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**Telecommunications and  
information exchange between  
systems — Recursive inter-network  
architecture —**

**Part 1:  
Reference model**

*Télécommunications et échange d'information entre systèmes —  
Architecture récursive inter-réseaux —*

*Partie 1: Modèle de référence*

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## Foreword

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A list of all parts in the ISO/IEC 4396 series can be found on the ISO and IEC websites.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at [www.iso.org/members.html](http://www.iso.org/members.html) and [www.iec.ch/national-committees](http://www.iec.ch/national-committees).

## Introduction

The purpose of this document is to provide a reference model for the concepts in Recursive Inter-Network Architecture (RINA)<sup>[1],[2]</sup>. This document provides the fundamental definitions for the ISO/IEC 4396 series. It defines an architecture.

This document is the high-level description of the concepts, the elements and how they work. This is a top-level specification, not a tutorial. Other more detailed specifications will draw on the ISO/IEC 4396 series as their starting point.

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# Telecommunications and information exchange between systems — Recursive inter-network architecture —

## Part 1: Reference model

### 1 Scope

This document provides the reference model for the Recursive Inter-Network Architecture (RINA). It describes:

- the basic concepts of distributed systems and distributed applications;
- distributed management systems (DMSs);
- the fundamental structure of distributed Inter-Process Communications;
- the Distributed Inter-Process Facility (DIF) operations.

### 2 Normative references

There are no normative references in this document.

### 3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <https://www.iso.org/obp>
- IEC Electropedia: available at <https://www.electropedia.org/>

#### 3.1

##### address

identifier that is a synonym for the fully qualified IPC-Process-Instance-name, which is a member of a *distributed-IPC-facility (DIF)* (3.32)

Note 1 to entry: An address is only unambiguous within the DIF (and is assigned by the DIF). This identifier can be assigned to facilitate its usefulness to the operation of the DIF, i.e. location-dependent.

#### 3.2

##### application connection

shared state maintained by two communicating peer *application entities (AEs)* (3.3)

Note 1 to entry: Application connections go initially through an establishment phase, in which enough data is exchanged to establish a shared understanding between both AEs, to later proceed to the data transfer phase, in which both AEs exchange data. In Recursive Inter-Network Architecture (RINA), the establishment phase is handled by *common application connection establishment procedure (CACEP)* (3.17), while the data transfer phase is the responsibility of *common distributed application protocol (CDAP)* (3.18).

**3.3**  
**application-entity**  
**AE**

task within an application process that is directly involved with exchanging application information with other *application processes (APs)* (3.9)

**3.4**  
**application entity instance**  
**AE-instance**

**AEI**  
instantiation of an *application entity (AE)* (3.3) within an *application process (AP)* (3.9)

**3.5**  
**application entity instance identifier**  
**AE-instance-id**  
**AEI-id**

identifier which is unambiguous within the *application entity (AE)* (3.3)

Note 1 to entry: The AE-instance-id may be ambiguous within the *application process (AP) name space* (3.13) unless qualified by the application process name, *application process instance id* (3.11), and the *application entity (AE) name* (3.6).

**3.6**  
**application entity name**  
**AE name**

identifier from the *application entity (AE) name space* (3.8) which is unambiguous within the *scope* (3.55) of the *application process (AP)* (3.9)

Note 1 to entry: An AE name when concatenated with an *AP name* (3.12) is unambiguous within the *AP name space* (3.13), as is an AE name concatenated with an AP name and an *AP instance id* (3.11).

**3.7**  
**application programming interface primitive**  
**API primitive**

library or system call used by an application to invoke functions, in particular *inter process communication (IPC)* (3.39) functions, such as requesting the allocation of IPC resources

**3.8**  
**application entity name space**  
**AE name space**

set of strings which may be assigned to *application entities (AEs)* (3.3) of a given *application process (AP)* (3.9) and used to reference them by other applications in the same naming domain

**3.9**  
**application- process**  
**AP**

software program in a *processing system* (3.46) intended to accomplish some purpose

Note 1 to entry: An application process contains one or more tasks or *application entities (AEs)* (3.3) as well as functions for managing the resources (e.g. processor, storage, and IPC) allocated to this AP.

Note 2 to entry: Tasks are also application processes.

