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Information technology — Coded representation of immersive media —

Part 3:
Versatile video coding

Technologies de l'information — Représentation codée de média immersifs —

Partie 3: Codage vidéo polyvalent

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Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives or www.iec.ch/members_experts/refdocs).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents) or the IEC list of patent declarations received (see https://patents.iec.ch).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see www.iso.org/iso/foreword.html. In the IEC, see www.iec.ch/understanding-standards.

This document was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 29, *Coding of audio, picture, multimedia and hypermedia information*, in collaboration with ITU-T (as ITU-T H.266).

This second edition cancels and replaces the first edition (ISO/IEC 23090-3:2021), which has been technically revised.

The main changes are as follows:

— the specification of operation range extensions,

— the addition of level 6.3,

— the addition of the SEI manifest SEI message, the SEI prefix indication SEI message, and the constrained RASL encoding indication SEI message, and

— the specification of SEI payload type values and necessary variables for use of a number of SEI messages added to the second edition of Rec. ITU-T H.274 | ISO/IEC 23002-7.

A list of all parts in the ISO/IEC 23090 series can be found on the ISO and IEC websites.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html and www.iec.ch/national-committees.

Introduction

Purpose

This document specifies a video coding technology known as versatile video coding. It has been designed with two primary goals. The first of these is to specify a video coding technology with a compression capability that is substantially beyond that of the prior generations of such standards, and the second is for this technology to be highly versatile for effective use in a broader range of applications than that addressed by prior standards. Some key application areas for the use of this document particularly include ultra-high-definition video (e.g., with 3840×2160 or 7620×4320 picture resolution and bit depth of 10 bits as specified in Rec. ITU-R BT.2100), video with a high dynamic range and wide colour gamut (e.g., with the perceptual quantization or hybrid log-gamma transfer characteristics specified in Rec. ITU-R BT.2100), and video for immersive media applications such as 360° omnidirectional video projected using a common projection format such as the equirectangular or cubemap projection formats, in addition to the applications that have commonly been addressed by prior video coding standards.

Profiles, tiers, and levels

This document is designed to be versatile in the sense that it serves a wide range of applications, bit rates, resolutions, qualities, and services. Applications include, but are not limited to, video coding for digital storage media, television broadcasting, video streaming services, real-time communication. In the course of creating this document, various requirements from typical applications have been considered, necessary algorithmic elements have been developed, and these have been integrated into a single syntax. Hence, this document is designed to facilitate video data interchange among different applications.

Considering the practicality of implementing the full syntax of this document, however, a limited number of subsets of the syntax are also stipulated by means of "profiles", "tiers", and "levels". These and other related terms are formally defined in Clause 3.

A "profile" is a subset of the entire bitstream syntax that is specified in this document. Within the bounds imposed by the syntax of a given profile it is still possible to require a very large variation in the performance of encoders and decoders depending upon the values taken by syntax elements in the bitstream, such as the specified size of the decoded pictures. In many applications, it is currently neither practical nor economical to implement a decoder capable of dealing with all hypothetical uses of the syntax within a particular profile.

In order to deal with this problem, "tiers" and "levels" are specified within each profile. A level of a tier is a specified set of constraints imposed on values of the syntax elements in the bitstream. Some of these constraints are expressed as simple limits on values, while others take the form of constraints on arithmetic combinations of values (e.g. picture width multiplied by picture height multiplied by number of pictures decoded per second). A level specified for a lower tier is more constrained than a level specified for a higher tier.

Coded video content conforming to this document uses a common syntax. In order to achieve a subset of the complete syntax, flags, parameters, and other syntax elements are included in the bitstream that signal the presence or absence of syntactic elements that occur later in the bitstream.

Encoding process, decoding process, and use of VUI parameters and SEI messages

Any encoding process that produces bitstream data that conforms to the specified bitstream syntax format requirements of this document is considered to be in conformance with the requirements of this document. The decoding process is specified such that all decoders that conform to a specified combination of capabilities known as the profile, tier, and level will produce numerically identical cropped decoded output pictures when invoking the decoding process associated with that profile for a bitstream conforming to that profile, tier and level. Any decoding process that produces identical

cropped decoded output pictures to those produced by the process described herein (with the correct output order or output timing, as specified) is considered to be in conformance with the requirements of this document.

Rec. ITU-T H.274 | ISO/IEC 23002-7 specifies the syntax and semantics of the video usability information (VUI) parameters and supplemental enhancement information (SEI) messages that do not affect the conformance specifications in Annex C. These VUI parameters and SEI messages may be used together with this document.

Versions of this document

Rec. ITU-T H.266 | ISO/IEC 23090-3 version 1 refers to the first approved version of this document. The first edition published by ISO/IEC as ISO/IEC 23090-3:2021 corresponded to the first version.

