### **INTERNATIONAL STANDARD**

2023-03-01

ISO/FDIS 28560-1 Third edition: 2023-xx-xx(E)

**ISO/TC 46/SC 4** 

**Secretariat: KATS** 

<u>Information and documentation — RFID in libraries — Part 1:</u>
\_Data elements and general guidelines for implementation

<u>Information et documentation — RFID dans les bibliothèques —</u> Partie 1: Éléments de données et lignes directrices générales pour la mise en œuvre

# iTeh STANDARD PREVIEW (standards.iteh.ai)

ISO/FDIS 28560-1 https://standards.iteh.ai/catalog/standards/sist/45cc0edb-99f1-45c1-9609-69c6dd689070/iso-fdis-28560-1

## © ISO 2023

All rights reserved. Unless otherwise specified, or required in the context of its implementation, no part of this publication may be reproduced or utilized otherwise in any form or by any means, electronic or mechanical, including photocopying, or posting on the internet or an intranet, without prior written permission. Permission can be requested from either ISO at the address below or ISO's member body in the country of the requester.

ISO Copyright Office

CP 401 • CH-1214 Vernier, Geneva

Phone: + 41 22 749 01 11

Email: copyright@iso.org

Website: www.iso.org

Published in Switzerland.

# iTeh STANDARD PREVIEW (standards.iteh.ai)

https://standards.iteh.ai/catalog/standards/sist/45cc0edb-99f1-45c1-9609-69c6dd689070/iso-fdis-28560-1

## **Contents** Page

<del>Fore</del>	word	4
Intro	oduction	5
1—	Scope	2
2	Normative references	2
3	Terms and definitions	3
4	User data elements	4
5	System data elements	13
6—	Tools for data security and integrity	14
7	Regional and business profiling	15
8	Privacy issues	<del>16</del>
9	Implementation and migration	17
<del>10</del> —	Label design and location of the label	19
Anne	ex A (informative) Information about ISO 28560 RFID in libraries	21
A.1	Informational website	21
A.2	Types of support information	21
Anne	ex B (informative) Uniqueness of RFID tags	22
Anne	ex C (normative) Type of usage code values (hexadecimal)	<u>2</u> 3
	ex D (informative) Country prefixes for supplier identifier	
Anne	ex E (informative) Interoperability characteristics of security systems	<del>2</del> 6
E.1	Three options to handle item security	<del>2</del> 6
E.1.1	l General	<u>2</u> 6
E.1.2	2 Use of the dual AFI system	<del>2</del> 6
E.1.3	B Use of the unique tag ID	<del>26</del>
E.1.4	l Use of the EAS features	<u>2</u> 6
E.2	Interoperability issues in interlibrary loan	27
E.2.1	l—Interoperability issues	27
E.2.2	2 Seamless security interoperability	27
E.2.3	3—Seamless security interoperability provided that AFI is unlocked	<u>2</u> 8
E.2.4	l Interoperable security with operator intervention	28
E.2.5	5 Interoperable security for some but not all libraries	29
E.2.6	5—Systems that are incompatible for security	29
Bibli	iography	31
<u>Fore</u>	eword	v
	oduction	
	Scope	

