is issued under the fixed designation E 1488; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ϵ) indicates an editorial change since the last revision or reapproval.

INTRODUCTION

This test method covers the determination of the minimum temperature at which a dust cloud will autoignite. The dust cloud is exposed to air heated to various temperatures in a furnace. Ignition of the cloud is indicated by visual observation of the flame. The chemical nature of the dust as well as its concentration, particle size, moisture, and surface area affects the results.

1. Scope

1.1 This guide identifies statistical procedures for use in developing new test methods or revising or evaluating existing test methods, or both.

1.2 This guide also cites statistical procedures especially useful in the application of test methods.

2. Referenced Documents

2.1 ASTM Standards:

E 29 Practice for Using Significant Digits in Test Data to Determine Conformance with Specifications²

E 105 Practice for Probability Sampling of Materials²

E 122 Practice for Choice of Sample Size to Estimate a Measure of Quality for a Lot or Process²

E 141 Practice for Acceptance of Evidence Based on the Results of Probability Sampling²

E 177 Practice for Use of the Terms Precision and Bias in ASTM Test Methods²

E 178 Practice for Dealing with Outlying Observations²

E 456 Terminology Relating to Quality and Statistics²

E 691 Practice for Conducting an Interlaboratory Study to Determine the Precision of a Test Method²

E 1169 Guide for Conducting Ruggedness Tests²

E 1301 Guide for Proficiency Testing by Interlaboratory Comparisons³

E 1325 Terminology Relating to Design of Experiments³

E 1402 Terminology Relating to Sampling³

2.2 ISO Standards:

ISO 5725 Accuracy (Trueness and Precision) of Measure-

ment Methods and Results⁴

ISO Guide to the Expression of Uncertainty in Measurement⁴

3. Terminology

3.1 Definitions:

3.1.1 *statistical procedures, n*—the organized techniques and methods used to collect, analyze, and interpret data.

3.1.1.1 *Discussion*—Statistical procedures include the sampling considerations or the experiment design for the collection of data, or both, and the numerical and graphical approaches to summarize and analyze the collected data.

3.2 For all other formal definitions of statistical terms, see Terminology E 456.

4. Significance and Use

4.1 All ASTM test methods are required to include statements on precision and bias.⁵

4.2 Since ASTM began to require all test methods to have precision and bias statements that are based on interlaboratory test methods, there has been increased concern regarding what statistical experiments and procedures to use during the development of the test methods. Although there exists a wide range of statistical procedures, there is a small group of generally accepted techniques that are very beneficial to follow. This document is designed to provide a brief overview of these procedures and to suggest an appropriate sequence of carrying out these procedures.

4.3 Statistical procedures often result in interpretations that are not absolutes. Sometimes the information obtained may be inadequate or incomplete, which may lead to additional questions and the need for further experimentation. Information

¹ This guide is under the jurisdiction of ASTM Committee E-11 on Quality and Statistics and is the direct responsibility of Subcommittee E11.20 on Test Method Evaluation and Quality Control.

Current edition approved June 10, 1996. Published September 1996. Originally published as E 1488 – 92. Last previous edition E 1488 – 92.

² *Annual Book of ASTM Standards*, Vol 14.02.

³ *Annual Book of ASTM Standards*, Vol 14.02.

⁴ Available from American National Standards Institute, 11 W. 42nd St., 13th Floor, New York, NY 10036.

⁵ See the Form and Style Manual for ASTM Standards that specifies, when possible, precision statements shall be estimated based on the results of an interlaboratory test program.

