

# International Standard

ISO/IEC 10373-6

Cards and security devices for personal identification — Test methods —

Part 6:

**Contactless proximity objects** 

Cartes et dispositifs de sécurité pour l'identification personnelle — Méthodes d'essai —

Partie 6: Objets sans contact de proximité

ISO/IEC 10373-6:202

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Fifth edition 2025-02

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Published in Switzerland

Con	tents	5	Page
Forew	vord		v
1	Scope		1
2	Norm	ative references	1
	_		
3	<b>Term</b> 3.1	s, definitions, symbols and abbreviated terms  Terms and definitions	
	3.2	Symbols and abbreviated terms	
4	<b>Defau</b> 4.1	ılt items applicable to the test methods Test environment	
	4.2	Pre-conditioning	
	4.3	Setup tolerances	
	4.4	Spurious inductance	
	4.5	Measurement uncertainty	
	4.6	DUT position	
	4.7	Test conditions for PCD	
	4.8	Test conditions for PICC	7
5	Appa 5.1	ratus and circuits for test of ISO/IEC 14443-1 and ISO/IEC 14443-2 parameters Overview	
	5.2	Minimum requirements for measurement instruments — Oscilloscope	
	5.3	Calibration coils	11
	0.0	5.3.1 General	
		5.3.2 Size of the calibration coil card	11
		5.3.3 Thickness and material of the calibration coil card	
		5.3.4 Coil characteristics	
	5.4	Test PCD assemblies Standards Henry	
		5.4.1 General 5.4.2 Test PCD antennas	
		5.4.3 Sense coils	
		5.4.4 Mechanical construction of the Test PCD assemblies	
	5.5	Reference PICC and Active Reference PICC 3.6.2025	
	standar	5.5.1 <sub>b</sub> General data da da da da da ser de	
		5.5.2 Reference PICC	
		5.5.3 Active Reference PICC	
	5.6	PICC transmission test setup	
		5.6.1 General description	
	5.7	5.6.2 Phase stability precondition test	
	3.7	EMD test setup	
		5.7.2 Computation of power versus time	
		5.7.3 Noise floor precondition test	
6	Tost (	of ISO/IEC 14443-1 parameters	
U	6.1	PCD test for alternating magnetic fields	
	0.1	6.1.1 Purpose	
		6.1.2 Procedure	
		6.1.3 Test report	23
	6.2	PICC test for alternating magnetic fields	23
		6.2.1 Purpose	
		6.2.2 Apparatus	
		6.2.3 Procedure	
	6.3	6.2.4 Test report PXD tests	
_			
7		of ISO/IEC 14443-2 parameters	
	7.1	PCD tests	24 25

		7.1.2	Modulation index m and waveform	
		7.1.3	Phase stability test	27
		7.1.4	Load modulation reception for PICC to PCD bit rates of $f_c/128$ , $f_c/64$ , $f_c/32$ and $f_c/16$	
		7.1.5	Load modulation reception for PICC to PCD bit rates of $f_c/8$ , $f_c/4$ and $f_c/2$	34
		7.1.6	PCD EMD immunity test	35
	7.2	PICC t	ests	
		7.2.1	PICC transmission	
		7.2.2	PICC EMD level and low EMD time test	
		7.2.3	PICC reception	
		7.2.4	PICC resonance frequency	
		7.2.5	PICC maximum loading effect	
	<b>7</b> 0	7.2.6	PICC operating field strength test	45
	7.3 7.4	Test n	nethods for bit rates of $3f_c/4$ , $f_c$ , $3f_c/2$ and $2f_c$ from PCD to PICCests	45
_				
8			EC 14443-3 and ISO/IEC 14443-4 parameters	
	8.1		ests	
		8.1.1 8.1.2	PCD EMD recovery test	
	8.2	-	Additional PCD testsests	
	8.3		ests	
	0.5	8.3.1	PCD and PICC Modes	
		8.3.2	Automatic mode alternation	
		8.3.3	PCD Mode	
		8.3.4	PICC Mode	
Anne	<b>x A</b> (no	rmative	e) Test PCD antennas Standards	53
Anne	<b>B</b> (no	rmative	e) PICC Type A FDT determination method	60
Anne	<b>c</b> C (no	rmative	Sense coils	63
	_		e) Reference PICCs and Active Reference PICCs	
			PCD modulation index m and waveform analysis tool	
			/e) Program for the evaluation of the load modulation amplitude	
			e) Additional PICC test methods -96ff-425f-ba83-3bb5dd7cdf1c/iso-iec-1037	
			e) Additional PCD test methods	148
			High bit rate selection test methods for PCD	
			re) Program for EMD level measurements	
			P) Test methods for bit rates of $3f_c/4$ , $f_c$ , $3f_c/2$ and $2f_c$ from PCD to PICC	
	-		Frame with error correction test methods	
			e) PCD phase stability analysis tool	
	_		e) PICC amplitude and phase drift analysis tool	
			e) Conformance test plan	
Biblio	graph	ıy		240

# Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work.

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This document was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, SC 17, *Cards and security devices for personal identification*.

This fifth edition cancels and replaces the fourth edition (ISO/IEC 10373-6:2020), which has been technically revised. It also incorporates the Amendment ISO/IEC 10373-6:2020/Amd.2:2020.

The main changes are as follows:

- addition of explicit RFU reception test methods;
- modifications of the PICC transmission test methods;
- simplifications of the impedance matching networks; and
- corrections of the conformance test plan.

A list of all the parts in the ISO/IEC 10373 series can be found on the ISO website.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at <a href="https://www.iso.org/members.html">www.iso.org/members.html</a> and <a href="https://www.iso.org/members.html">www.iso.org/members.html</a> and <a href="https://www.iso.org/members.html">www.iso.org/members.html</a> and

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# Cards and security devices for personal identification — Test methods —

# Part 6:

# **Contactless proximity objects**

# 1 Scope

This document defines test methods which are specific to proximity cards and objects, proximity coupling devices and proximity extended devices, defined in ISO/IEC 14443-1, ISO/IEC 14443-2, ISO/IEC 14443-3 and ISO/IEC 14443-4.

NOTE Test methods defined in this document are intended to be performed separately. A given proximity card or object, proximity coupling device or proximity extended device, is not required to pass through all the tests sequentially.

The conformance test plan defined in <u>Annex O</u> specifies the list of tests required for each part of the ISO/IEC 14443 series.

#### 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 7810, Identification cards — Physical characteristics

ISO/IEC 14443-1:2018, Cards and security devices for personal identification — Contactless proximity objects — Part 1: Physical characteristics

ISO/IEC 14443-2:2020, Cards and security devices for personal identification — Contactless proximity objects — Part 2: Radio frequency power and signal interface

ISO/IEC 14443-3:2018, Cards and security devices for personal identification — Contactless proximity objects — Part 3: Initialization and anticollision

ISO/IEC 14443-4:2018, Cards and security devices for personal identification — Contactless proximity objects — Part 4: Transmission protocol

## 3 Terms, definitions, symbols and abbreviated terms

#### 3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 14443-1, ISO/IEC 14443-2, ISO/IEC 14443-4 and the following apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <a href="https://www.iso.org/obp">https://www.iso.org/obp</a>
- IEC Electropedia: available at https://www.electropedia.org/

#### 3.1.1

#### base standard

standard to which the *test method* (3.1.8) is used to verify conformance

#### 3.1.2

#### CascadeLevels

number of cascade levels of the PICC

#### 3.1.3

#### command set

set describing the PICC commands during initialization and anticollision

Note 1 to entry: See ISO/IEC 14443-3:2018, 6.4 for PICC Type A and ISO/IEC 14443-3:2018, 7.5 for PICC Type B.

#### 3.1.4

### loading effect

change in PCD antenna current caused by the presence of PICC(s) in the field due to the mutual coupling modifying the PCD antenna resonance and quality factor

#### 3.1.5

#### mute

no response within a specified timeout

EXAMPLE Expiration of FWT.

#### 3.1.6

#### scenario

defined typical protocol and application specific communication to be used with the *test methods* (3.1.8) defined in this document

#### 3.1.7

#### test initial state

#### TIS

element from PICC states that is the PICC state before performing a specific PICC command from *command* set (3.1.3)

#### 3.1.8

#### test method

method for testing characteristics of devices in scope for the purpose of verifying their conformance with International Standards

## 3.1.9

#### test target state

#### TTS

element from PICC states that is the PICC state after performing a specific PICC command from *command* set (3.1.3)

## 3.2 Symbols and abbreviated terms

For the purposes of this document, the symbols and abbreviated terms given in ISO/IEC 14443-1, ISO/IEC 14443-2, ISO/IEC 14443-3, ISO/IEC 14443-4 and the following apply.

