

Information technology -

Automatic identification and data

resolving identifiers over HTTP

capture techniques — Encoding and

FINAL DRAFT International Standard

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This document was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, SC 31, *Automatic identification and data capture techniques*.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at <u>www.iso.org/members.html</u> and <u>www.iec.ch/national-committees</u>. Inderest of the second standards and the second standards are second standards are second standards and the second standards are second standards and the second standards are second at the second standards are seco

## Introduction

There are many identifier systems in use today, some of which enjoy widespread usage and long histories. Examples include the International Air Travel Association (IATA) airport codes and identifiers such as digital object identifiers (DOIs) (see ISO 26324<sup>[5]</sup>), and country and currency codes. The ISO/IEC 15459 series<sup>[7]</sup> provides the basis for all identifier issuing agencies in the field of Automatic Identification and Data Capture (AIDC).

The ISO/IEC 15459 series<sup>[Z]</sup> defines methods for ensuring that identifiers are globally unique in the world of AIDC without any reliance on, for example, the internet's domain name system or any given data service. This is critical for use cases where internet connectivity and the availability of online services cannot be allowed to affect whether a process can be completed, such as the purchase of an item.

However, existing identifiers can be usefully encoded in Hypertext Transfer Protocol Uniform Resource Identifiers (HTTP URIs) following Linked Data principles so that when connectivity is available, they can be used in multiple methods of online lookup, data query and data integration.

It is important to note that identity on the internet is defined by the domain name system. The Internet Engineering Task Force (IETF)'s Best Current Practice<sup>[2]</sup> makes it clear that each domain is sovereign over the URIs under that domain. This document brings the internet, Linked Data<sup>[8]</sup> and AIDC together to allow the discovery of online data related to physical objects in a way that recognizes and respects both approaches to globally unique identity. It further defines a common approach to how those URIs can be associated with links to multiple sources of data in addition to the one encoded directly in the HTTP URI.

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# Information technology — Automatic identification and data capture techniques — Encoding and resolving identifiers over HTTP

#### 1 Scope

This document specifies the different approaches for using HTTP URIs to encode globally unique identifiers. It specifies a dual use data structure. It is both an HTTP URI and a composition of structured item identification properties and optionally descriptive attributes. These can be decomposed and interpreted on their own and/or be used as a pointer to additional information.

Methods are described to enable identification uniqueness in the context of AIDC. These rely on either:

- a) identifiers, such as described in the ISO/IEC 15459 series<sup>[7]</sup>, in the path or query string independent of the internet domain name; or,
- b) the internet domain name.

The document further defines a basic common API for querying online services for information about identified items.

## 2 Normative references (standards.iteh.ai)

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 19762, Information technology — Automatic identification and data capture (AIDC) techniques — Harmonized vocabulary

RFC 3986, Uniform Resource Identifier (URI): Generic Syntax. T Berners-Lee, R Fielding, L Masinter. IETF 2005

#### 3 Terms, definitions and abbreviated terms

#### 3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 19762 and the following apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at https://www.iso.org/obp
- IEC Electropedia: available at <u>https://www.electropedia.org/</u>

#### 3.1

dereference

look something up on the internet

Note 1 to entry: For a full definition, see RFC 3986.

#### 3.2 Abbreviated terms

AI	Application Identifier, as defined in ISO/IEC 15418 <sup>[14]</sup>
AIDC	Automatic Identification and Data Capture (barcodes, RFID tags, etc.)
API	Application Programming Interface
CURIE	Compact URI (defined in Reference [4])
DI	Data Identifier, as defined in ISO/IEC 15418 <sup>[14]</sup>
GTIN	Global Trade Item Number
НТТР	Hypertext Transfer Protocol (the underlying internet communication protocol for the World Wide Web)
HTTP URI	equivalent to the better-known term "URL" (Uniform Resource Location) but in this context, the term URI is more appropriate.
IANA	Internet Assigned Numbers Authority
JSON	JavaScript Notation Language – a data structure commonly used in online data exchange (defined in ISO/IEC 21778 <sup>[15]</sup> )
URI	Uniform Resource Identifier (defined in RFC 3986)

#### 4 URI structures

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#### 4.1 Framework

Subclauses <u>4.2</u> and <u>4.3</u> define two frameworks on top of which more precise definitions may be added to create rules for encoding specific types of identifier in an HTTP URI. This document does not define a complete system that can be implemented directly without the additional detail provided in other standards and guidelines.

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#### 4.2 Structured path approach

The structured path approach makes a clear distinction between strings of characters that are identifiers and those that are descriptive attributes. Identifiers, such as the item's class identifier and serial number, are encoded in an ordered sequence in the path. Descriptive attributes such as the mass or size of an item are not part of the identification of the item and are encoded in the query string as name=value pairs.

In many cases, the descriptive attributes are aligned with the identifiers and may be retrieved through a lookup of the HTTP URI. For example, the expiration date of a perishable product may be known by looking up its product identifier and the identifier of the batch in which it was produced. The presence of such descriptive attributes in the HTTP URI is a convenience designed to eliminate the need for a lookup in high-speed environments, and the HTTP URI will function equally well without it in most use cases.

Applying this logic to encoding the ISO/IEC 15459 series<sup>[7]</sup> identifiers in HTTP URIs leads to a design principle for the structured path approach, as follows:

- Identifiers shall be encoded in path segments in order of increasing granularity from left to right.
- Descriptive attributes shall be encoded, in any order, in the HTTP URI's query string.

