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Information technology — Artificial intelligence — Environmental sustainability aspects of AI systems

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**ISO/IEC DTR 20226** 

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# Contents

Fores	word	<u></u> ix
Intro	duction	х
1	Scope	
	*	
2	Normative references	<u></u> 1
3	Terms and definitions	1
4	Symbols and abbreviated terms	5
	•	
<u>5</u> 5.1	Purpose	
5.2	The London Declaration	
5.3	Existing International Standards	
5.4	Environmental sustainability in the European Artificial Intelligence Act	
5.5	Enacted climate change legislation and market response	
5.6	Access to affordable, reliable, sustainable and modern energy	
6	Environmental sustainability	8
6.1	General	
6.2	Energy consumption	
6.3	Geographic considerations	
6.4	Water use	
6.5	Cooling	
6.6	Carbon footprint ATTA SO ATTA A A A A A A A A A A A A A A A A A	
<u>6.7</u>	Consumption patterns and rebound effects	<u></u> 15
6.8	Waste	16
7	Perspectives of environmental sustainability of AI systems	16
7.1	General	16
7.2	AI system ecosystem	
7.3	AI system life cycle	
7.4	Al system supply chain	
7.5 <sup>1</sup>	Other perspectives	<u></u> 201-00
8	AI system ecosystem perspective	21
8.1	General	
8.2	AI system	<u></u> 21
8.3	AI function	
8.4	Machine learning	
8.5	AI engineering	
8.6	Big data and data sources	
8.7	Cloud and edge computing	
8.8	Resource pools	
9	AI system life cycle perspective	<u></u> 24
9.1	General	
9.2	Inception	
9.3	Design and development	
9.4	Verification and validation	
9.5	Deployment	
9.6	Operation and monitoring	
9.7 9.8	Continuous validation	
9.8 9.9	Retirement	
9.9	Ketirement	32

<u>10</u>	AI system supply chain approach to determine environmental sustainability aspects 32	
10.1	General 32	
10.2	Elements 32	
10.3	<u>Mines</u>	
10.4	Smelters and refiners 33	
10.5	Component manufacturers34	
10.6	Assemblers34	
<u>10.7</u>	<u>Distributors</u> 34	
<b>10.8</b>	Domestic infrastructure34	
<u>10.9</u>	Internet infrastructure35	
<b>10.10</b>	AI Training 35	
10.11	Data preparation, labelling and dataset size35	
<b>10.12</b>	Device abandonment 36	
	Collection of abandoned devices38	
	Shipping of abandoned devices	
<b>10.15</b>	Recovering of abandoned devices39	
<b>10.16</b>	Disposing of abandoned devices40	
11	Measuring the environmental sustainability aspects of AI systems40	
11.1	General 40	
11.2	Al system ecosystem measurements 40	
11.3	AI system life cycle measurements 42	
11.4	AI system supply chain measurements 43	
11.5	Other measurements. 46	
	Approaches to reducing AI systems' negative environmental impacts	
12		ai)
12.1	General 49	1441)
12.2	Ecosystem approaches 50	
12.3	Life cycle approaches 52	7
12.4	Supply chain approaches53	
Annex	A This document's clauses mapped to the UN Sustainability Development Goals55	
Riblio	graphy5	
DIDIIO	<u>15U/IEC D I K 2U220</u>	
		-dec8ecadcdc/iso-jec-dtr-20226
Forew	ord viii	
Y	uction ix	
Introc	uction	
1	-Scope 1	
2	Normative references 1	
_		
3	Terms and definitions 1	
4	Symbols and abbreviated terms 4	
_	Purpose 5	
5 1	·	
5.1 5.2	General 5 The London Declaration 6	
5.3	Existing ISO/IEC International Standards 6	
	EVICTING IN THE INTERPRETATION OF THE INTERP	
5.4		
	Environmental sustainability in the European Artificial Intelligence Act	
<del>5.5</del>	Environmental sustainability in the European Artificial Intelligence Act	
5.6	Environmental sustainability in the European Artificial Intelligence Act	
5.6	Environmental sustainability in the European Artificial Intelligence Act	
5.6 6	Environmental sustainability in the European Artificial Intelligence Act	
5.6 6 6.1 6.2	Environmental sustainability in the European Artificial Intelligence Act	