**3.10**  
**application process instance**  
**AP instance**

instantiation of an *application process (AP)* (3.9) on an operating system



**3.11****application process instance id****AP instance id**

identifier that is unambiguous within the *application process (AP)* (3.9) and is bound to an *AP instance* (3.10) in order to distinguish among multiple AP instances

Note 1 to entry: An AP instance id concatenated with an *AP name* (3.12) is unambiguous in the *AP name space* (3.13).

**3.12****application -process -name****AP -name**

string assigned to a single *application process (AP)* (3.9) from an *AP name space* (3.13)

Note 1 to entry: An AP name is not assigned to any other AP while bound to the one to which it has been assigned.

**3.13****application process name space****AP name space**

set of strings which may be assigned to *application processes (APs)* (3.9) and used to reference them by other APs in the same naming domain

**3.14****application protocol**

protocol used between two *application entities (AEs)* (3.3) to perform operations external to the *protocol machine (PM)* (3.50) itself

Note 1 to entry: The distinguishing characteristic of application protocols is that they modify states external to the protocol.

**3.15****assignment**

operation that allocates a name in a *name space* (3.43), essentially marking it as being in use

Note 1 to entry: Assignment makes names available to be bound. This allows certain portions of a name space to be “reserved” and not be available for *binding* (3.16). The corresponding reverse operation, *de-assignment* (3.25), removes it from use.

**3.16****binding**

function,  $F_{M,NS}$ , that defines the mapping of a subset of elements of  $\{NS\}$  to elements of  $\{M\}$

Note 1 to entry: This function is one-to-one and into. The operation, *binding*, binds a name to an object.

Note 2 to entry: Once bound, any reference to the name locates or accesses the object.

**3.17****common application connection establishment procedure****CACEP**

procedure to authenticate flow participants and initialize the application naming and protocol information

Note 1 to entry: CACEP naming and protocol information relates to the *application protocol* (3.14) that will be used by applications to exchange information (e.g. abstract and encoding rules, object model versions). In case of Recursive Inter-Network Architecture (RINA), the application protocol is *common distributed application protocol (CDAP)* (3.18).

**3.18**  
**common distributed application protocol**  
**CDAP**

*application protocol* (3.14) component of a *distributed application facility (DAF)* (3.27) used to construct arbitrary distributed applications

Note 1 to entry: CDAP enables distributed applications to deal with communications at an object level, rather than forcing applications to explicitly deal with serialization and input/output operations. CDAP provides a straightforward and unifying approach to sharing data over a network without having to create specialized protocols.

Note 2 to entry: *Distributed IPC facility (DIF)* (3.32) is an example of a *distributed application facility (DAF)* (3.27).

**3.19**  
**computing system**

collection of all *processing systems* (3.46) (some specialized) in the same management domain

Note 1 to entry: There are no restrictions on the connectivity of computing systems.

**3.20**  
**connection**

shared state between *error and flow control protocol machine EFCP PMs* (3.34)

**3.21**  
**connection-endpoint-identifier**  
**CEP-id**

identifier that is unambiguous within the *scope* (3.55) of an *interprocess communication (IPC)* process which identifies an *error and flow control protocol machine EFCP PM* (3.34) instance

**3.22**  
**connection-identifier**

identifier internal to the *distributed IPC facility (DIF)* (3.32) that are unambiguous within the scope of communicating *error and flow control protocol machine EFCP PMs* (3.34) from that DIF

Note 1 to entry: The connection identifier is formed by the concatenation of the source and destination connection establishment procedure (CEP)-ids to identify the two directions of the connection.

**3.23**  
**data transfer control procedure**  
**DTCP**

half of an *error and flow control protocol (EFCP)* (3.33) that performs loosely bound (feedback) mechanisms, such as retransmission and flow control

Note 1 to entry: The DTCP protocol machine (PM) maintains state, which can be discarded after long periods of no traffic.

Note 2 to entry: One instance of a DTCP is created for each connection of a flow.

Note 3 to entry: All connections in Recursive Inter-Network Architecture (RINA) have flow control. Connections without flow control are denial of service attack vector.

**3.24**  
**data transfer procedure**  
**DTP**

half of an *error and flow control protocol (EFCP)* (3.33) that performs tightly bound mechanisms, such as ordering and fragmentation/reassembly

Note 1 to entry: One instance of a DTP protocol machine (PM) is created for each connection allocated.

