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Standard Guide for the Selection, Training and Qualification of Nondestructive Assay (NDA) Personnel¹

This standard is issued under the fixed designation C1490; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ε) indicates an editorial change since the last revision or reapproval.

1. Scope

1.1 This guide contains good practices for the selection, training, qualification, and professional development of personnel performing analysis, calibration, physical measurements, or data review using nondestructive assay equipment, methods, results, or techniques. The guide also covers NDA personnel involved with NDA equipment setup, selection, diagnosis, troubleshooting, or repair. General guidelines for the selection, training, and qualification of NDA auditors are included as well, but at a lower level of detail due to the variability of the personnel's responsibilities performing this functions. Selection, training, and qualification programs based on this guide are intended to provide assurance that NDA personnel are qualified suitably qualified and experienced personnel (SQEP) to perform their jobs competently. This guide presents a series of options but does not recommend a specific course of action.

This standard guide does not address the qualifications per se of an NDA Manager. However, it is expected that the NDA Manager is familiar with NDA techniques, and can make informed decisions on the acceptability of the assay results. If an NDA Manager does not have adequate technical qualifications in the NDA field, they are recommended to undergo training to gain familiarity in this area.

An NDA Manager with no relevant NDA experience should have access to a Senior NDA Professional who will give guidance for all technical decisions such as applicability and limitation of methods, reasonableness of results, needed upgrades and advantageous development investments.

2. Referenced Documents

2.1 ASTM Standards:²

- C1030 Test Method for Determination of Plutonium Isotopic Composition by Gamma-Ray Spectrometry
- C1133 Test Method for Nondestructive Assay of Special Nuclear Material in Low-Density Scrap and Waste by Segmented Passive Gamma-Ray Scanning
- C1207 Test Method for Nondestructive Assay of Plutonium in Scrap and Waste by Passive Neutron Coincidence Counting
- C1221 Test Method for Nondestructive Analysis of Special Nuclear Materials in Homogeneous Solutions by Gamma-Ray Spectrometry
- C1268 Test Method for Quantitative Determination of Americium 241 in Plutonium by Gamma-Ray Spectrometry
- C1316 Test Method for Nondestructive Assay of Nuclear Material in Scrap and Waste by Passive-Active Neutron Counting Using ²⁵²Cf Shuffler
- C1455 Test Method for Nondestructive Assay of Special Nuclear Material Holdup Using Gamma-Ray Spectroscopic Methods C1458 Test Method for Nondestructive Assay of Plutonium, Tritium and ²⁴¹Am by Calorimetric Assay
- C1493 Test Method for Non-Destructive Assay of Nuclear Material in Waste by Passive and Active Neutron Counting Using a Differential Die-Away System
- C1500 Test Method for Nondestructive Assay of Plutonium by Passive Neutron Multiplicity Counting
- C1514 Test Method for Measurement of ²³⁵U Fraction Using Enrichment Meter Principle
- C1592 Guide for Nondestructive Assay Measurements
- C1673 Terminology of C26.10 Nondestructive Assay Methods
- C1718 Test Method for Nondestructive Assay of Radioactive Material by Tomographic Gamma Scanning
- C1726 Guide for Use of Modeling for Passive Gamma Measurements

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² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For Annual Book of ASTM Standardsvolume information, refer to the standard's Document Summary page on the ASTM website.

🖽 C1490 – 14

2.2 U.S. Government Documents:

10 CFR 830.120 Code of Federal Regulations, Title 10, Part 830, Paragraph 120, Quality Assurance Requirements³ DOE Order 5480.20A DOE QAPD CAO-94–1012 U.S. Department of Energy, Quality Assurance Program Document

TWCP-QAPP CAO-94-1010 TRU Waste Characterization Quality Assurance Program Plan

3. Terminology

3.1 Refer to Terminology C1673 for definitions used in this test method.

4. Significance and Use

4.1 The process of selection, training and qualification of personnel involved with NDA measurements is one of the quality assurance elements for an overall quality NDA measurement program.

4.2 This guide describes an approach to selection, qualification, and training of personnel that is to be used in conjunction with other NDA QA Quality Assurance (QA) program elements. The selection, qualification and training processes can vary and this guide provides one such approach.