	Types and sources of energy	0	
6.2.2	Physical renewable energy supply, Power Purchasing Agreements and offsets	8	
	Geographic considerations.		
	General		
	<u>Location</u>		
	Distribution of energy sources		
	Freight transportation of energy sources		
6.3.5	Handling and storage of energy sources		
6.4	Water use		
	Cooling		
	General		
	Types of cooling		
6.5.3	Post cooling use	11	
6.6	Carbon footprint	11	
6.6.1	General	11	
6.6.2	Applicable use of measurements and carbon accounting methods	12	
6.6.3	Estimation versus measurement	13	
	Carbon leakage		
6.7	Consumption patterns and rebound effects		
6.8	Waste		
0.0	General		
	Types of waste		
	E-waste		
0.0.3			
7	Perspectives of environmental sustainability of AI systems	14	
7.1	General And Andrea Andrea Andrea Andrea	14	
7.2	Al system ecosystem	15	
7.3	Al system life cycle		
7.4	Al system supply chain	017	
7.5	Other perspectives	17	
	Other perspectives	17	
8	Other perspectives  —AI system ecosystem perspective	17 17	
8—— 8.1—	Other perspectives  —AI system ecosystem perspective	17 17 17	
8	Other perspectives AI system ecosystem perspective General AI system	17 17 17	
8— 8.1— 8.2— 8.3—	Other perspectives  Al system ecosystem perspective  General  Al system  Al function	17 17 17 18	
8	Other perspectives AI system ecosystem perspective General AI system	17 17 17 18	
8— 8.1— 8.2— 8.3—	Other perspectives  Al system ecosystem perspective  General  Al system  Al function	17 17 18 18	
8 8.1 8.2 8.3 8.4	Other perspectives  Al system ecosystem perspective  General  Al system  Al function  Machine learning	1717181819	
8.1 8.2 8.3 8.4 8.5	Other perspectives  Al system ecosystem perspective  Al system  Al function  Machine learning  Al engineering	171718191919	
8-1-8.2-8.3-8.4-8.5-8.6	Other perspectives  AI system ecosystem perspective  General  AI system  AI function  Machine learning  AI engineering  Big data and data sources	17171819191919	
8.1 8.2 8.3 8.4 8.5 8.6 8.7	Other perspectives  Al system ecosystem perspective General Al system Al function Machine learning Al engineering Big data and data sources Cloud and edge computing Resource pools	171718191919191920	
8 8.1 8.2 8.3 8.4 9.5 8.6 8.7 8.8	Other perspectives  Al system ecosystem perspective General Al system Al function Machine learning Al engineering Big data and data sources Cloud and edge computing Resource pools Al system life cycle perspective	17181919191920	
8	Other perspectives  Al system ecosystem perspective General Al system Al function Machine learning Al engineering Big data and data sources Cloud and edge computing Resource pools Al system life cycle perspective General	1717181919192020	
8—8.1—8.2—8.3—8.4—8.5—8.6—8.7—8.8—9—9.1—9.1.1	Other perspectives  AI system ecosystem perspective  General  AI system  AI function  Machine learning  AI engineering  Big data and data sources  Cloud and edge computing  Resource pools  AI system life cycle perspective  General  AI system life cycle in ISO/IEC 5338	171718191919202020	
8 8.1 8.2 8.3 8.4 8.5 8.6 8.7 8.8 9 9.1 9.1.1	Other perspectives  AI system ecosystem perspective  General  AI system  AI function  Machine learning  AI engineering  Big data and data sources  Cloud and edge computing  Resource pools  AI system life cycle perspective  General  AI system life cycle in ISO/IEC 5338  Life cycle assessment in ISO 14040 and ISO 14044	171718191920202021	
8-8.2 8.3 8.4 8.5 8.6 8.7 8.8 9-1 9.1.1 9.1.2	Other perspectives  Al system ecosystem perspective General Al system Al function Machine learning Al engineering Big data and data sources Cloud and edge computing Resource pools  Al system life cycle perspective General Al system life cycle in ISO/IEC 5338 Life cycle assessment in ISO 14040 and ISO 14044 Inception	17171819192020202121	
8-8.2 8.3 8.4 8.5 8.6 8.7 8.8 9-1 9.1.1 9.1.2 9.2	Other perspectives  AI system ecosystem perspective  General  AI system  AI function  Machine learning  AI engineering  Big data and data sources  Cloud and edge computing  Resource pools  AI system life cycle perspective  General  AI system life cycle in ISO/IEC 5338  Life cycle assessment in ISO 14040 and ISO 14044  Inception  Design and development	171718191920202021212223	