Rec. ITU-T H.266 | ISO/IEC 23090-3 version 2 (the current version) refers to the integrated text additionally containing operation range extensions, a new level (level 6.3), additional supplement enhancement information, and corrections to various minor defects in the prior content of the document. This document corresponds to the second version. At the time of publication of this document, a corresponding second edition of Rec. ITU-T H.266 was in preparation for publication by ITU-T.

Overview of the design characteristics

The coded representation specified in the syntax is designed to enable a high compression capability for a desired image or video quality. The algorithm is typically not mathematically lossless, as the exact source sample values are typically not preserved through the encoding and decoding processes, although some modes are included that provide lossless coding capability. A number of techniques are specified to enable highly efficient compression. Encoding algorithms (not specified within the scope of this document) may select between inter, intra, intra block copy (IBC), and palette coding for block-shaped regions of each picture. Inter coding uses motion vectors for block-based inter-picture prediction to exploit temporal statistical dependencies between different pictures, intra coding uses various spatial prediction modes to exploit spatial statistical dependencies in the source signal within the same picture, and intra block copy coding uses block displacement vectors to reference previously decoded regions of the same picture to exploit statistical similarities among different areas of the same picture. Motion vectors, intra prediction modes, and IBC block vectors are specified for a variety of block sizes in the picture. The prediction residual can then be further compressed using a spatial transform to remove spatial correlation inside a block before it is quantized, producing a possibly irreversible process that typically discards less important visual information while forming a close approximation to the source samples. Finally, the motion vectors, intra prediction modes, and block vectors can also be further compressed using a variety of prediction mechanisms, and, after prediction, are combined with the quantized transform coefficient information and encoded using arithmetic coding.

How to read this document

It is suggested that the reader starts with Clause 1 and moves on to Clause 3. Clause 6 should be read for the geometrical relationship of the source, input, and output of the decoder. Clause 7 specifies the order to parse syntax elements from the bitstream. See subclauses 7.1 to 7.3 for syntactical order and subclause 7.4 for semantics; e.g. the scope, restrictions, and conditions that are imposed on the syntax elements. The actual parsing for most syntax elements is specified in Clause 9. Finally, Clause 8 specifies how the syntax elements are mapped into decoded samples. Annexes A through D also form an integral part of this document.

Annex A specifies profiles, each being tailored to certain application domains, and defines the so-called tiers and levels of the profiles. Annex B specifies syntax and semantics of a byte stream format for delivery of coded video as an ordered stream of bytes. Annex C specifies the hypothetical reference decoder, bitstream conformance, decoder conformance, and the use of the hypothetical reference decoder to check bitstream and decoder conformance. Annex D specifies syntax and semantics for

supplemental enhancement information (SEI) message payloads that affect the conformance specifications in Annex C. Rec. ITU-T H.274 | ISO/IEC 23002-7 specifies the syntax and semantics of the video usability information (VUI) parameters as well as SEI messages that do not affect the conformance specifications in Annex C. These VUI parameters and SEI messages may be used together with this document.

The term "this document" is used to refer to this Recommendation | International Standard.

In this document, the following verbal forms are used:

— "shall" indicates a requirement;

— "should" indicates a recommendation;

— "may" indicates a permission;

— "can" indicates a possibility or a capability.

Information marked as "NOTE" is intended to assist the understanding or use of the document. "Notes to entry" used in Clause 3 provide additional information that supplements the terminological data and can contain provisions relating to the use of a term.

Patent declarations

The International Organization for Standardization (ISO) and International Electrotechnical Commission (IEC) draw attention to the fact that it is claimed that compliance with this document may involve the use of patents.

ISO and IEC take no position concerning the evidence, validity and scope of these patent rights.

The holder of these patent rights have assured ISO and IEC that he/she is willing to negotiate licences under reasonable and non-discriminatory terms and conditions with applicants throughout the world. In this respect, the statements of the holders of these patent rights are registered with ISO and IEC. Information may be obtained from the patent database available at www.iso.org/patents.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights other than those in the patent database. ISO and IEC shall not be held responsible for identifying any or all such patent rights.

Information technology — Coded representation of immersive media —

Part 3:
Versatile video coding

1   Scope

This document specifies a video coding technology known as versatile video coding (VVC), comprising a video coding technology with a compression capability that is substantially beyond that of the prior generations of such standards and with sufficient versatility for effective use in a broad range of applications.

Only the syntax format, semantics, and associated decoding process requirements are specified, while other matters such as pre-processing, the encoding process, system signalling and multiplexing, data loss recovery, post-processing, and video display are considered to be outside the scope of this document. Additionally, the internal processing steps performed within a decoder are also considered to be outside the scope of this document; only the externally observable output behaviour is required to conform to the specifications of this document.

