
Road vehicles — In-vehicle Ethernet —
Part 11:
Application layer to session layer
conformance test plans

Véhicules routiers — Ethernet embarqué —

Partie 11: Plans de test de conformité des couches application et session

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Published in Switzerland

Contents

Page

Foreword	iv
Introduction	v
1 Scope	1
2 Normative references	1
3 Terms and definitions	1
4 Symbols and abbreviated terms	1
4.1 Symbols	1
4.2 Abbreviated terms	2
5 Conventions	3
6 CTP test system set-up and CTC structure	3
6.1 General	3
6.2 Test system set-up	3
6.3 CTC definition	4
6.4 Terminology used in CTCs	5
6.5 IUT prerequisites – TCP/IP TestStub	6
6.5.1 General	6
6.5.2 TCP/IP TestStub methods (service primitives)	6
6.5.3 Result codes	6
6.6 IUT prerequisites – SOME/IP TestStub	7
6.6.1 General	7
6.6.2 SOME/IP TestStub methods	7
6.6.3 SOME/IP TestStub events and fields	14
6.6.4 ETS service interface description	16
7 Application, presentation, and session layers CTCs	20
7.1 AL – SOME/IP	20
7.1.1 General	20
7.1.2 Referenced specification	20
7.1.3 Test system topology – AL – SOME/IP, serialisation, and service discovery	20
7.1.4 Test system topology and related CTC configuration	21
7.1.5 SOME/IP parameters used in CTCs	21
7.1.6 SOME/IP server CTCs	23
7.1.7 SOME/IP ETS CTCs	128
7.2 SL – Dynamic host configuration protocol version 4 (DHCPv4) client	213
7.2.1 General	213
7.2.2 Referenced specification	213
7.2.3 Test system topology – SL – DHCPv4 client	213
7.2.4 Test system topology with two interfaces in the IUT	214
7.2.5 Test system topology and related CTC configuration	215
7.2.6 DHCPv4 parameters and constants used in CTCs	215
7.2.7 DHCPv4 client CTCs	217
Bibliography	255

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see www.iso.org/iso/foreword.html.

This document was prepared by Technical Committee ISO/TC 22, *Road vehicles*, Subcommittee SC 31, *Data communication*.

A list of all parts in the ISO 21111 series can be found on the ISO website.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html.

Introduction

The ISO 21111 series includes in-vehicle Ethernet requirements and test plans that are disseminated in other International Standards and complements them with additional test methods and requirements. The resulting requirement and test plans are structured in different documents following the Open Systems Interconnection (OSI) reference model and grouping the documents that depend on the physical media and bit rate used.

In general, the Ethernet requirements are specified in ISO/IEC/IEEE 8802-3. The ISO 21111 series provides supplemental specifications (e.g. wake-up, I/O functionality), which are required for in-vehicle Ethernet applications. In road vehicles, Ethernet networks are used for different purposes requiring different bit-rates. Currently, the ISO 21111 series specifies the 1-Gbit/s optical and 100-Mbit/s electrical physical layer.

The ISO 21111 series contains requirement specifications and test methods related to the in-vehicle Ethernet. This includes requirement specifications for physical layer entity (e.g. connectors, physical layer implementations) providers, device (e.g. electronic control units, gateway units) suppliers, and system (e.g. network systems) designers. Additionally, there are test methods specified for conformance testing and for interoperability testing.

Safety (electrical safety, protection, fire, etc.) and electromagnetic compatibility (EMC) requirements are out of the scope of the ISO 21111 series.

The structure of the specifications given in the ISO 21111 series complies with the Open Systems Interconnection (OSI) reference model specified in ISO/IEC 7498-1^[1] and ISO/IEC 10731^[4].

ISO 21111-1 defines the terms which are used in this series of standards and provides an overview of the standards for in-vehicle Ethernet including the complementary relations to ISO/IEC/IEEE 8802-3^[2], the document structure, type of physical entities, in-vehicle Ethernet specific functionalities and so on.