8-1-8-2-8-3-8-4-8-5-8-6-8-7-8-8-9-11-1-9-1-2-9-3-9-14	Other perspectives  AI system ecosystem perspective  General  AI system  AI function  Machine learning  AI engineering  Big data and data sources  Cloud and edge computing  Resource pools  AI system life cycle perspective  General  AI system life cycle in ISO/IEC 5338  Life cycle assessment in ISO 14040 and ISO 14044  Inception  Design and development  Verification and validation	1717181919192020202121222323	
8-8.2 8.3 8.4 8.5 8.6 8.7 8.8 9-1 9.1.1 9.1.2 9.2	Other perspectives  Al system ecosystem perspective General  Al system  Al function  Machine learning Al engineering  Big data and data sources Cloud and edge computing Resource pools  Al system life cycle perspective General  Al system life cycle in ISO/IEC 5338  Life cycle assessment in ISO 14040 and ISO 14044  Inception  Design and development  Verification and validation  Deployment	171718191920202021222323	
8-1-8-2-8-3-8-4-8-5-8-6-8-7-8-8-9-11-1-9-1-2-9-3-9-14	Other perspectives  AI system ecosystem perspective  General  AI system  AI function  Machine learning  AI engineering  Big data and data sources  Cloud and edge computing  Resource pools  AI system life cycle perspective  General  AI system life cycle in ISO/IEC 5338  Life cycle assessment in ISO 14040 and ISO 14044  Inception  Design and development  Verification and validation	171718191920202021222323	
8-1-8.2 8.3 8.4 8.5 8.6 8.7 8.8 9.1 9.1.1 9.1.2 9.2 9.3 9.4 9.5	Other perspectives  Al system ecosystem perspective General  Al system  Al function  Machine learning Al engineering  Big data and data sources Cloud and edge computing Resource pools  Al system life cycle perspective General  Al system life cycle in ISO/IEC 5338  Life cycle assessment in ISO 14040 and ISO 14044  Inception  Design and development  Verification and validation  Deployment	17181919202020212223232425	
8-1-8.2 8.3 8.4 8.5 8.6 8.7 8.8 9.1 9.1.1 9.1.2 9.3 9.4 9.5 9.6	Other perspectives  Al system ecosystem perspective General Al system Al function Machine learning Al engineering Big data and data sources Cloud and edge computing Resource pools  Al system life cycle perspective General Al system life cycle in ISO/IEC 5338 Life cycle assessment in ISO 14040 and ISO 14044 Inception Design and development Verification and validation Deployment Operation and monitoring	1717181919202020212223242525	
8.1 8.2 8.3 8.4 8.5 8.6 8.7 8.8 9.1 9.1.1 9.1.2 9.2 9.3 9.4 9.5 9.6 9.7	Other perspectives  Al system ecosystem perspective General Al system Al function Machine learning Al engineering Big data and data sources Cloud and edge computing Resource pools  Al system life cycle perspective General Al system life cycle in ISO/IEC 5338 Life cycle assessment in ISO 14040 and ISO 14044 Inception Design and development Verification and validation Deployment Operation and monitoring Continuous validation	171718191920202021222324252526	
8-1-8.2-8.3-8.4-8.5-8.6-8.7-8.8-9.1-9.1.2-9.2-9.3-9.4-9.5-9.6-9.7-9.8-9.9	Other perspectives  Al system ecosystem perspective General  Al system  Al function Machine learning Al engineering Big data and data sources Cloud and edge computing Resource pools  Al system life cycle perspective General Al system life cycle in ISO/IEC 5338 Life cycle assessment in ISO 14040 and ISO 14044 Inception Design and development Verification and validation Deployment Operation and monitoring Continuous validation Re evaluate Retirement	17171819192020202122232324252626	
8.1 8.2 8.3 8.4 8.5 8.6 8.7 8.8 9.1 9.1.1 9.1.2 9.3 9.4 9.5 9.6 9.7 9.8 9.9	Other perspectives  Al system ecosystem perspective General  Al system  Al function Machine learning Al engineering Big data and data sources Cloud and edge computing Resource pools  Al system life cycle perspective General Al system life cycle in ISO/IEC 5338 Life cycle assessment in ISO 14040 and ISO 14044 Inception Design and development Verification and validation Deployment Operation and monitoring Continuous validation Re-evaluate	1717181919202020212223232425262626	