4.3 The qualification activities described in this guide assume that NDA personnel are already proficient in general facility operations and safety procedures. The training and activities that developed this proficiency are not covered in this guide.

4.4 This guide describes a basic approach and principles for the qualification of NDA professionals and technical specialists and operators. A different approach may be adopted by the management organization based on its particular organization and facility specifics. However, if a variation of the approach of this guide is applied, the resulting selection, training, and qualification programs must meet the requirements of the facility quality assurance program and should provide all the applicable functions of Section 45.

4.5 This guide may be used as an aid in the preparation of a Training Implementation Plan (TIP) for the Transuranic Waste Characterization Program (TWCP). Requirements for TWCP training include but are not limited to: 10 CFR 830.120, DOE Order 5480.20A, DOE QAPD CAO-94-1012, TWCP-QAPP CAO-94-1010, TWCP-QAPjP and the TUM.

4.6 This guide describes education and expertise guidance for NDA auditors due to the importance and complexity of proper oversight of NDA activities.

5. NDA Roles, Responsibilities, and Duties Cument Preview

5.1 The application and use of NDA techniques includes such diverse activities as data review and analysis; measurement control activities; equipment operation, troubleshooting and repair; all require different levels of education, expertise, and training. Therefore the implementation and continued successful application of an NDA measurement program requires a complex mixture of theory, experience, and professional judgement. For NDA professionals, a wide variety of skills and knowledge areas is required. These knowledge areas include: physics, chemistry, statistics, <u>NDA modeling methods</u>, electronics, engineering, health physics, quality assurance and nuclear safety. assurance, nuclear safety, and appropriate regulatory requirements. For technical specialists and operators, less emphasis can be put on formal education, but would be compensated for by higher levels of job specific training.

5.2 Based on roles and responsibilities, NDA personnel can be broken into the following categories. These are not necessarily job titles and some facilities may combine one or more levels. The activities exist in a broader organizational context.

5.2.1 Senior NDA Professional:

5.2.1.1 *Education*—Advanced degree (M.S. or Ph.D.) in physics, chemistry, or nuclear engineering and five years NDA experience; or fifteen (15) equivalent years of experience in the NDA field.

5.2.1.2 *Expertise*—Expertise in most or all NDA techniques. Recognized nationally as an expert in one or more NDA fields through such things as publications, publications, peer reviewed by other subject matter experts (SMEs), active participation in national NDA conferences or conducting NDA training courses. Knowledge areas cover most of those listed in 4.15.1. In-depth knowledge and understanding of the NDA methods and underlying principles contained inCore expertise in one or more of the following areas, and familiarity with the others: Test Methods C1030, C1133, C1207, C1221, C1268, C1316, Guide-C1455, C1458and, C1493Test, C1500Method, C1458C1514, Guide C1592, Terminology C1673, Test Method C1718, and Guide C1726 are essential-applicable. The senior professional must adhere to t good practices for performing NDA measurements and data analysis to achieve quality results, as described in Guide C1592 (Standard Guide for Making Quality Nond-Destructive Assay Measurements). The senior professional must possess relevant technical knowledge of the physical and chemical properties of the materials being assayed, when available. In-depth knowledge of analysis algorithm's applicability to the assay conditions (and limitations) for the NDA methods used is required. . Knowledge of computational codes used for modeling, for example MCNP and MCNPX, may be necessary.

5.2.1.3 *Duties*—Designs NDA measurement programs, including method selection and instrument performance specification. Performs NDA technical oversight over the entire program. Performs initial calibrations, qualifications and certifications for instruments and methods. Provides expert technical data review (ETR) services. review. Provides consultation on NDA matters to



various facility departments and organizations such as nuclear safety, safeguards, nuclear materials control and accountability, waste characterization, waste disposal and production operations. Provides mentoring to other job categories.

5.2.2 NDA Professional:

5.2.2.1 *Education*—Undergraduate degree in physical science or engineering and five years NDA experience; or ten equivalent years of experience in the NDA field.