ISO 21111-2 specifies the interface between reconciliation sublayer and physical entity including reduced gigabit media independent interface (RGMI), and the common physical entity wake-up and synchronized link sleep functionalities, independent from physical media and bit rate.

ISO 21111-3 specifies supplemental requirements to a physical layer capable of transmitting 1-Gbit/s over plastic optical fibre compliant with ISO/IEC/IEEE 8802-3, with specific application to communications inside road vehicles, and a test plan for physical entity conformance testing.

ISO 21111-4 specifies the optical components requirements and test methods for 1-Gbit/s optical invehicle Ethernet.

ISO 21111-5 specifies, for 1-Gbit/s optical in-vehicle Ethernet, requirements on the physical layer at system level, requirements on the interoperability test set-ups, the interoperability test plan that checks the requirements for the physical layer at system level, requirements on the device-level physical layer conformance test set-ups, and device-level physical layer conformance test plan that checks a set of requirements for the OSI physical layer that are relevant for device vendors.

ISO 21111-6 specifies advanced features of an ISO/IEC/IEEE 8802-3 in-vehicle Ethernet physical layer (often also called transceiver), e.g. for diagnostic purposes for in-vehicle Ethernet physical layers. It specifies advanced physical layer features, wake-up and sleep features, physical layer test suite, physical layer control requirements and conformance test plan, physical sublayers test suite and physical sublayers requirements and conformance test plan.

ISO 21111-7 specifies the implementation for ISO/IEC/IEEE 8802-3, which defines the interface implementation for automotive applications together with requirements on components used to realize this Bus Interface Network (BIN). ISO 21111-7 also defines further testing and system requirements for systems implemented according to the system specification. In addition, ISO 21111-7 defines the channels for tests of transceivers with a test wiring harness that simulates various electrical communication channels.

ISO 21111-8 specifies the transmission media, the channel performance and the tests for ISO/IEC/IEEE 8802-3 in-vehicle Ethernet.

ISO 21111-9 specifies the data link layer requirements and conformance test plan. It specifies the requirements and test plan for devices and systems with bridge functionality.

ISO 21111-10 specifies the transport to network layer requirements and conformance test plans. It specifies the requirements and conformance test plan for devices and systems that include functionality related with OSI layers from 4 and 3.

This document specifies the application to session layer requirements and conformance test plans. It specifies the requirements and conformance test plan for devices and systems that include functionality related with OSI layers from 7 to 5.

Figure 1 shows the parts of the ISO 21111 series and the document structure.