	Elements	<u>27</u>
10.3	Mines	<del>27</del>
10.4	Smelters and refiners	<del>28</del>
10.5	Component manufacturers	<del>28</del>
10.6	Assemblers	28
10.7	Distributors	29
10.8	Domestic infrastructure	29
10.9	Internet infrastructure	29
10.10	AI Training	20
10.11	Data preparation, labelling and dataset size	30
	Device abandonment	
	Collection of abandoned devices	
	Shipping of abandoned devices	
10.15	Recovering of abandoned devices	22
10.16	Disposing of abandoned devices	33
	Measuring the environmental sustainability aspects of AI systems	
<del>11.1</del>	General	34
	AI system ecosystem measurements	
<del>11.2.1</del>	General	34
	- Energy consumption	
	CPU energy.	
<del>11.2.4</del>	GPU energy	34
11.2.5	Accelerated processing unit energy	35
11.2.6	Energy efficiency	35
11.2.7	Power usage effectiveness	35
<del>11.2.8</del>	Renewable energy factor	35
11.2.9	Water usage effectiveness	2 5
	O Carl	
		on usage
11.2.1	O Carl	oon usage
11.2.1	0 Carl	oon usage 35 efficiency
<del>11.2.1</del> <del>11.2.1</del>	0 Carl effectiveness Energy	oon usage 35 efficiency 35
11.2.1 11.2.1 11.2.1	0 Carl effectiveness 1 Energy improvement 2	on usage 35 efficiency 35 Carbon
11.2.1 11.2.1 11.2.1	0 Carl effectiveness 1 Energy improvement 2	on usage 35 efficiency 35 Carbon
11.2.1 11.2.1 11.2.1 11.3 11.3	0 Carl effectiveness 1 Energy improvement 2 efficiency Al system life cycle measurements General	90n usage 35 efficiency 35 Carbon 36
11.2.1 11.2.1 11.2.1 11.3.1 11.3.1	0 Carl effectiveness Energy improvement 2 efficiency Al system life cycle measurements General Energy Precision Ratio (M)	00n usage 35 efficiency 35 Carbon 36 36
11.2.1 11.2.1 11.2.1 11.3.1 11.3.1	0 Carl effectiveness Energy improvement 2 efficiency Al system life cycle measurements General Energy Precision Ratio (M)	00n usage 35 efficiency 35 Carbon 36 36
11.2.1 11.2.1 11.2.1 11.3 11.3.1 11.3.2 11.4	0 Carl effectiveness 1 Energy improvement 2 efficiency Al system life cycle measurements General	oon usage35 efficiency35Carbon36363636
11.2.1 11.2.1 11.2.1 11.3.1 11.3.1 11.3.2 11.4.1	0 Garl effectiveness	25 on usage
11.2.1 11.2.1 11.3.1 11.3.1 11.3.2 11.4 11.4.1 11.4.2	0 Garl effectiveness	250 usage
11.2.1 11.2.1 11.3.1 11.3.1 11.3.2 11.4 11.4.1 11.4.2 11.4.3	0 Garl effectiveness	35 efficiency 35
11.2.1 11.2.1 11.3.1 11.3.1 11.3.2 11.4 11.4.1 11.4.2 11.4.3	0 Garl effectiveness	35 efficiency 35
11.2.1 11.2.1 11.3.1 11.3.1 11.3.2 11.4.1 11.4.2 11.4.3 11.4.4 11.4.5	0 Garl effectiveness Energy improvement 2 efficiency Al system life cycle measurements General Energy Precision Ratio (M) Al system supply chain measurements General Raw material extraction method Transportation of materials Material hazard and environmental leaching risk Material sustainability	35 efficiency 35
11.2.1 11.2.1 11.3.1 11.3.1 11.3.2 11.4.1 11.4.2 11.4.3 11.4.3 11.4.5	0 Garl effectiveness	son usage
11.2.1 11.2.1 11.3.1 11.3.1 11.3.2 11.4 11.4.2 11.4.3 11.4.4 11.4.5 11.4.6 11.4.6	0 Garl effectiveness	son usage
11.2.1 11.2.1 11.3.1 11.3.1 11.3.2 11.4 11.4.3 11.4.3 11.4.3 11.4.4 11.4.5 11.4.6 11.4.7	0 Garl effectiveness	35 efficiency 35
11.2.1 11.2.1 11.3.1 11.3.1 11.3.2 11.4.1 11.4.3 11.4.3 11.4.5 11.4.6 11.4.7 11.4.8 11.4.9	0 Garl effectiveness	son usage
11.2.1 11.2.1 11.3.1 11.3.1 11.3.2 11.4.1 11.4.3 11.4.3 11.4.5 11.4.6 11.4.7 11.4.8 11.4.9	0 Garl effectiveness	son usage
11.2.1 11.2.1 11.3.1 11.3.1 11.4.1 11.4.2 11.4.3 11.4.4 11.4.5 11.4.6 11.4.7 11.4.9 11.4.1	0 Garl effectiveness	son usage
11.2.1 11.2.1 11.3.1 11.3.1 11.4.1 11.4.2 11.4.3 11.4.4 11.4.5 11.4.6 11.4.7 11.4.9 11.4.1	0 Garl effectiveness	son usage
11.2.1 11.2.1 11.3.1 11.3.1 11.3.1 11.4.1 11.4.2 11.4.3 11.4.4 11.4.5 11.4.6 11.4.7 11.4.9 11.4.1 11.4.1	0 Garl effectiveness	son usage
11.2.1 11.2.1 11.3.1 11.3.1 11.3.1 11.4.1 11.4.2 11.4.3 11.4.4 11.4.5 11.4.6 11.4.7 11.4.9 11.4.1 11.4.1	0 Garl effectiveness	son usage
11.2.1 11.2.1 11.3.1 11.3.1 11.3.2 11.4.1 11.4.3 11.4.4 11.4.5 11.4.6 11.4.7 11.4.9 11.4.1 11.4.1	0 Garl effectiveness	son usage
11.2.1 11.2.1 11.3.1 11.3.1 11.3.2 11.4.1 11.4.3 11.4.4 11.4.5 11.4.6 11.4.7 11.4.9 11.4.1 11.4.1	0 Garl effectiveness	son usage