5.2.2.2 *Expertise*—Expertise in one or more NDA techniques. Recognized in NDA field on a local or facility basis. Knowledge areas cover several of those listed in 4.15.1. Understanding of the NDA methods contained in one or more of the following: Test Methods C1030, C1133, C1207, C1221, C1268, C1316, Guide-C1455, and Test Method-C1458, C1493is, C1500, C1514, Guide C1592, Terminology C1673, Test Method C1718, and Guide C1726essential., are essential. The professional must be a practitioner of good practices for performing NDA measurements and data analysis to achieve quality results, as described in Guide C1592 (Standard Guide for Making Quality Nondestructive Assay Measurements).

5.2.2.3 *Duties*—Expert Provides expert technical data review (ETR):review, and NDA measurement oversight. Performs routine instrument qualification, calibration, and validation. Reviews, Reviews and approves measurement control data. Provides consulting on NDA matters within his <u>or her</u> area of expertise to various facility departments and organizations such as nuclear safety, safeguards, nuclear materials control and accountability, waste characterization, waste disposal, production operations. Mentors technical specialists and operators.

5.2.3 NDA Technical Specialist:

5.2.3.1 *Education*—<u>CollegeUndergraduate</u> degree <u>or equivalent</u> with emphasis in <u>the physical</u> sciences and one year NDA experience or <u>High school a 12-year General Education (High School)</u> and two years NDA experience; or five equivalent years of experience in the NDA field.

5.2.3.2 *Expertise*—Knowledgeable in one or more NDA techniques. Knowledge areas may cover one or more of those listed in 4.15.1.

5.2.3.3 *Duties*—Independent technical data review (ITR); review; instrument calibration, and validation. Performs measurement control activities, instrument operation. Provides first response to instrument problems, upset conditions. Performs troubleshooting. 5.2.4 *NDA Qualified Instrument Operator:*

5.2.4.1 *Education*—High School a 12-year general education (high school) or equivalent technical training, or equivalenttwo years (2) of experience in the nuclear facility field.

5.2.4.2 *Expertise*—Trained and qualified in operation of one or more NDA instruments.

5.2.4.3 Duties—Operation of NDA instrument. Recording of NDA data and other duties as qualified and assigned.

5.3 The hierarchy described above is only one set of possible tiers. Other tiered hierarchies providing equivalent functions are equally valid. The important consideration is the increasing level of required expertise and independence of action with increasing job level function. Based on site-specific practices and policies, the four levels presented above may be collapsed or expanded and the duties listed may move to other tiers in the hierarchy.

5.4 In addition to the NDA personnel described above, the services of other specialists are often required. These include statisticians to help establish measurement uncertainties, control limits, etc. Also, personnel trained in the maintenance and repair of electronic and mechanical systems may be required. In all cases, the value of the services provided by these specialists is enhanced if they have a basic understanding of NDA methods and instruments.

5.5 Roles, Responsibilities, and Duties of NDA Auditors:

5.5.1 NDA Auditor:

5.5.1.1 *Education*—Undergraduate degree or equivalent with emphasis in the physical sciences and two years experience in the nuclear facility field; or an under graduate degree in an unrelated field and four years experience in the nuclear facility field.

5.5.1.2 *Expertise*—Trained and qualified nuclear facility auditor. Demonstrated basic physics knowledge relevant to detection of neutrons and gamma rays. Familiarity with the NDA methods contained in those of the following that apply to personnel being audited: Test Methods C1030, C1133, C1207, C1221, C1268, C1316, C1455, C1458, C1493, C1500, C1514, Guide C1592, Terminology C1673, Test Method C1718, and Guide C1726; and the good practices for performing NDA measurements and data analysis to achieve quality results, as described in Guide C1592 (Standard Guide for Making Nondestructive Assay Measurements) is necessary.

5.5.1.3 *Duties*—Audits of NDA measurement process, application, results, and compliance typically related to, radioactive waste, safeguards or nuclear criticality.

6. Selection

6.1 The selection of NDA personnel should be a careful and thoughtful process that recognizes the responsibilities that are unique to the NDA position. The selection process should include an evaluation of the NDA candidate's technical skills, as well as the individual's experience and past performance relative to the position requirements.