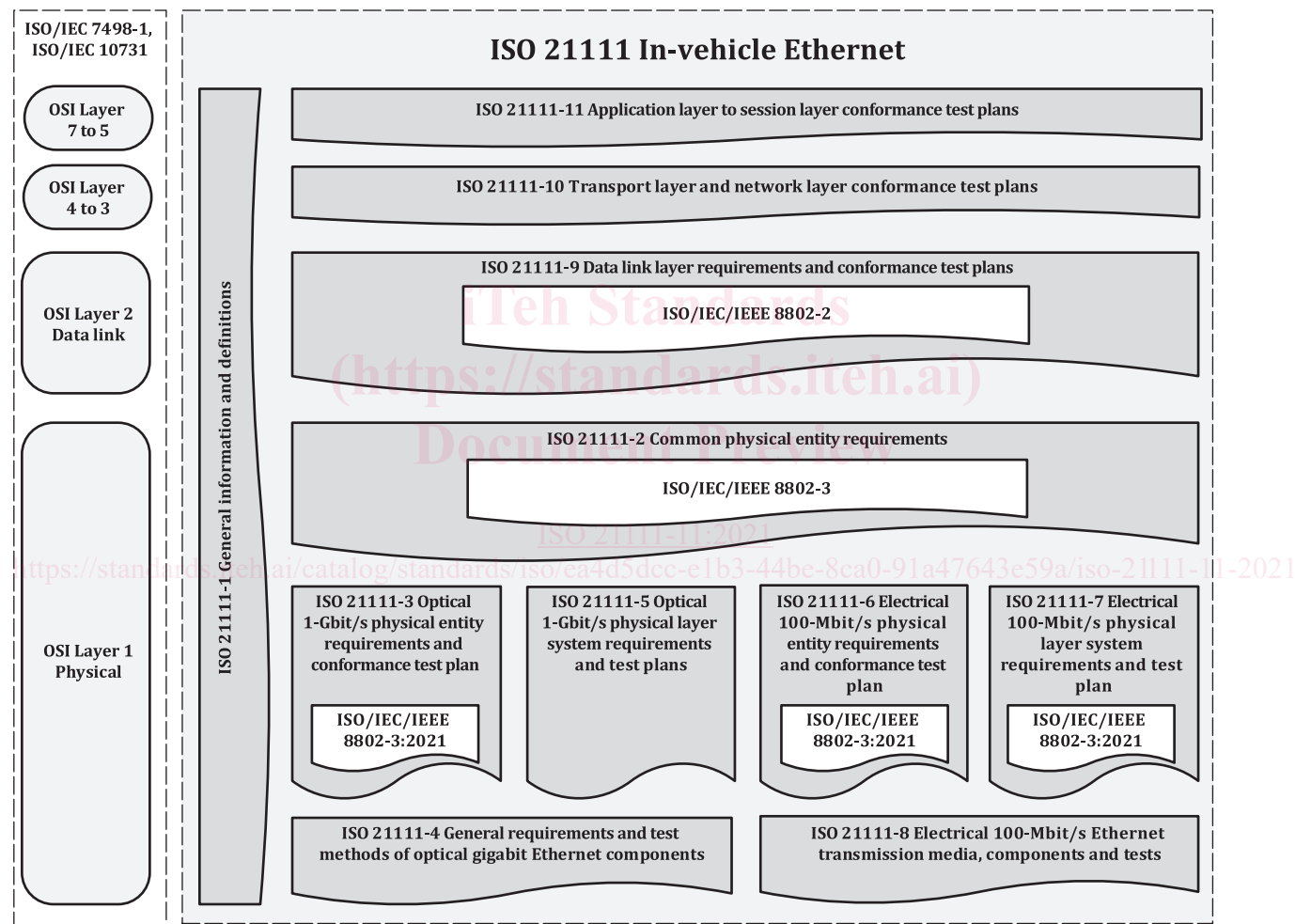


Figure 1 — In-vehicle Ethernet documents reference according to OSI model

Road vehicles — In-vehicle Ethernet —

Part 11:

Application layer to session layer conformance test plans

1 Scope

This document specifies in-vehicle Ethernet application layer, presentation layer, and session layer conformance test plans (CTP) for electronic control units (ECUs). This document is a collection of all conformance test cases which are recommended to be considered for automotive use and should be referred by car manufacturers within their quality control processes.

The document specifies the scalable Service-Oriented MiddlewarE over Internet Protocol (SOME/IP) and Dynamic Host Configuration Protocol (DHCP) version 4 conformance test cases.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 9646-1, *Information technology — Open Systems Interconnection — Conformance testing methodology and framework — Part 1: General concepts*

ISO 21111-1, *Road vehicles — In-vehicle Ethernet — Part 1: General information and definitions*

3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 21111-1, ISO/IEC 9646-1 and the following apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

— ISO Online browsing platform: available at <https://www.iso.org/obp>

— IEC Electropedia: available at <https://www.electropedia.org/>

3.1

PORT1

port number of the upper tester used for UDP communication

3.2

service interface description

description of the implemented SOME/IP services of a IUT including, e.g. all methods, events, and method parameters

4 Symbols and abbreviated terms

4.1 Symbols

--- empty table cell or feature undefined

V_{BAT}	voltage of the battery
X	feature in table cell selected
$t_{\text{Param-Tolerance-Time}}$	tolerance time taken from parameter file
$t_{\text{Client-Listen-Time}}$	listen time used by ANVL taken from parameter file
$t_{\text{Param-Process-Time}}$	amount of time the test system Host-1 waits for the IUT to process the PDU
$t_{\text{Param-Lease-Time}}$	value of DHCP IP address lease time in seconds which is offered to the IUT
$t_{\text{Duration-Of-Lease}}$	lease time in seconds
$t_{\text{High-Lease-Time}}$	lease time in seconds set to high value