## ISO/FDIS 28560-1:2023(E)

<u>2</u>	Normative references	<u></u> 1
<u>3</u>	Terms and definitions	<u></u> 2
4	User data elements	3
4.1	Overview of user data elements	
4.2	Use of user data elements	<u></u> 5
4.2.1	Primary item identifier	<u></u> 5
4.2.2	Content parameter	<u></u> 5
4.2.3		<u></u> 5
<u>4.2.4</u>	Set information Type of usage	<u></u> 6
<u>4.2.5</u>	Type of usage	7
<u>4.2.6</u>	Shelf location	
<u>4.2.7</u>	ONIX media format	
<u>4.2.8</u>	MARC media format	
	Supplier identifier	
4.2.10	Order number	<u></u> 8
4.2.11	ILL borrowing institution (ISIL)	<u></u> 8
	ILL borrowing transaction number	
	Alternative unique item identifier	
	Local data A	
	Local data B	
	Title	
	Product identifier local	
	Media format (other)	
4.2.20	Supply chain stage	10
	Supplier invoice number	
	Alternative item identifier	
4.2.23	Alternative owner institution	<u></u> 11
4.2.24	Subsidiary of an owner institution	11
	Alternative ILL borrowing institution	
	Local data C	
	Reserved data element	
4.3	Maintenance of the list of data elements used	
<u>5</u>	System data elements	
<u>5.1</u>	System data versus user data	
<u>5.2</u>	System data elements for identification and item security in libraries	
<u>5.2.1</u>	<u>General</u>	
<u>5.2.2</u>	Application family identifier (AFI)	12
<u>5.2.3</u>	Data storage format identifier (DSFID)	13
	Unique tag ID	
<u>5.2.5</u>	Electronic article surveillance (EAS)	
<u>6</u>	Tools for data security and integrity	13
<u>7</u>	Regional and business profiling	
7.1	Elements for profiles	
<u>7.2</u>	Regional profiles	
7.3	Business profiles	<u></u> 14
<u>8</u>	Privacy issues	15

9	Implementation and migration	16
9.1	Implementation and migration  New RFID implementations  Migration for regional models	16
9.2	Migration for regional models	16
9.3	Use of the correct AFI value	16
9.4	Discrimination between ISO 28560-compliant and non-compliant tags	
9.5	Migrations of ISO/IEC 18000-3 Mode 1 RFID tags	
9.6	Migrations of other RFID tag technologies	17
9.7	Migrations of other RFID tag technologies  Conversion methodologies	18
9.8	Preservation of business profiles during migration	<u></u> 18
10.1	Label design	18
10.2	Label design and location of the label  Label design  Location of the RFID label	18
	x A (informative) Information about ISO 28560 RFID in libraries	
Anne	x B (informative) Uniqueness of RFID tags	20
Anne	x C (normative) Type of usage code values (hexadecimal)	22
Anne	x D (informative) Country prefixes for supplier identifier	24
	x E (informative) Interoperability characteristics of security systems	
Biblio	ography	30

## iTeh STANDARD PREVIEW (standards.iteh.ai)

https://standards.iteh.ai/catalog/standards/sist/45cc0edb-99f1-45c1-9609

## **Foreword**

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part-1.- In particular, the different approval criteria needed for the different types of ISO documents should be noted.- This document was drafted in accordance with the editorial rules of the ISO/IEC-Directives, Part 2 (see <a href="www.iso.org/directives">www.iso.org/directives</a>).-

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.- Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see <a href="https://www.iso.org/patents">www.iso.org/patents</a>).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation onof the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see the following URL: Foreword - Supplementary informationwww.iso.org/iso/foreword.html.

The committee responsible for this This document iswas prepared by Technical Committee ISO/TC 46, Information and documentation, Subcommittee SC 4, Technical interoperability.

This third edition cancels and replaces the second edition (ISO 28560-1:2014). A few updates are made and definitions of DSFID values are entrusted to the other parts of ISO 28560.), of which it constitutes a minor revision.

The changes are as follows:

— a few updates have been applied and definitions of DSFID values have been entrusted to the other parts of the ISO 28560 series.

A list of all parts in the ISO 28560 series can be found on the ISO web site.

Any feedback or questions on this document should be directed to the user's national standards body. A complete <a href="listlisting">listlisting</a> of these bodies can be found at <a href="https://www.iso.org/members.html">https://www.iso.org/members.html</a>.

## Introduction

Libraries are implementing radio frequency identification (RFID) as item identification to replace bar codes. RFID streamlines applications like user self-service, security, and materials handling. This standard data model for encoding information on RFID tags increases the cost-effectiveness of the technology within libraries, particularly through greater interoperability of RFID tags and equipment, and enhance support for resource sharing between libraries.

This part of ISO 28560 document deals with data elements and provides general guidelines for implementation. Other parts of the ISO 28560 series describe encodings and choice of frequency.

Communication between the RFID reader and the library system (or other applications) is handled by, for example, SIP-2<sup>[12]</sup> and NCIP (see Bibliography). [13].

