ISO/IEC TS ~~DTS~~ 17012:2024(en)

ISO/CASCO

Secretariat: ISO

Date: 2024-~~02-09~~03-04

Guidelines for the use of remote auditing methods in auditing management systems

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Published in Switzerland

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The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documentsdocument should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives 2 (see www.iso.org/directives or www.iec.ch/members_experts/refdocs).

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This document was prepared by the ISO Committee on Conformity Assessment (CASCO).

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Introduction

This ~~guidance~~ document was developed in response to developing technology and changes in working practices based upon a variety of experiences, including those from the coronavirus pandemic. ~~Applying~~Implementing remote auditing methods can bring a variety of benefits for both the auditor and the auditee. ~~The~~This document provides guidance to ensure the audit is effectively conducted and the audit objectives are achieved when remote auditing methods are used.

Remote auditing methods can improve the efficiency of an audit by reducing travel-time and expense and achieving an improvement in the overall carbon footprint, as well as avoiding travel to risky areas, ~~allowing~~enabling virtual access to more sites. A further benefit is facilitating diversity of participation in the audit and the increased involvement of technical experts within the audit~~.~~

. This includes cross-border activities that can improve the overall efficiency of the audit, whilst maintaining business continuity, especially in challenging situations and conditions.

The objective of this ~~guidance~~document is to provide assurance that remote auditing methods represent an additional sustainable and flexible way to conduct audits of ~~Management Systems~~management systems and provide confidence to customers, regulators~~-~~, scheme owners and other interested parties.

This document includes guidance on risk-based methodology ~~is~~ to be ~~applied~~followed for planning and implementing the remote auditing methods ~~which~~that are applicable to all types and sizes of organizations ~~with different sizes (see tables~~. Table 1 and Table 2 ~~in clause 5.2.3 for~~provide examples of risks and opportunities~~). In addition, this~~.

This document can be used to support an on-site, a remote or a combined approach to ~~audits of~~auditing management systems ~~(see table 1 of~~.

NOTE ISO 19011 ~~for~~:2018, Table 1 gives examples of different types of audits~~). The guidance in this~~.

This document ~~might~~can also be used to support other conformity assessment activities, such as in accreditation assessment, product certification or peer assessment ~~or similar activities.~~.

This document supports the continued integrity of management system certification to ensure that the certification process is carried out in a competent, thorough~~,~~ and transparent manner ~~and~~. It provides ~~an~~ additional guidance to the implementation of ISO 19011~~,~~:2018 and ISO/IEC 17021-1. ~~The guidance in this~~

This document highlights the importance ~~to ensure~~of ensuring that the output of any audit process ~~needs to fulfil~~fulfils the objectives of the audit programme~~,~~. This document does not take precedence over any requirements of other standards/schemes.

This document ~~uses~~follows the same ~~clauses and headings~~structure as ISO 19011:2018 in order to ~~show~~facilitate use of the ~~link between the guidance in this document and the guidance in ISO 19011.~~ two documents together.

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