<del>11.4.14</del>	Physical ecosystem	
di	sruption 39	
<del>11.4.15</del>	Community	_
39	<del>)</del>	
11.5 0	ther measurements39	
11.5.1 G	eneral 39	
11.5.2 Ca	arbon intensity of a machine learning model	
	oftware Carbon Intensity40	
11.5.4 O	perational carbon emissions	
	nbodied carbon emissions41	
12 4	pproaches to reducing AI systems' negative environmental impacts41	
	eneral 41	
12.1 Ut	cosystem approaches 42	
	eneral 42	
	eographic location 42	
	arbon aware scheduling 42	
	urbon aware nausing 42	
	enerative AI 42	
	with etic data 42	
	dapting a foundation	
12.2.8 H	ransfer learning43 se of structured data versus brute force	
12.2.9 US	se of structured data versus brute force	
	rcuits	
	13	
	fe cycle approaches	
	eneral 44	
	arbon-Aware Core SDK	
	omputationally efficient algorithms	
	sing pretrained models	
	utomated ML ISO/IFC DTR 2026 44	
	ederated learning methods	
	istomized processors 44	
	nergy efficient reinforcement learning practises45	
12.3.9 0	sing public APIs	
	upply chain approaches45	
	eneral 45	
	ertification of hardware and data centers	
	sing recycled rare earth elements in Al specific hardware45	
12.4.4 A <sub>1</sub>	pplying circular economy concepts and principles45	
	usiness-improvement methodologies	
Annex A-	TR 20226 clauses mapped to the UN Sustainability Development Goals47	
	duction 47	
	stainability Development Goals 47	
Ribliogra		