4.2 Abbreviated terms

ACK	acknowledge
ADDR	address
AL	application layer
ANVL	Automated Network Validation Library
ARP	address resolution protocol
BOM	byte order mark
CTC	conformance test case
CTP	conformance test plan
DHCP	Dynamic Host Configuration Protocol
EMC	electromagnetic compatibility
ETS	enhanced testability service
FIN	finish
ICMP	internet control message protocol
IUT	implementation under test
MSL	maximum segment lifetime
MSS	maximum segment size
PCO	point of control and observation
PHY	physical layer
PRS	protocol requirement specification
PSH	push
QOS	quality of service and audio/video bridging
RPC	remote procedure call

SL	session layer
SOME/IP	Service-Oriented MiddlewarE over Internet Protocol
SUT	system under test
SYN	synchronise
TCP	transmission control protocol
TIME	time synchronisation
TTL	Time-To-Live
UDP	user datagram protocol

5 Conventions

This document is based on OSI service conventions as specified in ISO/IEC 10731^[4].

6 CTP test system set-up and CTC structure

6.1 General

This document specifies a CTP according to the requirements as specified in the ISO/IEC 9646 series. A CTP does not provide qualification of test results but expected responses of the IUT. A CTP is used by a test house to develop a conformance test plan specific for the test system used in their lab environment.

The CTCs specified in this document are organized in such a manner as to simplify the identification of information related to a test and to facilitate in the actual testing process. CTCs are organized into groups, primarily in order to reduce set-up time in the lab environment. The different groups typically also tend to focus on specific aspects of device functionality.

A CTC reference name, for example, "CTC_SOMEIP_ETS_07 – EchoBitfields" is used to organize the CTC name, where the following is included:

- "CTC" which indicates that this is a conformance test case;
- name/subject of CTC;
- supplemental name, for example, ETS, which is enhanced test system;
- CTC number;
- after the hyphen a descriptive name of the CTC follows.

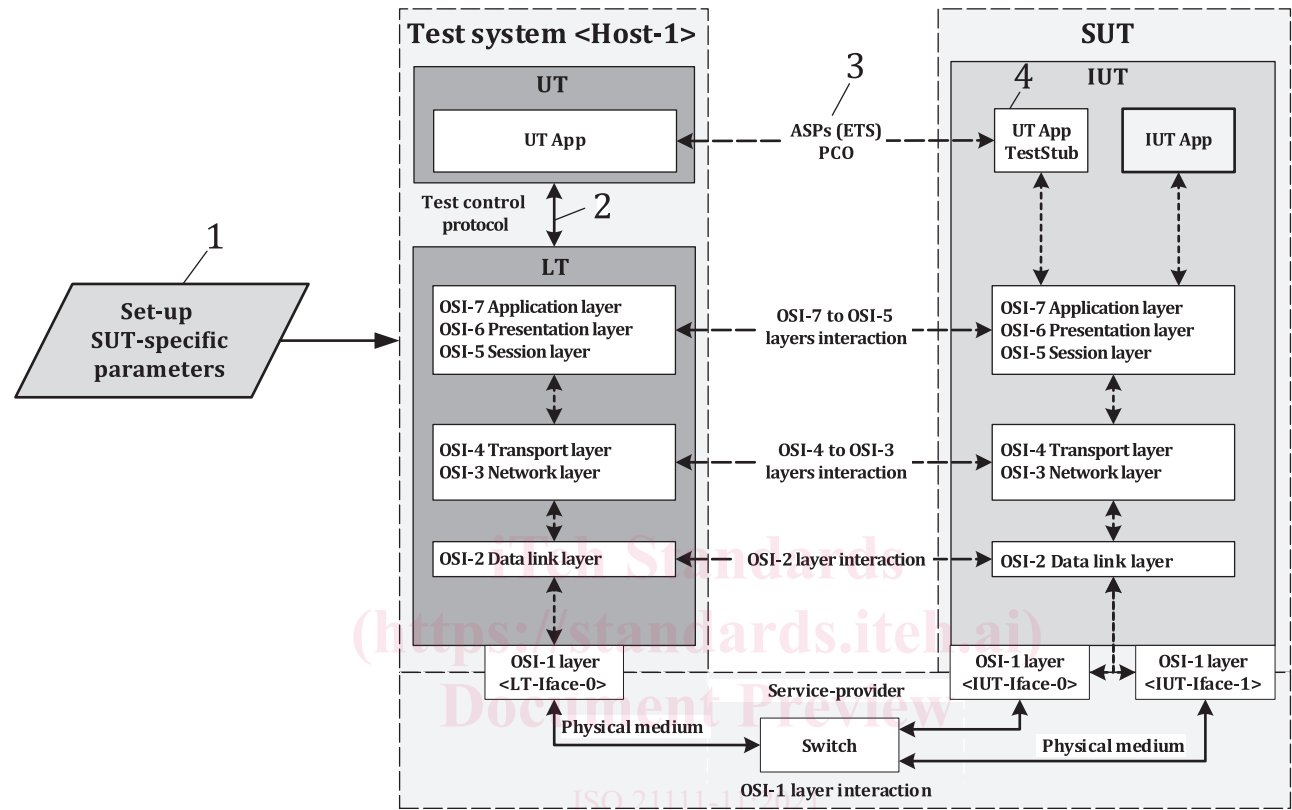
The CTC definitions themselves are intended to provide a high-level description of the purpose, references, prerequisite, steps/procedures, expected responses, remarks, and methodologies pertinent to each test (see 6.3).