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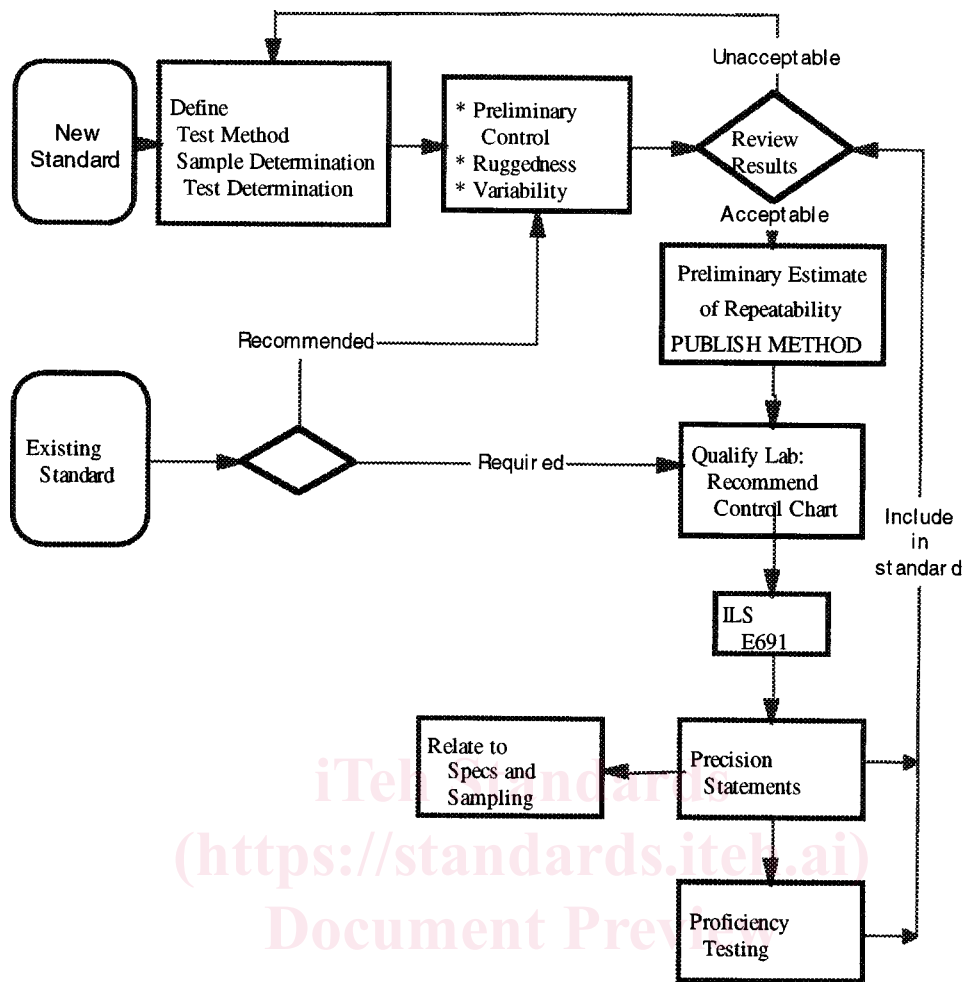


FIG. 1 Sequence of Steps

outside the data is also important in establishing standards and in the interpretation of numerical results.

5. Summary of Guide

5.1 Outlined below is a suggested sequence of statistical procedures useful in the development of a test method. A flowchart is provided in Fig. 1. Such a sequence of analyses may need to be modified in specific situations. The assistance of a qualified statistician is recommended at each review phase.

5.2 Sequence of Test Method Development:

5.2.1 *Test Method Protocol*—It is assumed that a draft of a test method has been prepared and generally agreed upon.

5.2.2 A flow chart is extremely valuable to identify the sequence of operations involved in a test method, for example, the sampling steps required to obtain the test specimens, definition of the test determination, how a test result is to be computed, and running the tests on the specimens.

5.3 *Evaluation of Short Term Control of Test Method*—A test method must exhibit an ability to provide consistent results at least over short time periods. Preliminary studies or a pilot test should be conducted to evaluate the short term stability of the test method. A small series of repeated tests should be conducted.

5.4 *Analysis of Variability*—Statistically designed experiments conducted in one or two laboratories can be used to

assess the relative magnitudes of different sources or potential contributors to variability of the test results. Such studies can provide estimates of intermediate measures of precision.

5.5 *Ruggedness Test*—A ruggedness test (see Guide E 1169) is a statistically designed experiment that helps identify problems in running the test method, clarifies errors, and points out possible environmental conditions, which may adversely affect the test method or point out need for tightening requirements. The ruggedness test can assist in locating ways of reducing variability in the test method.

5.6 *Preliminary Estimates of Precision*—From the various studies conducted in accordance with 5.3-5.5, preliminary estimates of repeatability standard deviations should be developed and published in this test method. Until an interlaboratory study is performed, these estimates generally are considered to be provisional.

5.7 *Statistical Control*—A test method must show capability of performing in a consistent way over time. The use of control charts (see Manual 7)⁵ to monitor a proposed, or existing, test method over time is one recommended way to examine the controllability or stability of a test method. This statistical control should be demonstrated in one or two laboratories using homogeneous material (test specimens).