NOTE Elements in bold square brackets [] are optional.

Answer to ATTRIB(cid) Answer to ATTRIB with CID = cid

ATTRIB(cid, fsdi) ATTRIB command with CID = cid and Maxi-

mum Frame Size Code value = fsdi

~CRC	Invalid CRC with respect to the communication signal interface Type
	A (CRC_A) or Type B (CRC_B), transmitted instead of the specified

CRC if present in the command or response definition

DUT Device under test; within the scope of this document, DUT repre-

sents the PICC under test

 $I(c)_n([INF = inf] [,CID = cid]$  ISO/IEC 14443-4 I-block with chaining bit  $c \in \{1,0\}$ , block number

[,NAD = nad])  $n \in \{1,0\}$  and information field INF. By default no CID and no NAD will be transmitted. If CID = cid  $\in \{0...15\}$  is specified, it will be transmitted as second parameter. If NAD = nad  $\in \{0...'FF'\}$  is specified, it will

transmitted).

IUT Implementation Under Test (ISO/IEC 9646); within the scope of this

document, IUT represents the PCD under test

LT Lower Tester (ISO/IEC 9646), the PICC-emulation part of the

PCD-test-apparatus

N/A Not applicable

PPS(cid, dri, dsi) PPS request with CID = cid, DRI = dri and DSI = dsi

~PUPI Unmatched PUPI, transmitted instead of the specified PUPI if pres-

ent in the command or response definition

R(ACK [,CID = cid])<sub>n</sub> ISO/IEC 14443-4 R(ACK) block with block number n. The definition

of the optional CID symbol is as described in the  $I(c)_n$  block above

be transmitted as third parameter (or second parameter if no CID is

 $R(NAK [CID = cid])_n$  ISO/IEC 14443-4 R(NAK) block with block number n. The definition of the optional CID symbol is as described in the  $I(c)_n$  block above

RATS (cid, fsdi) RATS command with CID = cid and FSDI value = fsdi

READY(I) READY state in cascade level I,  $I \in \{1, 2, 3\}$ ; e.g. READY(2) is a PICC

cascade level 2

READY\*(I) READY\* state in cascade level I,  $I \in \{1, 2, 3\}$ ; e.g. READY\*(2) is a PICC

cascade level 2

REQB(N) REQB command with N as defined in ISO/IEC 14443-3:2018, 7.7

S(WTX)(WTXM [,CID = cid]) ISO/IEC 14443-4 S(WTX) block with parameter WTXM. The defi-

nition of the optional CID symbol is as described in the  $I(c)_n$  block

above

S(DESELECT [,CID = cid]) ISO/IEC 14443-4 S(DESELECT) block. The definition of the optional

CID symbol is as described in the I(c)<sub>n</sub> block above

SAK(cascade) the SELECT(I) answer with the cascade bit (bit 3) set to (1)b

SAK(complete) the SELECT(I) answer with the cascade bit (bit 3) set to (0)b

SELECT(I) SELECT command of cascade level I, i.e.

SELECT(1) = ( '93 70' UIDTX<sub>1</sub> BCC CRC\_A) SELECT(2) = ( '95 70' UIDTX<sub>2</sub> BCC CRC\_A) SELECT(3) = ( '97 70' UIDTX<sub>3</sub> BCC CRC\_A)

SLOTMARKER(n) Slot-MARKER command with slot number n, i.e.

 $(16 \times (n-1) + 5 CRC_B)$ 

TB-PDU Transmission Block Protocol Data Unit, which consists of either

I-block, R-block or S-block

TEST\_COMMAND\_SEQUENCE1 Sequence of commands used for several PICC tests. When transmitted

to DUT Type A, the sequence should contain at least one I-block ending

with (0)b and at least one I-block ending with (1)b.

NOTE Its definition depends on applicative layer and represents a standard transaction of the application supported by the DUT. The applicant

may also provide a specified set of commands.