6.2 Test system set-up

The test system topology follows ISO/IEC 9646-1:1994 and consists of a test set-up which consists of a test system and a system under test (SUT) connected via the physical medium. The test system implements an UT and an LT. The UT uses the test control protocol (Figure 2, key 2) to control the LT. The LT supports the functionality required to test the OSI layer (Figure 2, key 5, key 6, and key 7) of the IUT. The test system uses IUT-specific set-up parameters (Figure 2, key 1) for testing the communication with the IUT.

The control and measurement functionality is provided by direct logical access to the service interface (dashed line) (Figure 2, key 3) and the associated parameters of the OSI layer. The UT in the IUT (Figure 2, key 4) supports an equivalent part of the abstract service interface (ASPs, PCO) (dashed line) (Figure 2, key 3) and the associated parameters to control and measure the state(s) of the IUT.

The UT conformance test controller in the test system manipulates the service primitive interface parameters in the IUT via the ASPs (ETSS) and PCO of the OSI layers to fulfil the purpose of each CTC.



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- 1 set-up parameters (node's electronic data sheet)
- 2 test control protocol
- 3 PCO and ASPs based on ETS
- 4 UT application with ETS interface
- 5 OSI layer 7 to 5 protocol
- 6 OSI layer 4 to 3 protocol
- 7 OSI layer 2 protocol

Figure 2 — Test system set-up

6.3 CTC definition

CTCs are independent of one another. Each CTC checks the behaviour of the IUT for a particular purpose of this document. CTCs, which require variations of individual parameters, shall be repeated for each value of the parameter. Each CTC is specified according to a common CTC structure as shown in Table 1.

Table 1 — CTC structure

Item	Content
CTC # - Title	CTC_x.y.z-a - CTC structure

Table 1 (continued)