At a high level, this creates the structure shown in <u>Figure 1</u> in which the structure itself is part of the data payload.

https://example.com/class-id/sub-class-id/instance-id?description1=value1&description2=value2

NOTE The example.com domain name is used as defined in RFC 6761<sup>[10]</sup>.

#### Figure 1 — High-level view of a URI following the structured path approach

Any identifier issued by an Issuing Agency conforming to the ISO/IEC 15459 series<sup>[7]</sup> will come with information about the identifier's qualifier. These can be considered as parameter names for which the identifiers themselves are the values. The identifier qualifiers are an important part of the data payload whether encoded in a URI or some other syntax. Bearing this in mind, a more detailed version of the path segments for the example in Figure 1 is as shown in Figure 2.

 $\label{eq:https://example.com/(pathSegments/)_n primaryQualifier/primaryID/(qualifer/ID)_n?description_1=value_1 \\ e_1 \& description_2=value_2 ...$ 

#### Figure 2 — Detailed view of the path segments of a URI following the structured path approach.

The components of a URI following the structured path approach shown in <u>Figure 2</u> are as follows:

- a) As defined by RFC 3986, all URIs begin with the scheme followed by a colon. HTTP URIs therefore begin with http: or https:. This is followed by a double forward slash (//) and the internet domain name. Optionally, the domain name may be replaced by an IP address and either may be followed by a port number. Extremely rarely, a username and password can be included. When constructing an HTTP URI following the structured path approach defined in this document, the port number, username and password shall not be used.
- b) The URI may include arbitrary path segments after the internet domain name.
- c) For emphasis, those arbitrary path segments and the internet domain name are not part of the identification of the item. They do, however, provide a convenient method for looking up online information about the identified item.
- d) Every URI following the structured path approach shall have exactly one primary qualifier and identifier.
- e) The primary qualifier, i.e. the type of identifier, is the first path segment that contributes to the identity of the item. This may be either a class-level or instance-level identifier.
- f) This is followed by a path segment containing the primary identifier itself.
- g) Depending on the type of identifier and any rules defined for its use, primary qualifiers and identifiers may be followed by further path segments that identify sub-classes and/or instances. For example, if the primary qualifier and identifier identify a class of pump, the next two path segments can be a qualifier and identifier for a specific batch of pumps, followed by two further path segments that provide a unique item identifier.
- h) The query string comprises 0 or more name=value pairs of qualifiers and data that describe, but do not identify, the item. To extend the pump example, they can describe the size or date of production.
- i) Although RFC 3986 allows a number of different delimiters to be used in query strings, HTTP URIs conforming to this document shall use the ampersand (&).
- j) Reserved characters, as defined by RFC 3986, shall be percent encoded when they appear as identifiers or their values.

An example using the structured path approach is provided in <u>Annex A</u>.

#### 4.3 Query string approach

As with the structured path approach, in the query string approach, the scheme and domain name may be followed by arbitrary path segments. However, all the qualifiers and identifiers, and descriptive attributes, are provided as name=value pairs in the query string, delimited by ampersands (&s). See Figure 3.

 $\label{eq:https://example.com/(pathSegments/)_n?qualifier_1=ID_1(\&qualifer=ID)_n\&description_1=value_1\&description_2=value_2...$ 

#### Figure 3 — Generic example of a URI following the query string approach

Qualifiers used as names in the query string may be preceded by one or more characters to indicate that the qualifier and its identifier may be treated as being globally unique in accordance with the rules of the identification system used independent of the internet domain name in the URI. Other parameter names and their values contained in the query string that are not preceded by the same character(s) shall not be treated as part of the system that confers globally unique identification, but only as parameters relevant to the specified internet domain.

An example using the query string approach is provided in <u>Annex A</u>.

#### 4.4 Semantic differences

At a high level, both the structured path and query string approach achieve the same goals. The HTTP URI is a convenient method to find information about the identified item. However, there are important differences in the semantics of the two approaches.

The domain name and path segments of an HTTP URI form a hierarchy that identifies a specific resource on the web. The query string contains name=value pairs that are passed as parameters to that resource. This means that the structured path approach identifies a different online resource for each identified item. Applying Linked Data principles means that information about an item can be inherited from higher up the hierarchy where available. In contrast the query string approach identifies a resource from which information about any number of identified items can be obtained. There is no inherent hierarchy in the organization of the name=value pairs.

#### 4.5 Canonicalization

The generic URI syntax (RFC 3986) supports a significant amount of flexibility. For example, the scheme and internet domain name are case insensitive. They both normalize to lower case and many clients will perform this normalization before attempting to dereference the URI. This can allow for more efficient encoding in, for example, QR codes as discussed in <u>Annex B</u>. Paths and query string parameters are case sensitive. It is also noteworthy that any fragment identifier appended to an HTTP URI is not transmitted to the server but may be processed locally.

The semantics of URI query strings are such that the order in which name=value pairs appear is unimportant. Querying an online resource for name<sub>1</sub>=value<sub>1</sub>&name<sub>2</sub>=value<sub>2</sub> will return exactly the same result as name<sub>2</sub>=value<sub>2</sub>&name<sub>1</sub>=value<sub>1</sub>.

As URIs are passed from client to server, perhaps via intermediate services, they can change in some respect. Where globally unique identifiers are included, especially those derived from the ISO/IEC 15459 series<sup>[Z]</sup>, it is possible for the domain name to be changed completely and query string parameters added or removed without affecting which entity is identified.

Noting this flexibility, in some use cases it may be useful to have a canonical representation of the string that can be generated independently of any individual data system. This can be useful for simple string matching, for example, and is essential in use cases that require the identifier string to be obfuscated through hashing.