## **Foreword**

ISO (the International Organization for Standardization) is a and IEC (the International Electrotechnical Commission) form the specialized system for worldwide federation of national standards standardization National bodies (that are members of ISO member bodies). The workor IEC participate in the development of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. Internationally the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

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This document was prepared by <u>Ioint Technical Committee\_ISO/IEC\_JTC\_\_1</u>, <u>Information technology</u>, Subcommittee SC\_42, <u>Artificial intelligence</u>.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at <a href="https://www.iso.org/members.html">www.iso.org/members.html</a> and <a href="https://www.iso.org/members.html">www.iso.org/members.html</a

## Introduction

Unprecedentedly large and ever-growing deep learning models, large language models, natural language understanding networks and generative AI applications require vast data storage capacities, take weeks to train, are running continuously, require a lot of compute power as well as memory to load the models. And once completed they consume substantial amounts of network connectivity bandwidth in operation. Sixty per cent of IT industry carbon emissions come from the downstream use of products by customers.

The use of power intensive GPUs to run machine learning training (and non-AI uses such as crypto currency mining) has already been cited as contributing to increased carbon dioxide emissions. Many machine learning packages have been modified to take advantage of the extensive parallelism available inside the average graphics processing unit. Often this resource intensity is used to exemplify environmental concerns with AI systems.

According to the World Economic Forum and experts in the field, AI has "the potential to accelerate environmental degradation, and is already doing so" [1,11], In 2022, the OECD's Policy Observatory [11,12] that provided input into basic framework for understanding, measuring and benchmarking domestic AI computing capacity by country and region, did not consider environmental sustainability in its charter [11,12].

The AI system life cycle does provide opportunities to consider and positively influence the environmental sustainability aspects of the system: for example, using and applying teacher–student models [445] in deep neural networks represents a trade-off between more learning and better inference performance when in production.

Improving in-operation product performance can, conversely, aid sustainability. Publications from the European Union [wiw], [6.7] the United States [wiw], [8.10] the United Nations [wiw][11] and other regional [wiw][13] and global think tanks [wiw][14] have called for better understanding and disclosure with regards to ICT's environmental footprint and that of AI systems in particular.

ISO/IEC DTR 20226

# Information technology— Artificial intelligence — Environmental sustainability aspects of AI systems

# 1 Scope

This document provides an overview of the environmental sustainability aspects (e.g. workload, resource and asset utilization, carbon impact, pollution, waste, transportation, location) of AI systems during their life cycle, and related potential metrics.