This document provides essential standards-based information about RFID in libraries. Ongoing advice needs to be provided because of the evolving nature of RFID technology and the opportunities to migrate between different types of legacy system and encoding rules of this document.

# iTeh STANDARD PREVIEW (standards.iteh.ai)

ISO/FDIS 28560-1 https://standards.iteh.ai/catalog/standards/sist/45cc0edb-99f1-45c1-9609-69c6dd689070/iso-fdis-28560-1

# iTeh STANDARD PREVIEW (standards.iteh.ai)

ISO/FDIS 28560-1

https://standards.iteh.ai/catalog/standards/sist/45cc0edb-99f1-45c1-9609-69c6dd689070/iso-fdis-28560-1

## Part 1:

<u>Information and documentation — RFID in libraries — Part 1:</u> Data elements and general guidelines for implementation

## 1 Scope

This document specifies a model for the use of radio frequency identification (RFID) tags for items appropriate for the needs of all types of libraries, including national, academic, public, corporate, special, and school.

This part of ISO 28560<u>It</u> provides the framework to ensure interoperability between libraries that exchange library items with RFID tags, the freedom of the library to acquire or renew equipment or library items from different vendors, and interoperability of a single RFID application from the vendor's perspective.

This part of ISO 28560 document specifies a set of data elements and general guidelines for implementation, to meet the needs for:

- circulation of library items;
- acquisition of library items;
- interlibrary loan processes;
- data requirements of publishers, printers, and other suppliers of library items;
- inventory and stock checking of items.

This part of ISO 28560 document gives guidelines for item security, profiles, privacy, implementation, migration, label design, and location of the RFID label.

This part of ISO 28560 It specifies the data model, system data elements, and user data elements to be used in conjunction with ISO 28560-2, ISO 28560-3, and any future parts of the ISO 28560 series.

A source of additional information about implementation issues is provided in Annex A.

## 2 Normative references

The following documents, are referred to in wholethe text in such a way that some or in part, are normatively referenced in all of their content constitutes requirements of this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

<u>ISO 15511, Information and documentation — International standard identifier for libraries and related organizations (ISIL)</u>

ISO/IEC 15961-3, Information technology————Radio frequency identification (RFID) for item management: Data protocol — Part-3: RFID data constructs

ISO 28560-2, Information and documentation — RFID in libraries — Part 2: Encoding of RFID data elements based on rules from ISO/IEC 15962

ISO 28560-3, Information and documentation — RFID in libraries — Part 3: Fixed length encoding

ISO TS 28560-4, Information and documentation — RFID in libraries — Part 4: Encoding of data elements based on rules from ISO/IEC 15962 in an RFID tag with partitioned memory.

## 3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at https://www.iso.org/obp
- IEC Electropedia: available at https://www.electropedia.org/

#### 3.1

#### distributor

wholesaler that purchases products from manufacturers and sells them to retailers or other wholesalers

Note 1 to entry: In the context of this <u>International Standarddocument</u>, a distributor is a wholesaler that purchases library materials such as books or audiovisual materials from publishers and sells them to libraries or retailers.

#### 3.2

#### interlibrary loan

#### ILL

service where a library borrows an *item* (3.3) from another library

## 3.3

#### item

unit tracked in a library system

Note 1 to entry: An item, which can be a *set* (3.7), can be loanable or non-circulating, but is always loaned in its entirety.

## 3.4

### iobber

specialized *distributor* (3.1) of library *items* (3.3) that provides services such as the attachment of labelling and electronic information to items to make them ready for immediate shelving upon arrival at the destination library

#### 3.5

### library management system

enterprise resource planning system for a library, used to track items owned, items loaned, orders made, bills paid, and patrons

Note 1 to entry: In some countries, this is known as an integrated library system.

### 3.6

## part

individual physical entity included in an *item* (3.3)

Note 1 to entry: A part can be a library material or a container for the library materials in a set (3.7).