Item	Content
Purpose	The purpose is a brief statement outlining what the test attempts are to achieve. The test is written at the functional level. It is recommended to begin the description of purpose with "This CTC verifies ...".
Reference	The purpose of reference is to specify source material external to the test suite, including any other references that can be helpful in understanding the test methodology and/or test results. External sources are always referenced by number when mentioned in the test description. Any other references not specified by number are stated with respect to the test suite document itself. EXAMPLE AUTOSAR SOME/IP Example of a Serialization Protocol V1.1.0 R4.1 rev 3: PRS_SOMEIP_00042, PRS_SOMEIP_00099, ...
Prerequisite	The purpose of prerequisites is to specify the test hardware and/or software needed to perform the CTC. This is generally expressed in terms of minimum requirements. In some cases, specific equipment manufacturer/model information may be provided. EXAMPLE The IUT is running and offering the enhanced testability service.
Set-up	The purpose of set-up is to describe the initial configuration of the test environment. Small changes in the configuration should not be included here and are generally covered in the test procedure below. EXAMPLE The test system set-up shall be in accordance with Figure 2 .
Step	The test procedure includes the test description, which contains the systematic instructions for carrying out the test. It provides a cookbook approach to testing and may be interspersed with observable results. Each test step shall have a numeric number in ascending order. 1. Configure the IUT as master or as slave. 2. Establish a valid link with the IUT. 3. Monitor the transmissions from the IUT and cause the management to request a PMA reset while simultaneously ceasing transmissions from the test system.
Iteration	The purpose of test iterations is to include test procedure definitions, which are repeated more than once. a) REPEAT step 2 to step 3 with the IUT configured as master, 1 time. b) REPEAT step 2 to step 3 with the IUT configured as slave, 1 time.
Expected response	The purpose of expected response is to describe the expected results to be examined by the test system Host-1 in order to verify that the IUT is operating. When multiple values for an observable are possible, this description provides a short discussion on how to interpret them. The determination of a pass or fail outcome for a particular test is generally based on the successful (or unsuccessful) detection of a specific observable. After iteration a): The IUT stops transmitting with tx_mode = SEND_N and starts transmitting with tx_mode = SEND_I for 1 iteration a). The IUT sets link_status = FAIL. After iteration b): The IUT stops transmitting for 1 iteration b). The IUT sets link_status = FAIL.
Remark	The purpose of remarks is to describe known issues with the test procedure, which can affect test results in certain situations. It can also refer the reader to test suite annexes and/or white papers that can provide more detail regarding these issues.

6.4 Terminology used in CTCs

[Table 2](#) specifies the terminology used in CTCs.

Table 2 — Terminology used in CTCs

Name	Content
Upper tester (UT)	Entity which is responsible for controlling the LT via the test control protocol and the IUT UT enhanced testability services (ETS) via the abstract service primitives (ASPs).
Lower tester (LT)	Entity which is responsible for validating the implementation under test (IUT).
IUT_CONFIGURE	This entry causes the IUT to configure/execute various commands for clearing cache, adding static address, send echo request, etc.
IUT	Implementation under test in the SUT.
CLEANUP	This is a command, which causes the IUT to remove the static entry from its ARP cache.

6.5 IUT prerequisites – TCP/IP TestStub

6.5.1 General

The TCP/IP TestStub defines interfaces required to test TCP/IP communication stack functionality. This is an enabler for generic test tools and conformance test cases (CTCs).

The protocol parts covered by the TCP/IP TestStub include:

- UDP and TCP – socket connection establishment and termination;
- UDP and TCP – message transmission and reception.

The TCP/IP TestStub is specified in Reference [12] (AUTOSAR). This document references a subset of the AUTOSAR specification.

6.5.2 TCP/IP TestStub methods (service primitives)

Table 3 references *AUTOSAR Testability Protocol and Service Primitives, TC Release 1.2.0, '6.10 Service Primitives'* [12] and indicates the service primitives which are applicable.

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Table 3 — TCP/IP TestStub methods (service primitives)

Method name (service primitive)	Identifier	General	UDP	TCP
GET_VERSION	1 ₁₆	optional	---	---
START_TEST	2 ₁₆	mandatory	---	---
END_TEST	3 ₁₆	mandatory	---	---
CLOSE_SOCKET	0 ₁₆	---	mandatory	mandatory
CREATE_AND_BIND	1 ₁₆	---	mandatory	mandatory
SEND_DATA	2 ₁₆	---	mandatory	mandatory
RECEIVE_AND_FORWARD	3 ₁₆	---	mandatory	mandatory
LISTEN_AND_ACCEPT	4 ₁₆	---	---	mandatory
CONNECT	5 ₁₆	---	---	mandatory
SHUTDOWN	7 ₁₆	---	---	optional

6.5.3 Result codes

Due to different stack implementations there is no generic way to retrieve specific result codes. Only generic result codes are accepted. The referenced TestStub methods are changed as follows.