This document is designed to be generic in the sense that it serves a wide range of applications, bit rates, resolutions, qualities and services. Applications include, but are not limited to, video coding for digital storage media, television broadcasting and real-time communication. In the course of creating This document, various requirements from typical applications have been considered, necessary algorithmic elements have been developed, and these have been integrated into a single syntax. Hence, this document is designed to facilitate video data interchange among different applications.

2   Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

Rec. ITU-T H.274 | ISO/IEC 23002-7, *Versatile supplemental enhancement information messages for coded video bitstreams*

Rec. ITU-T T.35, *Procedure for the allocation of ITU-T defined codes for non standard facilities*

3   Terms and definitions

For the purposes of this document, the following terms and definitions apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

— ISO Online browsing platform: available at https://www.iso.org/obp

— IEC Electropedia: available at https://www.electropedia.org/

3.1
access unit
set of *PUs* that belong to different *layers* and contain *coded pictures* associated with the same time for output from the *DPB*

3.2
adaptive colour transform
cross-*component transform* applied to the decoded *residual* of a *coding unit* in the 4:4:4 colour format prior to reconstruction and loop filtering

3.3
adaptive loop filter
filtering process that is applied as part of the *decoding process* and is controlled by parameters conveyed in an *APS*

3.4
ALF APS
APS that controls the *ALF* process

3.5
adaptation parameter set
syntax structure containing *syntax elements* that apply to zero or more *slices* as determined by zero or more *syntax elements* found in *slice headers*

3.6
associated GDR picture
previous *GDR picture* (when present) in *decoding order*, for a particular picture with nuh_layer_id equal to a particular value layerId, that has nuh_layer_id equal to layerId and between which and the particular *picture* in *decoding order* there is no *IRAP picture* with nuh_layer_id equal to layerId

3.7
associated IRAP picture
previous *IRAP picture* (when present) in *decoding order*, for a particular picture with nuh_layer_id equal to a particular value layerId, that has nuh_layer_id equal to layerId and between which and the particular *picture* in *decoding order* there is no *GDR picture* with nuh_layer_id equal to layerId

3.8
associated IRAP subpicture
previous *IRAP subpicture* (when present) in *decoding order*, for a particular subpicture with nuh_layer_id equal to a particular value layerId and subpicture index equal to a particular value subpicIdx, that has nuh_layer_id equal to layerId and subpicture index equal to subpicIdx and between which and the particular sub*picture* in *decoding order* there is no *GDR subpicture* with nuh_layer_id equal to layerId and subpicture index equal to subpicIdx

3.9
associated non-VCL NAL unit
non-VCL NAL unit (when present) for a *VCL NAL unit* where the *VCL NAL unit* is the *associated VCL NAL unit* of the *non-VCL NAL unit*

3.10
associated VCL NAL unit
preceding *VCL NAL unit* in *decoding order* for a *non-VCL NAL unit* with nal_unit_type equal to EOS_NUT, EOB_NUT, SUFFIX_APS_NUT, SUFFIX_SEI_NUT, FD_NUT, RSV_NVCL_27, UNSPEC_30, or UNSPEC_31; or otherwise the next *VCL NAL unit* in *decoding order*

3.11
bin
bit of a *bin string*

3.12
binarization
set of *bin strings* for all possible values of a *syntax element*

3.13
binarization process
unique mapping process of all possible values of a *syntax element* onto a set of *bin strings*

3.14
binary split
split of a rectangular MxN *block* of samples into two *blocks* where a vertical split results in a first
(M / 2)xN *block* and a second (M / 2)xN *block*, and a horizontal split results in a first Mx(N / 2) *block*
and a second Mx(N / 2) *block*

3.15
bin string
intermediate binary representation of values of *syntax elements* from the *binarization* of the *syntax
element*

3.16
bi-predictive slice
B slice
slice that is decoded using *intra prediction* or using *inter prediction* with at most two *motion vectors* and
reference indices to *predict* the sample values of each *block*

3.17
bitstream
sequence of bits, in the form of a *NAL unit stream* or a *byte stream*, that forms the representation of a
sequence of *AUs* forming one or more coded video sequences *(CVSs)*

3.18
block
MxN (M-column by N-row) array of samples, or an MxN array of *transform coefficients*

3.19
block vector
two-dimensional vector that provides an offset from the coordinates of the current *coding block* to the
coordinates of the reference block in the same decoded *slice*

3.20
byte
sequence of 8 bits, within which, when written or read as a sequence of bit values, the left-most and
right-most bits represent the most and least significant bits, respectively

3.21
byte-aligned
<*bitstream*> positioned an integer multiple of 8 bits from the position of the first bit in the *bitstream*

3.22
byte-aligned
<bit or *byte* or *syntax element*> position at which it appears in a *bitstream* is byte-aligned

3.23
byte stream
encapsulation of a *NAL unit stream* into a series of *bytes* containing *start code prefixes* and *NAL units*

3.24
chroma
sample array or single sample representing one of the two colour difference signals related to the
primary colours, represented by the symbols Cb and Cr

Note 1 to entry: The term chroma is used rather than the term chrominance in order to avoid the implication of
the use of linear light transfer characteristics that is often associated with the term chrominance.

