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Standard Specification for Vacuum-Treated Carbon and Alloy Steel Forgings for Turbine Rotors and Shafts¹

This standard is issued under the fixed designation A470/A470M; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ϵ) indicates an editorial change since the last revision or reapproval.

This standard has been approved for use by agencies of the U.S. Department of Defense.

1. Scope

1.1 This specification covers vacuum-treated carbon and alloy steel forgings for turbine rotors and shafts.

1.2 The values stated in either SI units or inch-pound units are to be regarded separately as standard. The values stated in each system may not be exact equivalents; therefore, each system shall be used independently of the other. Combining values from the two systems may result in non-conformance with the standard.

1.3 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety and health practices and determine the applicability of regulatory limitations prior to use.*

2. Referenced Documents

2.1 *ASTM Standards:*²

[A275/A275M Practice for Magnetic Particle Examination of Steel Forgings](#)

[A293 Specification for Steel Forgings, Carbon and Alloy, for Turbine Generator Rotors and Shafts; Replaced by A 470 \(Withdrawn 1984\)](#)³

[A370 Test Methods and Definitions for Mechanical Testing of Steel Products](#)

[A418/A418M Practice for Ultrasonic Examination of Turbine and Generator Steel Rotor Forgings](#)

[A472/A472M Specification for Heat Stability of Steam Turbine Shafts and Rotor Forgings](#)

[A788/A788M Specification for Steel Forgings, General Requirements](#)

[E139 Test Methods for Conducting Creep, Creep-Rupture, and Stress-Rupture Tests of Metallic Materials](#)

3. Ordering Information and General Requirements

3.1 Material supplied to this specification shall conform to the requirements of Specification [A788/A788M](#), which outlines additional ordering information, manufacturing methods and procedures, marking, certification, production analysis variations, and additional supplementary requirements.

3.2 In addition to the ordering information required by Specification [A788/A788M](#), the purchaser shall include with the inquiry and order, the grade and class of steel, alternative maximum for silicon content (see [Table 1](#)), the choice of yield strength offset (0.2 or 0.02 %), and any tests, supplementary requirements, and purchase options desired.

3.3 *Forging Drawing*—Each forging shall be manufactured in accordance with a drawing furnished by the purchaser showing the dimensions of the forging and bore hole, if any, and the location of mechanical test specimens.

3.4 *Supplementary Requirements*—Supplementary requirements are provided. These requirements shall apply only when specified in the purchase order.

3.5 If the requirements of this specification are in conflict with the requirements of Specification [A788/A788M](#), the requirements of this specification shall prevail.

¹ This specification is under the jurisdiction of ASTM Committee [A01](#) on Steel, Stainless Steel and Related Alloys and is the direct responsibility of Subcommittee [A01.06](#) on Steel Forgings and Billets.

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² For referenced ASTM standards, visit the ASTM website, [www.astm.org](#), or contact ASTM Customer Service at [service@astm.org](#). For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

³ The last approved version of this historical standard is referenced on [www.astm.org](#).

TABLE 1 Chemical Requirements^A

	Grade A	Grade B	Grade C	Grade D	Grade E
	Ni-Mo-V	Ni-Mo-V	Ni-Cr-Mo-V	Cr-Mo-V	Ni-Mo-V
Carbon	0.22–0.30	0.22–0.30	0.28	0.25–0.35	0.30
Manganese	0.20–0.60	0.20–0.60	0.20–0.60	1.00	0.70
Phosphorus	0.012	0.012	0.012	0.012	0.025
Sulfur	0.012	0.012	0.015	0.015	0.025
Silicon	^B	^B	^{B,C}	^B	^B
Nickel	3.20–3.70	3.20–3.70	3.25–4.00	0.75	2.00 min.
Chromium	0.75	0.75	1.25–2.00	1.05–1.50	0.75
Molybdenum ^D	0.40–0.60	0.40–0.60	0.25–0.60	1.00–1.50	0.25 min
Vanadium	0.04–0.12	0.04–0.12	0.05–0.15	0.20–0.30	0.03–0.12
Antimony	^E	^E	^E	^E	^E
Aluminum ^F	0.015	0.015	0.015	0.015	0.015
Equivalent	Classes 2 and 3 ^G	...

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Grade Designation (replaced by Specification A470)

^A Maximum or range, unless otherwise indicated.^B 0.10 % max, unless an alternative value, not in excess of 0.30 %, is specified in the purchase order.^C 0.15 to 0.30 % silicon is permitted for material that is subsequently VAR Processed.^D Supplementary Requirement, see S1.^E To be reported for information only on all Grades.^F Total of soluble and insoluble.^G Phosphorus of 0.035 max and sulfur of 0.035 max were specified for Specification A293.

4. Manufacture

4.1 Melting Process:

4.1.1 The steel shall be made by the basic electric-furnace process.

4.1.2 Provisions for subsequent secondary melting of the steel by the consumable electrode-electroslag or vacuum-arc remelting processes are included in Supplementary Requirement S7.