The TestStub methods in Table 4 have no specific result codes, only generic result codes (E_OK / E_NOK) are allowed.

Table 4 — Result codes

Chapter in Reference [12]"	TestStub method (service primitive)
6.10.4	CLOSE_SOCKET
6.10.5	CREATE_AND_BIND
6.10.6	SEND_DATA
6.10.7	RECEIVE_AND_FORWARD
6.10.8	LISTEN_AND_ACCEPT
6.10.9	CONNECT
6.11.1	SHUTDOWN

6.6 IUT prerequisites – SOME/IP TestStub

6.6.1 General

The SOME/IP TestStub enhanced testability service (ETS)[12] defines interfaces required to test SOME/IP functionality. This is an enabler for generic test tools and conformance test cases (CTCs).

The protocol parts covered by the SOME/IP TestStub includes:

- SOME/IP Stack – Service discovery;
- SOME/IP Stack – Serialisation;
- SOME/IP Stack – Remote procedure call;
- SOME/IP Stack – Publish/Subscribe.

6.6.2 SOME/IP TestStub methods

6.6.2.1 Overview about methods

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[Table 5](#) specifies the list of SOME/IP TestStub methods.

Table 5 — List of SOME/IP TestStub methods

SOME/IP TestStub method name	Method-Id	Fire and forget
checkByteOrder()	1F ₁₆	---
clientServiceActivate()	2F ₁₆	X
clientServiceDeactivate()	30 ₁₆	X
clientServiceSubscribe-Event-Group()	32 ₁₆	X
echoCommonDatatypes()	23 ₁₆	---
echoENUM()	17 ₁₆	---
echoFLOAT64()	12 ₁₆	---
echoINT8()	0E ₁₆	---
echoStaticUINT8Array()	36 ₁₆	---
echoUINT8()	08 ₁₆	---
echoUINT8Array()	09 ₁₆	---
echoUINT8Array8BitLength()	3E ₁₆	---
Key		
--- empty cell/undefined		
X method supported		

Table 5 (continued)

SOME/IP TestStub method name	Method-Id	Fire and forget
echoUINT8Array16BitLength()	3F ₁₆	---
echoUINT8Array2Dim()	35 ₁₆	---
echoUINT8ArrayMinSize()	37 ₁₆	---
echoUINT8E2E()	0B ₁₆	---
echoUINT8RELIABLE()	0A ₁₆	---
echoUNION()	19 ₁₆	---
echoUTF16DYNAMIC()	16 ₁₆	---
echoUTF16FIXED()	14 ₁₆	---
echoUTF8DYNAMIC()	15 ₁₆	---
echoUTF8FIXED()	13 ₁₆	---
resetInterface()	01 ₁₆	X
suspendInterface()	02 ₁₆	X
triggerEventUINT8()	03 ₁₆	X
triggerEventUINT8Array()	04 ₁₆	X
triggerEventUINT8E2E()	06 ₁₆	X
triggerEventUINT8Reliable()	05 ₁₆	X
triggerEventUINT8Multicast()	3A ₁₆	X
clientServiceGetLastValueOfEventTCP()	3B ₁₆	---
clientServiceGetLastValueOfEventUDPUnicast()	3C ₁₆	---
clientServiceGetLastValueOfEventUDPMulticast()	3D ₁₆	---
echoBitfields()	41 ₁₆	---
Key --- empty cell/undefined X method supported		

6.6.2.2 SOME/IP service discovery (SOME/IP-SD) control methods

6.6.2.2.1 SOME/IP-SD – State machine of testing methods

Several methods of the SOME/IP TestStub are used to test SOME/IP-SD. [Figure 3](#) shows the SOME/IP-SD – State machine of testing methods.