TEST\_COMMAND1(1) Default test command consisting of one unchained I-block

NOTE This command depends on the negotiated maximum frame size

value of the PICC.

TEST\_COMMAND1(n), n > 1 Default test command consisting of n chained I-blocks (PCD chaining)

NOTE This command depends on the negotiated maximum frame size

value of the PICC.

TEST\_COMMAND1(n), INF field of k'th I-block chain of TEST\_COMMAND1(n)

NOTE This command depends on the negotiated maximum frame size

value of the PICC.

TEST\_COMMAND2(n), n > 1 Default test command which expects a response consisting of n chained

I-blocks

NOTE This command depends on the negotiated maximum frame size

value of the PCD.

TEST\_COMMAND3 Default test command consisting of one I-block which needs more

than FWT time for execution

TEST\_COMMAND4 Default test command which expects a response of one I-block in

conformance with the PICC transmission minimum frame length

required for the PICC transmission test

TEST\_RESPONSE1(n) INF field of the response to TEST\_COMMAND1(n)

NOTE This response is assumed to be always unchained.

TEST\_RESPONSE2(n) Response to TEST\_COMMAND2(n)

NOTE This response depends on the negotiated maximum frame size

value of the PCD.

TEST\_RESPONSE2(n)<sub>k</sub> INF field of k'th I-block chain of TEST\_RESPONSE2(n)

NOTE This response depends on the negotiated maximum frame size

value of the PCD.

TEST\_RESPONSE3 Response I-block to TEST\_COMMAND3

NOTE This response is always assumed to be unchained.

TEST\_RESPONSE4 Response I-block to TEST\_COMMAND4

TM-PDU Test Management Protocol Data Unit (ISO/IEC 9646-1, PDU)

 $t_{\rm START}$  Start of PICC transmission

UIDTX<sub>I</sub> UID 32-bit data at cascade level  $I \in \{1, 2, 3\}$  (see <u>Table 1</u>)

~UIDTX<sub>I</sub> Wrong UID 32-bit data cascade level  $I \in \{1, 2, 3\}$  (see <u>Table 1</u>)

UT Upper Tester (ISO/IEC 9646), the master part of the PCD-test-appa-

ratus

UT\_APDU Upper Tester Application Protocol Data Unit: a packet of data to be

sent by the PCD to the LT through the RF interface

 $V_{\rm load}$  DC voltage measured at connector CON3 of the Reference PICC

WUPB(N) WUPB command with N as defined in ISO/IEC 14443-3:2018, 7.7

~X Bit sequence consisting of the inverted bits of bit sequence X or any

other bit sequence different from X

X[[a...b]] Bit subsequence of bit sequence X consisting of the bits between

position a and b included. If a > b then the sequence is empty

X[[n]] Bit at position n of bit sequence X. First bit is at position 1

X[n] Byte at position n of bit sequence X. First byte is at position 1

(i.e.  $X[n] = X[[(n-1) \times 8 + 1...n \times 8]])$ 

<u>Table 1</u> shows the mapping from UID to UIDTX.

Table 1 — Mapping from UID to UIDTX

Cascade level	Single UID PICC	Double UID PICC	Triple UID PICC
UIDTX <sub>1</sub>	UIDO UID1 UID2 UID3	'88' UIDO UID1 UID2	'88' UID0 UID1 UID2
UIDTX <sub>2</sub>	11011 500	UID3 UID4 UID5 UID6	'88' UID3 UID4 UID5
UIDTX <sub>3</sub>	https=//stanc	lards. <del>it</del> eh.ai)	UID6 UID7 UID8 UID9

# 4 Default items applicable to the test methods

#### 4.1 Test environment

Unless otherwise specified, testing shall take place in an environment of temperature 23 °C  $\pm$  3 °C (73 °F  $\pm$  5 °F) and of relative humidity 25 % to 75 %.

#### 4.2 Pre-conditioning

No environmental pre-conditioning of PICCs or PCDs is required by the test methods in this document.