**3.25**  
**de-assignment**

operation that deallocates a name in a *name space* (3.43), removing it from use

### 3.26 delimiting

operation to delineate the beginning and end of a *service-data-unit (SDU)* (3.56) and package it into the user-data field of a *protocol-data-unit (PDU)* (3.45)

Note 1 to entry: Delimiting is usually the first operation performed by the *distributed IPC facility (DIF)* (3.32) when an SDU is submitted.

Note 2 to entry: Delimiting enables the DIF to deliver the SDU as a unit of data to its recipient intact.

### 3.27 distributed application facility DAF

#### distributed application

collection of *application processes (APs)* (3.9) in *processing systems* (3.46) that exchange information using *interprocess communication (IPC)* (3.39) and maintain shared state to cooperate in performing some task or function

Note 1 to entry: There are at least two APs and at least one processing system in each DAF.

Note 2 to entry: The DAF forms a black box to the members of the DAF who may be executing on one or more processing systems.

Note 3 to entry: In some DAF, all members of the DAF will be the same, i.e. a homogeneous DAF, while in others they may be different, i.e. a heterogeneous DAF.

### 3.28 distributed application name

*whatevercast name* (3.61) for the set of *application processes (APs)* (3.9) comprising a distributed application depending on the operation

Note 1 to entry: A whatevercast name is generally taken from the same *name space* (3.43) as the APs and is used to identify a distributed application. An important type of distributed application is a *distributed IPC facility (DIF)* (3.32), i.e. the set of cooperating *interprocess communication (IPC)* (3.39) processes.

### 3.29 distributed application process DAP

*application process (AP)* (3.9) that is a member of a *distributed application facility (DAF)* (3.27)

### 3.30 distributed application process name DAP name

synonym for an *application process (AP)* (3.9) name

### 3.31 distributed application process synonym DAP synonym

synonym for a *distributed application process (DAP)* (3.30) that is a member of a specific *distributed application facility DAF* (3.27) and is only unambiguous within the DAF (and is assigned by the DAF)

Note 1 to entry: The names may be structured to facilitate their use within the DAF.

### 3.32 distributed IPC facility DIF

#### layer

collection of *application process (AP) instances* (3.10) that are cooperating to provide *interprocess communication (IPC)* (3.9)

Note 1 to entry: A DIF is a *distributed application facility (DAF)* (3.27) that does IPC.

Note 2 to entry: The DIF provides IPC services to AP instances of a DAF or IPC process instances of other DIFs via a set of application programming interface (API) primitives that are used to exchange information with the IPC process instances' peer.

**3.33  
error and flow control protocol**

**EFCP**

data transfer protocol used to maintain an instance of *interprocess communication (IPC)* (3.39) within a *distributed IPF facility (DIF)* (3.32).

Note 1 to entry: The functions of this protocol can be used to provide reliability, order and flow control as required as determined by policy.

**3.34  
EFCP protocol machine  
EFCP PM**

instance of the *error and flow control protocol (EFCP)* (3.33) for a single connection

Note 1 to entry: An EFCP PM consists of two state machines loosely coupled through a single state vector: one that performs the *data transfer procedure (DTP)* (3.24) *protocol machine (PM)* (3.50) and the other that performs the *data transfer control procedure (DTCP)* (3.23) *protocol machine (PM)* (3.50).

**3.35  
flow**

*binding* (3.16) of a connection to source and destination ports

**3.36  
flow allocator  
FA**

task that handles requests to allocate a *flow* (3.35)

**3.37  
flow allocator instance  
FA-instance**

**FAI**  
instance created for each allocation request to manage the flow for its lifetime

Note 1 to entry: The flow allocator instance will translate the (quality of service ) QoS requested by the Application-Process into specific policies and find the destination Application and determine if the allocation can be honoured. The FAI-identifier or port-id is returned to the application as a handle for referencing the allocation.

**3.38  
IPC process  
IPCP**

*application process (AP)* (3.9) whose primary purpose is managing *inter process communication (IPC)* (3.39)

**3.39  
inter process communication  
IPC**

service that allows two or more *application process (AP)* instances (3.10) to exchange data

**3.40  
IPC resource manager  
IRM**

component of a *distributed application facility (DAF)* (3.27) that manages its use of IPC