3.25
CRA PU
PU in which the *coded picture* is a *CRA picture*

3.26
CRA picture
IRAP picture for which each *VCL NAL unit* has nal_unit_type equal to CRA_NUT

Note 1 to entry: A CRA picture does not use inter prediction in its decoding process, and could be the first picture in the bitstream in decoding order, or could appear later in the bitstream. A CRA picture could have associated RADL or RASL pictures. When a CRA picture has NoOutputBeforeRecoveryFlag equal to 1, the associated RASL pictures are not output by the decoder, because they might not be decodable, as they could contain references to pictures that are not present in the bitstream.

3.27
CRA subpicture
IRAP subpicture for which each *VCL NAL unit* has nal_unit_type equal to CRA_NUT

3.28
coded layer video sequence:
sequence of *PUs* with the same value of nuh_layer_id that consists, in *decoding order*, of a *CLVSS PU*, followed by zero or more *PUs* that are not *CLVSS PUs*, including all subsequent *PUs* up to but not including any subsequent *PU* that is a *CLVSS PU*

Note 1 to entry: A CLVSS PU could be an IDR PU, a CRA PU, or a GDR PU. The value of NoOutputBeforeRecoveryFlag is equal to 1 for each IDR PU, and each CRA PU that has HandleCraAsClvsStartFlag equal to 1, and each CRA or GDR PU that is the first PU in the layer of the bitstream in decoding order or the first PU in the layer of the bitstream that follows an EOS NAL unit in the layer in decoding order.

3.29
CLVSS PU
PU in which the *coded picture* is a *CLVSS picture*

3.30
CLVSS picture
coded picture that is an *IRAP picture* with NoOutputBeforeRecoveryFlag equal to 1 or a *GDR picture* with NoOutputBeforeRecoveryFlag equal to 1

3.31
coded picture
coded representation of a *picture* comprising *VCL NAL units* with a particular value of nuh_layer_id within an *AU* and containing all *CTUs* of the *picture*

3.32
coded picture buffer
first-in first-out buffer containing *DUs* in *decoding order* specified in the *hypothetical reference decoder*

Note 1 to entry: The hypothetical reference decoder is specified in Annex C.

3.33
coded representation
data element as represented in its coded form

3.34
coded slice NAL unit
NAL unit that contains a coded *slice*

3.35
coded video sequence
sequence of *AUs* that consists, in *decoding order*, of a *CVSS AU*, followed by zero or more *AUs* that are not *CVSS AUs*, including all subsequent *AUs* up to but not including any subsequent *AU* that is a *CVSS AU*

3.36
CVSS AU
IRAP AU or *GDR AU* for which the *coded picture* in each *PU* is a *CLVSS picture*

3.37
coding block
MxN *block* of samples for some values of M and N such that the division of a *CTB* into *coding blocks* is a *partitioning*

3.38
coding tree block
N×N *block* of samples for some value of N such that the division of a *component* into *CTBs* is a *partitioning*

3.39
coding tree unit
CTB of *luma* samples, two corresponding *CTBs* of *chroma* samples of a *picture* that has three sample arrays, or a *CTB* of samples of a monochrome *picture*, and *syntax structures* used to code the samples

3.40
coding unit
coding block of *luma* samples, two corresponding *coding blocks* of *chroma* samples of a *picture* that has three sample arrays in the single tree mode, or a *coding block* of *luma* samples of a *picture* that has three sample arrays in the dual tree mode, or two *coding blocks* of *chroma* samples of a *picture* that has three sample arrays in the dual tree mode, or a *coding block* of samples of a monochrome *picture*, and *syntax structures* used to code the samples

3.41
component
array or single sample from one of the three arrays (*luma* and two *chroma*) that compose a *picture* in 4:2:0, 4:2:2, or 4:4:4 colour format or the array or a single sample of the array that compose a *picture* in monochrome format

3.42
context variable
variable specified for the *adaptive binary arithmetic decoding process* of a *bin* by a formula containing recently decoded *bins*

3.43
deblocking filter
filtering process that is applied as part of the *decoding process* in order to minimize the appearance of visual artefacts at the boundaries between *blocks*

3.44
decoded picture
picture produced by applying the *decoding process* to a *coded picture*

3.45
decoded picture buffer
buffer holding *decoded pictures* for reference, output reordering, or output delay specified for the *hypothetical reference decoder*

3.46
decoder
embodiment of a *decoding process*

3.47
decoding order
order in which *syntax elements* are processed by the *decoding process*

3.48
decoding process
process specified in this document that reads a *bitstream* and derives *decoded pictures* from it