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Contents

Foreword

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1 Scope

The present document establishes the minimum RF characteristics and minimum performance requirements of E-UTRA, E-UTRA with NB-IoT or NB-IoT Base Station (BS).

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document.*

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] ITU-R Recommendation SM.329: "Unwanted emissions in the spurious domain".

[3] ITU-R Recommendation M.1545: "Measurement uncertainty as it applies to test limits for the terrestrial component of International Mobile Telecommunications-2000".

[4] 3GPP TS 36.141: "Evolved Universal Terrestrial Radio Access (E-UTRA); Base Station (BS) conformance testing".

[5] ITU-R recommendation SM.328: "Spectra and bandwidth of emissions".

[6] 3GPP TS 25.104: "Base Station (BS) radio transmission and reception (FDD)".

[7] 3GPP TS 25.105: "Base Station (BS) radio transmission and reception (TDD)".

[8] 3GPP TR 25.942: "RF system scenarios".

[9] 3GPP TR 36.942: "E-UTRA RF system scenarios".

[10] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical Channels and Modulation".

[11] 3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures".

[12] ECC/DEC/(09)03 “Harmonised conditions for MFCN in the band 790-862 MHz”, 30 Oct. 2009

[13] IEC 60721-3-3 (2002): "Classification of environmental conditions - Part 3: Classification of groups of environmental parameters and their severities - Section 3: Stationary use at weather protected locations".

[14] IEC 60721-3-4 (1995): "Classification of environmental conditions - Part 3: Classification of groups of environmental parameters and their severities - Section 4: Stationary use at non-weather protected locations".

[15] 3GPP TS 37.104: "E-UTRA, UTRA and GSM/EDGE; Multi-Standard Radio (MSR) Base Station (BS) radio transmission and reception ".

[16] CEPT ECC Decision (13)03, "The harmonised use of the frequency band 1452-1492 MHz for Mobile/Fixed Communications Networks Supplemental Downlink (MFCN SDL)".

[17] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical channels and modulation".

[18] 3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

Aggregated Channel Bandwidth: RF bandwidth in which a base station transmits and/or receives multiple contiguously aggregated carriers.

NOTE: The Aggregated Channel Bandwidth is measured in MHz.

Base station receive period: time during which the base station is receiving data subframes or UpPTS.

Base Station RF Bandwidth: RF bandwidth in which a base station transmits and/or receives single or multiple carrier(s) within a supported operating band.

NOTE: In single E-UTRA carrier operation, the Base Station RF Bandwidth is equal to the channel bandwidth.

Base Station RF Bandwidth edge: frequency of one of the edges of the Base Station RF Bandwidth.

Carrier: modulated waveform conveying the E-UTRA or UTRA physical channels

Carrier aggregation: aggregation of two or more component carriers in order to support wider transmission bandwidths

Carrier aggregation band: a set of one or more operating bands across which multiple carriers are aggregated with a specific set of technical requirements.

NOTE: Carrier aggregation band(s) for an E-UTRA BS is declared by the manufacturer according to the designations in Tables 5.5-2 to 5.5-4.

Channel bandwidth: RF bandwidth supporting a single E-UTRA RF carrier with the transmission bandwidth configured in the uplink or downlink of a cell.

NOTE: The channel bandwidth is measured in MHz and is used as a reference for transmitter and receiver RF requirements.

Channel edge: lowest or highest frequency of the E-UTRA carrier, separated by the channel bandwidth.

Contiguous carriers: set of two or more carriers configured in a spectrum block where there are no RF requirements based on co-existence for un-coordinated operation within the spectrum block.

Contiguous spectrum: spectrum consisting of a contiguous block of spectrum with no sub-block gap(s).

DL RS power: resource element power of Downlink Reference Symbol.

DL NRS power: resource element power of Downlink Narrowband Reference Signal.

Downlink operating band: part of the operating band designated for downlink.

Enhanced performance requirements type A: This defines performance requirements assuming baseline receiver as demodulation reference signal based linear minimum mean square error interference rejection combining.

Highest carrier: carrier with the highest carrier centre frequency transmitted/received in a specified operating band.

Inter RF Bandwidth gap: frequency gap between two consecutive Base Station RF Bandwidths that are placed within two supported operating bands.