4.2 Vacuum Treatment—The vacuum degassing requirements of Specification A788/A788M are mandatory.

4.3 Discard—Sufficient discard shall be taken from each ingot to secure freedom from pipe and harmful segregation in the finished forging.

4.4 Forging Process—The forging shall receive its hot mechanical work under a press of ample power to adequately work the metal throughout the maximum section of the forging. It is important to maintain the axial center of the forging in common with the axial center of the ingot.

4.5 Heat Treatment:

4.5.1 The heat treatment for mechanical properties shall consist of double-normalizing and tempering for Grades A, B, D, and E and normalizing, quenching, and tempering for Grade C. In normalizing treatments, the forging may be cooled in still air or in an air blast at the manufacturer's option. Faster cooling rates for Grades A, B, D, and E may be used if authorized by the purchaser. These rates are obtained by liquid quenching, or by the addition of water sprays of fog to the air blast.

4.5.1.1 The first normalizing treatment shall be from well above the transformation temperature range. At the manufacturer's option, this operation may be performed as a part of the preliminary treatment of the forging before preliminary machining (see 4.6.1).

4.5.1.2 The second normalizing or quenching treatment shall be from above the transformation range but below the first normalizing temperature described in 4.5.1.1. This treatment shall be performed after preliminary machining (see 4.6.1).

4.5.1.3 The final tempering temperature for Grades A, B, C, and E shall be not less than 1075°F [580°C] and for Grade D not less than 1200°F [650°C]. With prior purchaser approval, a second tempering operation shall be performed prior to the operations described in 4.6.2 and 4.6.3 to complete the heat treatment cycle. This second temper will be in place of the stress relief specified in 4.5.1.4 and 4.5.1.5 and the temperatures applied to the second temper will meet the temperature limits in 4.5.1.4. However, with the prior approval of the purchaser, the second tempering temperature may be equal, or slightly exceed, the first tempering temperature as a means of adjusting final strength or toughness. The required tests for mechanical properties shall be made after the second tempering operation. Mechanical property tests after the first temper are optional with the manufacturer.

4.5.1.4 After heat treatment and subsequent rough machining and axial boring (see 4.6.2 and 4.6.3), the forging shall be stress-relieved at a temperature not more than 100°F [55°C] below the final tempering temperature, but not less than 1025°F [550°C].

4.5.1.5 With the prior approval of the purchaser, the stress-relief temperature may approach, equal, or slightly exceed the final tempering temperature as a means of adjusting final strength or toughness. If the stress-relief temperature is within 25°F (14°C) [14°C] of the final tempering temperature, or higher, additional tension tests must be obtained (6.1.3).

4.6 Machining:

4.6.1 *Preliminary Rough Machining*—All exterior surfaces of the forging shall be machined prior to heat treatment for mechanical properties.

4.6.2 *Second Rough Machining*—After heat treatment for mechanical properties, all surfaces of the forging shall be rough machined prior to stress relief and the stability test.

4.6.3 *Axial Bore:*

4.6.3.1 Forgings shall be bored to permissible bore size and tolerance when required by the purchaser's drawing.

4.6.3.2 Forgings may be bored to limits agreed to by the purchaser, or indicated on the purchaser's drawing, to remove objectionable center conditions revealed by ultrasonic examination.

4.6.3.3 Unless otherwise specified by the purchaser, the manufacturer may bore the forging at any time prior to stress relief (see Supplementary Requirement S2).

4.6.4 *Machining to Purchaser's Requirements for Shipment*—The forging as shipped shall conform to the finish and dimension requirements specified on the purchaser's drawing or order.

5. Chemical Composition

5.1 The steel shall conform to the requirements for chemical composition prescribed in [Table 1](#).

5.2 *Chemical Analysis:*

5.2.1 *Product Analysis*—The manufacturer shall make a product analysis from each forging. The sample location and product analysis tolerances shall conform to Specification [A788/A788M](#).

6. Mechanical Properties

6.1 *Tension Test:*

6.1.1 The steel shall conform to the tensile requirements of [Table 2](#).

6.1.2 The number and location of tension test specimens shall be as specified on the drawing furnished by the purchaser.

6.1.3 Final acceptance tests shall be made after heat treatment of the forging for mechanical properties prior to stress relief, unless the stress relief temperature is within 25°F [14°C] of the tempering temperature, or higher, in which case check tests shall be made after the stress relief treatment and reported to the purchaser. The purchaser may require check tests after completion of all heating cycles, including stress relief and the heat stability tests.

6.1.4 Testing shall be performed in accordance with the latest revision of Test Methods and Definitions [A370](#). Tension specimens shall be the standard 0.5-in. diameter by 2-in. gage length [or 12.5- by 50.0-mm type] as shown in Test Methods and Definitions [A370](#).

6.1.5 The yield strength prescribed in [Table 2](#) shall be determined by the offset method of Test Methods and Definitions [A370](#).