#### 4.3 Setup tolerances

The following absolute tolerances shall be used when adjusting the Test PCD assembly modulation waveform:

- a) for timings  $(t_1, t_2, t_3, t_5, t_6, t_r, t_f)$ :
  - 1)  $\pm 1/f_c$  for a PCD to PICC bit rate of  $f_c/128$ ;
  - 2)  $\pm 0.5/f_c$  for a PCD to PICC bit rate of  $f_c/64$ ;
  - 3)  $\pm 0.3/f_c$  for PCD to PICC bit rates higher than  $f_c/64$ ;
- b) for envelope overshoot, Type A, PCD to PICC bit rate of  $f_c/128$ :  $\pm 1$  % of  $H_{\text{INITIAL}}$ ;
- c) for envelope overshoot, Type A, PCD to PICC bit rates higher than  $f_c/128$ :  $\pm 0.01 \times (1-a)$ ;
- d) for envelope overshoot and undershoot, Type B:  $\pm 0.01 \times (1-b)$ ;

- e) for the modulation index *m*: ±0,5 %;
- f) for the pulse shape factor a:  $\pm 0,02$ ;
- g) for PCD field envelope during 60 % of  $t_2$ : ±0,5 % of  $H_{INITIAL}$ .

Unless otherwise specified, a tolerance of  $\pm 5$  % shall be applied to the quantity values given to specify the characteristics of the test equipment (e.g. linear dimensions) and the test method procedures (e.g. test equipment adjustments).

# 4.4 Spurious inductance

Resistors and capacitors should have negligible inductance.

# 4.5 Measurement uncertainty

The measurement uncertainty for each quantity determined by these test methods shall be stated in the test report.

Basic information is given in ISO/IEC Guide 98-3.

# 4.6 DUT position

Unless otherwise specified, the PICC and Reference PICC antennas shall be centered on the sense coil a of the Test PCD assembly.

# 4.7 Test conditions for PCD Teh Standard

Unless otherwise specified, the test conditions defined in <u>Table 2</u> shall be applied.

Table 2 — Test conditions for PCD

Conditions	Values
Туре	Type A and Type B <sub>SO/IEC</sub> 10373-6:2025
Test positions de iteh ai/ca	Position 0: See <u>Table 3555e11a-96ff-425f-ba83-3bb5dd7cdf1c/iso-iec-10373-6-202</u>
	Position Z <sub>max</sub> : See <u>Table 3</u>
Reference PICCs	Reference PICC 1, Reference PICC 2 and Reference PICC 3
	In accordance with the support of optional PICC classes as declared by the PCD manufacturer in <u>Table 3</u> :
	a) Reference PICC 4 if PICC Class 4 is supported;
	b) Reference PICC 5 if PICC Class 5 is supported;
	c) Reference PICC 6 if PICC Class 6 is supported.

The information defined in  $\underline{\text{Table 3}}$  shall be provided by the PCD manufacturer.

Table 3 — PCD manufacturer information

Parameter	Description	Unit
Position 0	Position and orientation of the Reference PICCs on the PCD surface. This position may be PICC classes dependent.	
${\bf Position} \ {\bf Z}_{\rm max}$	Position with maximum operating distance on the Z axis <sup>a</sup> . This position may be PICC classes dependent.	
Temperature range	Minimum and maximum temperature values.	°C
Optional PICC classes	List of supported optional PICC classes	
PCD to PICC supported bit rates	List of supported optional PCD to PICC bit rates.	
PICC to PCD supported bit rates	List of supported optional PICC to PCD bit rates.	
Maximum frame size supported	Maximum frame size in reception.	Bytes
PCD to PICC frame with error correction supported	Frame with error correction from PCD to PICC.	
PICC to PCD frame with error correction supported	Frame with error correction from PICC to PCD.	
Internal output buffer size	Maximum size of the command UT_APDU.	Bytes
Internal input buffer size	Maximum size of the response UT_APDU.	Bytes
Type A collision resolution	Collision resolution for Type A supported.	
Polling in order to detect PICCs requiring 5 ms	PCD commits to periodically present an unmodulated RF field of at least 5,1 ms duration prior to both Type A and Type B request commands.	

<sup>&</sup>lt;sup>a</sup> Z axis shall be perpendicular to the PCD surface through Position 0. If the PCD surface is not flat, Z axis shall correspond to the axis along which PICCs would habitually be held to the PCD and shall be coherent with PCD ergonomics; if not, the test laboratory may choose to redefine it (directionally).

Unless otherwise specified, the values defined in <u>Table 4</u> shall be used to adjust PCD-test-apparatus parameters.