Inter-band carrier aggregation: carrier aggregation of component carriers in different operating bands**.**

NOTE: Carriers aggregated in each band can be contiguous or non-contiguous.

Inter-band gap: The frequency gap between two supported consecutive operating bands.

Intra-band contiguous carrier aggregation: contiguous carriers aggregated in the same operating band.

Intra-band non-contiguous carrier aggregation: non-contiguous carriers aggregated in the same operating band.

Lower sub-block edge: frequency at the lower edge of one sub-block.

NOTE: It is used as a frequency reference point for both transmitter and receiver requirements.

Lowest carrier: carrier with the lowest carrier centre frequency transmitted/received in a specified operating band.

Maximum output power: mean power level per carrier of the base station measured at the antenna connector in a specified reference condition.

Maximum throughput: maximum achievable throughput for a reference measurement channel.

Mean power: power measured in the channel bandwidth of the carrier.

NOTE: The period of measurement shall be at least one subframe (1ms), unless otherwise stated.

Measurement bandwidth: RF bandwidth in which an emission level is specified.

Multi-band base station: base station characterized by the ability of its transmitter and/or receiver to process two or more carriers in common active RF components simultaneously, where at least one carrier is configured at a different operating band (which is not a sub-band or superseding-band of another supported operating band) than the other carrier(s).

Multi-band transmitter: transmitter characterized by the ability to process two or more carriers in common active RF components simultaneously, where at least one carrier is configured at a different operating band (which is not a sub-band or superseding-band of another supported operating band) than the other carrier(s).

Multi-band receiver: receiver characterized by the ability to process two or more carriers in common active RF components simultaneously, where at least one carrier is configured at a different operating band (which is not a sub-band or superseding-band of another supported operating band) than the other carrier(s).

Multi-carrier transmission configuration: set of one or more contiguous or non-contiguous carriers that a BS is able to transmit simultaneously according to the manufacturer's specification.

NB-IoT In-band operation: NB-IoT is operating in-band when it utilizes the resource block(s) within a normal E-UTRA carrier

NB-IoT guard band operation: NB-IoT is operating in guard band when it utilizes the unused resource block(s) within a E-UTRA carrier's guard-band.

NB-IoT standalone operation: NB-IoT is operating standalone when it utilizes its own spectrum, for example the spectrum currently being used by GERAN systems as a replacement of one or more GSM carriers, as well as scattered spectrum for potential IoT deployment.

Non-contiguous spectrum: spectrum consisting of two or more sub-blocks separated by sub-block gap(s).

Occupied bandwidth: width of a frequency band such that, below the lower and above the upper frequency limits, the mean powers emitted are each equal to a specified percentage β/2 of the total mean power of a given emission.

Operating band: frequency range in which E-UTRA operates (paired or unpaired), that is defined with a specific set of technical requirements**.**

NOTE: The operating band(s) for an E-UTRA BS is declared by the manufacturer according to the designations in table 5.5-1.

Output power: mean power of one carrier of the base station, delivered to a load with resistance equal to the nominal load impedance of the transmitter.

Radio Bandwidth: frequency difference between the upper edge of the highest used carrier and the lower edge of the lowest used carrier.

Rated output power: mean power level per carrier that the manufacturer has declared to be available at the antenna connector during the transmitter ON period.

RE power control dynamic range: difference between the power of a RE and the average RE power for a BS at maximum output power for a specified reference condition.

RRC filtered mean power: mean power of an UTRA carrier as measured through a root raised cosine filter with roll-off factor α and a bandwidth equal to the chip rate of the radio access mode.

NOTE 1: The RRC filtered mean power of a perfectly modulated UTRA signal is 0.246 dB lower than the mean power of the same signal.

Sub-band: A sub-band of an operating band contains a part of the uplink and downlink frequency range of the operating band.

Sub-block: one contiguous allocated block of spectrum for transmission and reception by the same base station.

NOTE: There may be multiple instances of sub-blocks within aBase Station RF Bandwidth.

Sub-block bandwidth: bandwidth of one sub-block.

Sub-block gap: frequency gap between two consecutive sub-blocks within a Bae Station RF Bandwidth, where the RF requirements in the gap are based on co-existence for un-coordinated operation.

Superseding-band: A superseding-band of an operating band includes the whole of the uplink and downlink frequency range of the operating band.