## 3.7

## set

*item* (3.3) consisting of a number of parts, all identified by the same item identifier and loaned in one transaction as a unit

#### 3.8

## supply chain

series of entities, typically beginning with a publisher and ending with a library, through which library materials flow as they are acquired by that library

## 4 User data elements

### 4.1 Overview of user data elements

Libraries can choose which data elements they want to store on the tag. It is unlikely that a library places all the listed data elements on the tag. A number of data elements are reserved for local use. They should be ignored where the processing institution is not the owner of the item (as in ILL).

Table 1 lists the user data elements that are defined in this International Standard document.

## Table 1 — User data elements 9961\_45c1\_9609\_

## Table 1 — User data elements

Na	Name of the data element <sup>b</sup>	<b>Description</b> <sup>C</sup>	Ref <sup>d</sup>	ISO 8459 mapping <sup>e</sup>	<b>Status</b> <sup>f</sup>	Relationship <sup>g</sup>
1	Primary item identifier	Unique identification of an item at least inside the library	4.2.1	Piece identifier	Mandatory for circulated items	None
2	Content parameter	Specifies the structure of the tag data	4.2.2	None	Can be mandatory as specified in other parts of ISO 28560	None
3	Owner institution (ISIL)	The ISIL code for the institution that owns the item	4.2.3	Party identifier; Participant's function	Strongly recommended to create interoperability	Elements 3 and 23 are mutually exclusive
4	Set information	Number of parts in item and ordinal part number	4.2.4	Number of volumes; Component	Optional	None
5	Type of usage	Additional qualifying information about the item or part of a set	4.2.5	None	Optional	None
6	Shelf location	Code for location of the item	4.2.6	Copy shelf locator	Optional	None

7	ONIX media format	ONIX media descriptor	4.2.7	Record content type	Optional	Data elements 7, 8, and 19 should be consistent
8	MARC media format	MARC 21 category of material descriptor	4.2.8	Record content type	Optional	Data elements 7, 8, and 19 should be consistent
9	Supplier identifier	Code for identification of supplier of the item	4.2.9	Party identifier; Participant's function	Optional	None
10	Order number	Number meaningful to the library and to the supplier of the item	4.2.10	Request identifier	Optional	None
11	ILL borrowing institution (ISIL)	ISIL code for the institution borrowing the item	4.2.11	Party identifier; Participant's function	Optional	Data elements 11 and 25 are mutually exclusive
12	ILL borrowing transaction number	Number identifying an interlibrary loan transaction	4.2.12	None	Optional	None
13	GS1 product identifier	GTIN-13 code of GS1	4.2.13	Resource identifier code	Optional	Data elements 13 and 18 should be consistent
14	Alternative unique item identifier	Possibly encoding in new tag architectures	4.2.14	None D	Reserved for future use	₹
15	Local data A	Any locally defined purpose	4.2.15	None ds.iteh	Optional	None
16	Local data B	Any locally defined purpose	4.2.16 ISC	None /FDIS 28560-1	Optional	None
17	Title https	The title/titles of the library item	4.2.17) g	Title dards/sist/45 2070/iso-fdis-285	Optional 99f1-45c	None

## Table 1 (continued)

₩ <sup>a</sup>	Name of the data element <sup>b</sup>	<del>Description</del> <sup>©</sup>	Ref <sup>d</sup>	<del>ISO 8459</del> <del>mapping<sup>e</sup></del>	Status <sup>f</sup>	<del>Relationship</del> <sup>g</sup>
18	Product identifier local	Product identifier not based on GTIN-13	4.2.18	Resource identifier code	Optional	Data elements 13 and 18 should be consistent
19	Media format (other)	Media descriptor other than ONIX or MARC	4.2.19	Record content type	Optional	Data elements 7, 8, and 19 should be consistent
20	Supply chain stage	The stage of the supply chain in which the item currently resides	4.2.20	None	Optional	None
21	Supplier invoice number	Invoice number meaningful to the library and to the supplier of the item	4.2.21	Invoice identifier	Optional	None
22	Alternative item identifier	Optional identifier for an item	4.2.22	Piece identifier	Optional	None
23	Alternative owner institution	Code for the library institution other than ISIL	4.2.23	Party identifier; Participant's function	Optional	Data elements 3 and 23 are mutually exclusive