5.8 *Interlaboratory Study (ILS)*:

5.8.1 In accordance with ASTM Form and Style Manual, whenever feasible, an interlaboratory study must be conducted. This procedure will provide specific estimates of variation anticipated when using the test method.

5.8.2 Protocol for the ILS, Practice E 691 provides a guide for developing the ILS for the test method. A first step is the writing of an ILS Protocol, which will set out what needs to be done before the test specimens (or test materials) are distributed to the participating laboratories.

5.9 *Precision Statements*—Using the estimates of variation obtained in the interlaboratory test, one may prepare precision statements using Practices E 691 and E 177 or equivalent procedures.

5.10 *Data Analysis Issues*:

5.10.1 *Outliers*—Often when one analyzes data there are unusual values. Some guidance on dealing with such data will be found in Practice E 178. Unusual values may be telling something about the test method—an investigation should be conducted. Data are to be omitted or discarded with great reluctance.

5.10.2 *Graphical Presentation*—Often graphical methods for display, data analysis, and presentation will be preferred when supported by appropriate numerical summaries of the analysis.

6. Development Stage of the Standard

6.1 Proposed standards that are under development should be treated in a formal manner following as many of the suggested procedures as possible. Standards that are already in existence as approved test methods or in general practice require periodic review that would include selected procedures.

6.2 *Under Development*—The development stage involves test methods that are in the preliminary stages during which equipment may not have been fully tested, practices are not agreed upon, and operators have yet to be adequately trained. Often this stage also applies to standards that have not yet been approved.

6.2.1 It is essential that tests for statistical control, ruggedness, and variability analyses be conducted prior to any interlaboratory test programs.

6.2.2 After all major environmental contributors have been identified, controlled, and incorporated into the test method, and after adequate standardized equipment is available, an interlaboratory test can be conducted. The interlaboratory test program must be completed prior to the first 5-year review. The committee should strive to have interlaboratory results as soon as possible.

6.2.3 After evaluating data from ruggedness tests, variability analysis, or an interlaboratory test program, changes to the test method may be suggested.

6.2.4 If major changes are made to the test method, a repeat of the various steps is usually necessary. Precision and bias statements should reflect the most current version of the test method.

6.3 *Existing Standards*—These standards comprise test methods that are in common use for which standard equipment may exist and for which experienced operators have been trained and are available.

6.3.1 Control charting, ruggedness tests, and variability

analyses will be useful, especially if they have not previously been conducted. Such tests may provide better information about variation and necessary tolerances than has previously been available.

6.3.2 If precision estimates have not been established through an actual interlaboratory test program, then such a program should be initiated.

7. Data and Sampling

7.1 *Sample Determination*:

7.1.1 The sampling section of a standard should indicate clearly what constitutes the primary sampling unit, how that sampling unit is further subdivided, and how multiple test values are designated.

7.1.2 In considering the implication of test results as they relate to the material, the test method should be clear as to whether the sampling method or the test is destructive or nondestructive.

7.1.3 The user of the test method should be aware of whether the standard calls for a random sample. In some standards, as for example in sampling from coils or rolls of material, samples may be taken only from certain portions of the material.

7.2 *Test Result Determination*—The procedure for determining a test result must be clear and unambiguous.

7.2.1 An observation leads to an observed value.

7.2.2 Several observed values may lead to a test determination. The observed values need not be the same type of measurements (for example, they may consist of three readings such as length, width, and mass).

7.2.3 Several Test determinations may lead to a test result, as by averaging three test determinations.

7.2.4 A test result is the consequence of a single execution of the entire test method.

7.3 *Type of Data*—The kind of data that results from the application of the test method determines the types of statistical analyses to be performed.

7.3.1 *Numerical versus Categorical/Attribute Data*—Most of the statistical procedures referred to in this standard deal with numerical data. Control charts are available for all types of data, but all interlaboratory test procedures currently in use depend on numerical data.

7.3.2 *“Normally” Distributed Data*—Most of the statistical procedures referred to in this guide consider that the unknown distribution of the test results can be modeled by a normal distribution.

8. Preliminary Evaluation of Short Term Control

8.1 A test method must be capable of providing consistent results over short time periods. The first efforts at evaluating a test method should include repeating the method on the same or as close to the same materials under constant conditions over a short time period. This will provide some initial information about how close measurements can be repeated. This type of experiment should be repeated several times to determine how well the test method can perform at different time periods.

8.2 Since the tests may involve only a few sets of sample measurements, an experimental design model is the appropriate mode of evaluation of the results.