Table 4 — Values of the PCD-test-apparatus parameters unless otherwise specified

Parameter	<u>ISO/IEC 1037 Value)25</u>	Applies to
PCD to PICC and PICC to PCD bit rates dan	$f_{\rm c}/128$ 555ella-96ff-425f-ba83-3bb5dd	Type A and Type B 373-6-202
Load modulation amplitude	More than 20 mV at $H_{\min}$	Type A and Type B
Reference PICCs resonance frequency	16,5 MHz	Type A and Type B
J1 setting	position 'a'	Type A and Type B
J2 setting	position 'a'	Type A and Type B
Reference PICCs position	Position Z <sub>max</sub>	Type A and Type B
Start Of Frame (SOF) timing	10 etu "0" followed by 2 etu "1"	Туре В
End Of Frame (EOF) timing	10 etu "0"	Туре В
Extra Guard Time (EGT) timing	0 etu	Туре В
TR0 for ATQB and DESELECT	$200/f_{\rm s}$	Туре В
Frame waiting time	Any value as specified in ISO/IEC 14443-4:2018, 7.3	Type A and Type B
UID	Any of the size and contents as specified in ISO/IEC 14443-3:2018, 6.5.4	Type A
TR1	140/f <sub>s</sub>	Туре В
FSCI	8	Туре А
Maximum Frame Size Code in ATQB	8	Туре В

# 4.8 Test conditions for PICC

Unless otherwise specified, the test conditions defined in <a href="Table 5">Table 5</a> shall be applied:

Table 5 — Test conditions for PICC

Conditions		Values	
Field strength <sup>a</sup>	For PICC Class 1:	1,5 A/m, 2,5 A/m, 4,5 A/m and 7,5 A/m	
	For PICC Class 2 and Class 3:	1,5 A/m, 2,5 A/m, 4,5 A/m and 8,5 A/m	
	For PICC Class 4:	2 A/m, 4 A/m, 7 A/m and 12 A/m	
	For PICC Class 5:	2,5 A/m, 4,5 A/m, 8 A/m and 14 A/m	
	For PICC Class 6:	4,5 A/m, 7 A/m, 11 A/m and 18 A/m	
a Any additional fie	Any additional field strength values between $H_{\min}$ and $H_{\max}$ may be applied.		

The information defined in <u>Table 6</u> shall be provided by the PICC manufacturer.

**Table 6 — PICC manufacturer information** 

Parameter	Description	Unit
Location of the external rectangle/ circle of the claimed PICC class <sup>a</sup>	Drawing with dimensions of PICC outside shape and the position of the external rectangle/circle of the claimed PICC class.	
PICC class (optional) <sup>a</sup>	Claimed PICC class.	
Resonance frequency range (optional)	Minimum and maximum resonance frequency.	MHz
Communication signal interface	Supported communication signal interface(s): a) Type A b) Type B c) Type A and Type B	
Temperature range	Minimum and maximum operating temperature.	°C
PCD to PICC supported bit rates	List of supported optional PCD to PICC bit rates.	
PICC to PCD supported bit rates	List of supported optional PICC to PCD bit rates.	
Same bit rate for both directions	Indication if only same bit rate from PCD to PICC and from PICC to PCD is supported.	
Random or fixed UID (Type A) or PUPI (Type B)	Indication whether the UID (Type A) or PUPI (Type B) is random or fixed.	:-10373-6-202
AFI values (Type B)	List of AFI values (except '00') the PICC matches.	
Maximum frame size supported	Maximum frame size in reception.	Bytes
PCD to PICC frame with error correction supported	Frame with error correction from PCD to PICC.	
PICC to PCD frame with error correction supported	Frame with error correction from PICC to PCD.	
TEST_COMMAND_SEQUENCE1	See <u>0.2.1</u>	
TEST_COMMAND1	See <u>0.2.1</u>	
TEST_COMMAND2	See <u>0.2.1</u>	
TEST_COMMAND3	See <u>0.2.1</u>	
TEST_COMMAND4	See <u>0.2.1</u>	
<sup>a</sup> If not provided, test methods for PICC	C Class 1 shall be used.	

Unless otherwise specified, the values defined in  $\underline{\text{Table 7}}$  shall be used to adjust PICC-test-apparatus parameters.