It is not the intention of this report to NOTE 1 This document does not identify opportunities on how AI, Al applications and AI systems can improve environmental, social or economic sustainability outcomes.

NOTE 2 This document can help other projects in ISO, IEC and other SDOs related to AI system environmental sustainability.

### 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC-22989:2022, Information technology - Artificial intelligence - Artificial intelligence concepts and terminology

# 3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 22989.2022 and the following apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <a href="https://www.iso.org/obp">https://www.iso.org/obp</a>
- IEC Electropedia: available at <a href="https://www.electropedia.org/">https://www.electropedia.org/</a>

# 3.1 3.1

# environmental sustainability

state in which the ecosystem and its functions are maintained for the present and future generations

[SOURCE: ISO 17889-1:2021, 3.1.1]

# 3.2

### 3.2

### social responsibility

responsibility of an organization for the impacts of its decisions and activities on society and the environment, through transparent and ethical behaviour that

- contributes to sustainable development, including health and the welfare of society;
- takes into account the expectations of stakeholders;
- is in compliance with applicable law and consistent with international norms of behaviour; and

Field Code Changed

Field Code Changed

is integrated throughout the organization and practised in its relationships

Note\_1\_to entry:\_Activities include products, services and processes.

Note\_2\_to entry:\_Relationships refer to an organization's activities within its sphere of influence.

[SOURCE: ISO 26000:2010, 2.18]

# 3.2<u>3.3</u>3.3

# life cycle

<product>consecutive and interlinked stages of a product system, from raw material acquisition or generation from natural resources to final disposal

[SOURCE: ISO 14040:2006, 3.1]

### 3.33.43.4

# supply chain

<product> series of processes or activities involved in the production and distribution of a material or product through which it passes from the source

[SOURCE: ISO 22095:2020, 3.2.1, note modified — Note 1 to entry has been removed].]

### energy consumption

quantity of energy (3.9)(3.9) applied

[SOURCE: ISO/IEC 13273-1:2015, 3.1.13, notemodified — Note 1 to entry has been removed].]

# 3.53.63.6

# carbon footprint of a product

sum of greenhouse gas (GHG) emissions and GHG removals in a product system, expressed as carbon dioxide equivalents and based on a life cycle assessment using the single impact category of climate

[SOURCE: ISO 22948:2020, 3.1.1, changed "CO<sub>2</sub>" to "carbon dioxide"]

# 3.63.73.7

# carbon intensity

carbon metric (3.16)(3.16) expressed in relation to a specific reference unit related to the function of the AI system

Note\_1-to entry:-For the purposes of this document, the following terms are used as per their definitions in the following reference documents: function (ISO 15686-10:2010, 3.10) and building (ISO 6707-1:2004, 3.1.3).

Note\_2-to entry:-Examples of reference units may include per unit area, per person, per kilobyte, per unit output,

[SOURCE: ISO 16745-1:2017, 3.2, modified by changing "the function of the building" to "the function of the AI system"]

# 3.7<u>3.8</u>3.8

electrical or electronic equipment which is waste (3.18), waste (3.18), including all components, subassemblies and consumables which are part of the product at the time of discarding

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Note\_1\_to entry: Electrical and electronic products include TVs, computers, laptops, handphones, printers, printed circuit boards, refrigerators, washing machines and audio and video systems.

Note\_2\_to entry: E-waste contains valuable renewable resources and certain toxic substances.

[SOURCE: ISO 24161:2022, 3.1.2.5, modified — "valuable resources" in Note 2 to entry has been changed to "valuable renewable resources" | ".]

#### 3.83.93.9

# energy

E

capacity of a system to produce external activity or to perform work

Note-1-to entry:-Commonly the term energy is used for electricity, fuel, steam, heat, compressed air and other similar substances.

Note\_2-to entry:-Energy is commonly expressed as a scalar quantity.

Note-\_3-\_to entry:-\_Work as used in this definition means external supplied or extracted energy to a system. In mechanical systems, forces in or against direction of movement; in thermal systems, heat supply or heat removal.