6.2 *Impact Test:*

6.2.1 The steel shall conform to the requirements for notch toughness (both transition temperature and room temperature impact values) prescribed in [Table 2](#). <https://standards.sist/e3136832-c291-4997-926e-c3b2e0d0d418/astm-a470-a470m-052015>

6.2.2 The impact specimens shall be machined from radial bars taken from the main body of the forging, as shown in the purchaser's drawing. The specimens shall be Charpy V-notch, as shown in Test Methods and Definitions [A370](#). The notch direction of the Charpy specimens shall be tangential.

6.3 The properties at the axial bore region may not necessarily be the same as those determined at the surface radial or axial prolongation regions. Slight variations in chemical homogeneity, different cooling rates, presence of non-metallics, and orientation of the test samples are some of the factors that can contribute to the difference. If axial bore properties are required, they can be obtained through Supplementary Requirement S2.

7. Dimensions, Tolerances, and Finish

7.1 The steel shall conform to the tensile requirements of [Table 2](#).

7.2 The finish on each forging shall conform to the finish specified on the purchaser's drawing or order.

8. Nondestructive Tests

8.1 *General Requirements:*

8.1.1 The forgings shall be free of cracks, seams, laps, shrinkage, and similar imperfections.

8.1.2 The purchaser may request ultrasonic, magnetic particle, dye penetrant, etch, or other accepted nondestructive examination necessary to evaluate imperfections and ensure compliance with this requirement.

8.2 *Ultrasonic Examination:*

8.2.1 An ultrasonic examination shall be made on the machined forging at the manufacturer's plant. This examination shall be made in accordance with ~~Test Method~~ [Practice A418/A418M](#) to demonstrate freedom from rejectable internal indications.

8.2.2 The ultrasonic examination shall be made from all available surfaces prior to removal of test specimens that would interfere with complete testing of the forging.

TABLE 2 Tensile and Notch Toughness Requirements

	Grade A		Grade B		Grade C		Grade D	Grade E
	Class 2	Class 3	Class 4	Class 5	Class 6	Class 7	Class 8	Class 9
Tensile strength, min, ksi [MPa]	80 [550]	90 [620]	105 [725]	90 to 110 [620–760]	105 to 125 [725–860]	120 to 135 [825–930]	105 to 125 [725–860]	95 [655]
Yield strength, min, ksi [MPa]	55 [380]	70 [485]	85 [585]	70 [485]	85 [585]	95 [655]	85 [585]	70 [485]
—0.2 % offset	60 [415]	75 [520]	90 [620]	75 [520]	90 [620]	100 [690]	90 [620]	75 [520]
—0.02 % offset	55 [380]	70 [485]	85 [585]	70 [485]	85 [585]	95 [655]	85 [585]	70 [485]
Elongation in 2 in. or 50 mm, min, %:								
—Longitudinal prolongation	22	20	17	20	18	18	17	20
—Radial body	20	17	16	18	17	17	14	15
Reduction of area, min, %:								
—Longitudinal prolongation	50	48	45	52	52	52	43	40
—Radial body	50	45	40	50	50	50	38	35
Transition temperature FATT ₅₀ max, °F [°C]	100 [38]	110 [43]	140 [60]	10 [–12]	20 [–7]	30 [–1]	250 [121]	175 [80]
Room temperature impact, min, ft-lbf [J]	28 [38]	25 [34]	20 [27]	50 [68]	45 [61]	40 [54]	6 [8]	12 [16]

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Yield strength, min, ksi [MPa]	55 [380]	70 [485]	85 [585]	70 [485]	85 [585]	95 [655]	85 [585]	70 [485]
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—0.02 % offset	55 [380]	70 [485]	85 [585]	70 [485]	85 [585]	95 [655]	85 [585]	70 [485]
Elongation in 2 in. or 50 mm, min, %:								
—Longitudinal prolongation	22	20	17	20	18	18	17	20
—Radial body	20	17	16	18	17	17	14	15
Reduction of area, min, %:								
—Longitudinal prolongation	50	48	45	52	52	52	43	40
—Radial body	50	45	40	50	50	50	38	35
Transition temperature FATT ₅₀ max, °F [°C]	100 [38]	110 [43]	140 [60]	10 [–12]	20 [–7]	30 [–1]	250 [121]	175 [80]
Room temperature impact, min, ft-lbf [J]	28 [38]	25 [34]	20 [27]	50 [68]	45 [61]	40 [54]	6 [8]	12 [16]

8.2.3 Forgings with recordable ultrasonic indications shall be referred to the purchaser for evaluation based on the nature, frequency, and location of indications, both stationary and traveling. If the ultrasonic indications are considered objectionable, it shall be determined by conventional or mutually acceptable examination procedures whether the forging will be rejected.

8.3 Internal Examination:

8.3.1 Axial bore, when specified for internal visual examination, shall be in accordance with the drawing furnished by the purchaser. The drawing shall specify the nominal dimensions of the bore.

8.3.2 If objectionable conditions are encountered during the internal examination of the bore, the manufacturer shall notify the purchaser of the location and nature of the condition. Further action shall be taken only after mutual agreement between the manufacturer and purchaser.