6.2 The attributes, characteristics, and skills used as criteria for selecting NDA candidates or trainees should include demonstrated qualities such as: judgment, motivation, integrity, communication skills, teamwork skills, diagnostic skills, analytical ability, and strong technical competence.



6.3 The NDA organization should have a selection process for initial hiring and promoting of personnel. This process may involve a selection test, in addition to interviews. Selection should be based on the ability to meet position qualification criteria with reasonable amounts of training.

7. Training

7.1 A training program should be established to develop and enhance the skills, knowledge, and abilities of NDA trainees to perform their job assignments. The program should consist of a combination of classroom-type and on-the-job training (OJT) and should include laboratory training (for those facilities that have laboratory facilities), as it applies to the NDA position.

7.2 Full implementation of an NDA training program requires a long-term commitment from both the NDA personnel and management. Training activities should be carefully managed to produce effective results.

7.3 Each NDA organization should assess its training needs to develop a facility-specific training program. It is important to implement a systematic method to update training program content to incorporate facility modifications, operating experiences, procedure changes, and changes in job requirements.

7.4 The complete training program for NDA personnel may include courses offered by the national laboratories, commercial vendors, universities, and other centers of excellence. The necessity for this type of training will depend on the roles and responsibilities of the NDA personnel.

7.5 Table 1 contains a list of training categories that are necessary for effective NDA application. Each training category includes a list of academic components for that training area. Table 1 is not intended to be comprehensive for all situations. Site-specific training plans shall be evaluated against the training categories listed in Table 1 and any academic content exclusion must be justified (for example, a site only stores sealed items so holdup measurements are never performed).

7.5.1 Different job responsibilities require different levels of understanding and mastery of the contents listed in Table 1. Recommended level of training for each training category are included in the last column of Table 1 for the six personnel categories listed in 4.2. Any requirement for advanced training within a training category presupposes basic knowledge or training has already been obtained.

Training Category	Itemized Contents	Recommended Level of Training by NDA Personnel Category
Nuclear Theory	Radioactivity; Radiation Interactions	Basic: Specialist, Operator, Auditor Advanced:
		4Bo
NDA Measurement	Radiation Detectors & Counters; Spectroscopy; Energy Resolution; Collimation, Shielding, & Background; Spectrum Processing; Counting Statistics; Limits of Detectability; Measurement Control; Quality Assurance; Selection of Method	Basic: Specialist, Operator, Auditor Advanced: Senior Professional, Professional
Nondestructive Assay Methods	Gamma-Ray Spectrometry; Active & Passive Neutron Counting; X-Ray Fluorescence; Isotopic Composition; Solution Concentration; Generalized Geometry Holdup	Basic: Operator, Specialist, Auditor Advanced: Senior Professional, Professional
Instrument Calibration & Maintenance	Instrument Configuration & Setup; Calibration Schemes; Calibration Standards; Curve Fitting; Diagnostics	Basic: Specialist, Auditor Advanced: Senior Professional, Professional
Calculations & Correction Factors	Fundamental Equations & Relationships; Modeling & Sampling; Correction Factors; Measurement Uncertainty; MDA	Basic: Specialist, Auditor Advanced: Senior Professional, Professional
NDA Software ^A	Maestro; Gamma Vision; ISOTOPIC; ISOCS; HMS4; NaIGEM; WINU235, INCC, MultiCal, FRAM, MGA/U; Genie 2000 as examples	Basic: Specialist, Auditor Advanced: Senior Professional, Professional
ProcessFacility Knowledge ⁴	Facility Process Flow; Process Chemistry; Packaging and Containers	Basic: Senior Professional, Professional, Auditor
Understanding of Customer Needs, Requirements, and Applications ⁴	Nuclear Criticality Safety, Nuclear Material Accountability, Waste Management	Basic: Senior Professional, Professional_ Advanced: Auditor (at minimum site discipline being audited)

TABLE 1 List of major training categories and academic components for each category. Due to the variability from site to site these listings are not intended to be comprehensive for all situations.

^A Normally facility dependent.