[SOURCE: ISO/IEC 13273-1:2015, 3.3.1, modified — Note 1 to entry has been updated: "media" has been replaced with "other similar substances" | ".]

# 3.93.10 3.10

# energy efficiency

 $\mathbf{E}_{\mathbf{f}}$ 

ratio or other quantitative relationship between an output of performance, service, goods or *energy* (3.9),(3.9), and an input of energy

EXAMPLE—\_\_\_Efficiency conversion energy; energy required/energy used; output/input; theoretical energy used to operate/energy used to operate.

[SOURCE: ISO/IEC 13273-1:2015, 3.4.1, notemodified — Note 1 to entry removed has been deleted.]

# atao3/11tand3.11tand3.11ts.iteh.ai/catalog/standards/iso/cd5b39bb-f73e-4ca9-9c41

# energy efficiency improvement

increase in energy efficiency  $\frac{(3.10)(3.10)}{(3.10)}$  as a result of technological, design, behavioural or economic changes

[SOURCE: ISO/IEC 13273-1:2015, 3.4.3]

# 3.11<u>3.12</u> 3.12

# inputs

material or product that enters an organization or part of an organization

[SOURCE: ISO 22095:2020, 3.2.2, notes, modified — Notes to entry removed] have been deleted.]

# 3.12<u>3.13</u> 3.13

### outputs

material or product that leaves an organization or part of an organization

[SOURCE: ISO 22095:2020, 3.2.3, notes modified — Notes to entry removed have been deleted.]

# 3.133.14

### supply chain

set of organizations with a linked set of resources and processes, each of which acts as a customer, supplier or both to form successive supplier relationships established upon placement of a purchase order, agreement, or other formal sourcing agreement.

Note-1-to entry:-A supply chain includes organizations involved in the provision of data, the design and development of AI systems or AI components or service providers involved in the development, operation, management and provision of AI services.

Note-2-to entry:-The supply chain view is relative to the position of the customer.

[SOURCE: ISO/IEC 27036-1:2021, 3.10, modified — Note 1 to entry has been rewritten to be entirely Alspecific.

### 3.14<u>3.15</u>

# chain of custody

process by which inputs (3.13)(3.13) and outputs (3.13)(3.13) and associated information are transferred, monitored and controlled as they move through each step in the relevant supply chain

[SOURCE: ISO 22095:2020, 3.1.1]

### 3.15<u>3.16</u>

# carbon metric

sum of annual greenhouse gas emissions and removals, expressed as carbon dioxide equivalents, associated with the use stage of a building

Note\_1-\_to-\_entry:-\_For the purposes of this document, the following terms are used as per their definitions in the following reference documents: greenhouse gas emissions (ISO 14064-1:2006, 2.5), and carbon dioxide equivalents (ISO 14064-1:2006, 2.19).

[SOURCE: ISO 16745-1:2017, 3.2, modified —changed "CO<sub>2"</sub> to "carbon dioxide" and Note 1 to entry has been modified to remove non-AI system references].] standards.iteh.ai/catalog/standards/iso/cd5b39bb-f73e-4ca9-9c41-c6ec8ecadcdc/iso-iec-dtr-20226

### 3.163.17

### carbon-aware

attribute of software or hardware that adjusts its behavior (consumption of inputs, processing, or production of outputs) in response to the carbon intensity of the energy it consumes

[SOURCE: ISO/IEC 21031:2024, 2.2]

# 3.17<u>3.18</u>

# waste

substances or objects which the holder intends or is required to dispose of

Note-1-to-entry: The definition is taken from the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal (22 March 1989) but is not confined to hazardous waste.

[SOURCE: ISO 14044:2006, 3.35, Note 1 modified] — Note 1 to entry has been adapted.]

#### <del>3.18</del>3.19 3.19

### circular economy

economic system that uses a systemic approach to maintain a circular flow of resources, by recovering, retaining or adding to their value, while contributing to sustainable development

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