Synchronized operation: operation of TDD in two different systems, where no simultaneous uplink and downlink occur.

Throughput: number of payload bits successfully received per second for a reference measurement channel in a specified reference condition.

Total power dynamic range: difference between the maximum and the minimum transmit power of an OFDM symbol for a specified reference condition.

Transmission bandwidth: RF Bandwidth of an instantaneous transmission from a UE or BS, measured in resource block units.

Transmission bandwidth configuration: highest transmission bandwidth allowed for uplink or downlink in a given channel bandwidth, measured in resource block units.

Transmitter ON period: time period during which the BS transmitter is transmitting data and/or reference symbols, i.e. data subframes or DwPTS.

Transmitter OFF period: time period during which the BS transmitter is not allowed to transmit.

Transmitter transient period: time period during which the transmitter is changing from the OFF period to the ON period or vice versa.

Unsynchronized operation: operation of TDD in two different systems, where the conditions for synchronized operation are not met.

Uplink operating band: part of the operating band designated for uplink.

Upper sub-block edge: frequency at the upper edge of one sub-block.

NOTE: It is used as a frequency reference point for both transmitter and receiver requirements.

3.2 Symbols

For the purposes of the present document, the following symbols apply:

α	Roll-off factor
β	Percentage of the mean transmitted power emitted outside the occupied bandwidth on the assigned channel
BW	Bandwidth
$BW_{Channel}$	Channel bandwidth
$BW_{Channel\_CA}$	Aggregated Channel Bandwidth, expressed in MHz. $BW_{Channel\_CA} = F_{edge\_high} - F_{edge\_low}$.
$BW_{Channel,block}$	Sub-block bandwidth, expressed in MHz. $BW_{Channel,block} = F_{edge,block,high} - F_{edge,block,low}$.
BW_{Config}	Transmission bandwidth configuration, expressed in MHz, where $BW_{Config} = N_{RB}$ x 180 kHz in the uplink and BW_{Config} = 15 kHz + N_{RB} x 180 kHz in the downlink.
CA_X	Intra-band contiguous CA of component carriers in one sub-block within band X where X is the applicable E-UTRA operating band
CA_X-X	Intra-band non-contiguous CA of component carriers in two sub-blocks within band X where X is the applicable E-UTRA operating band
CA_X-Y	Inter-band CA of component carrier(s) in one sub-block within band X and component carrier(s) in one sub-block within Band Y where X and Y are the applicable E-UTRA operating bands
CA_X-X-Y	CA of component carriers in two sub-blocks within Band X and component carrier(s) in one sub-block within Band Y where X and Y are the applicable E-UTRA operating bands
f	Frequency
Δf	Separation between the channel edge frequency and the nominal -3dB point of the measuring filter closest to the carrier frequency
Δf_{max}	The largest value of Δf used for defining the requirement
F_C	Carrier centre frequency
$F_{C,block,\ high}$	Centre frequency of the highest transmitted/received carrier in a sub-block.
$F_{C,block,\ low}$	Centre frequency of the lowest transmitted/received carrier in a sub-block.
F_{C_low}	The carrier centre frequency of the lowest carrier, expressed in MHz.
F_{C_high}	The carrier centre frequency of the highest carrier, expressed in MHz.
F_{edge_low}	The lower edge of Aggregated Channel Bandwidth, expressed in MHz. $F_{edge\_low} = F_{C\_low} - F_{offset}$.
F_{edge_high}	The upper edge of Aggregated Channel Bandwidth, expressed in MHz. $F_{edge\_high} = F_{C\_high} + F_{offset}$.
$F_{edge,block,low}$	The lower sub-block edge, where $F_{edge,block,low} = F_{C,block,low} - F_{offset}$.
$F_{edge,block,high}$	The upper sub-block edge, where $F_{edge,block,high} = F_{C,block,high} + F_{offset}$.
F_{offset}	Frequency offset from F_{C_high} to the upper Base Station RF Bandwidth edge, or from $F_{C,block,\ high}$ to the upper sub-block edge, or F_{C_low} to the lower Base Station RF Bandwidth edge, or from $F_{C,block,\ low}$ to the lower sub-block edge.
F_{filter}	Filter centre frequency
f_offset	Separation between the channel edge frequency and the centre of the measuring filter
f_offset_{max}	The maximum value of f_offset used for defining the requirement
F_{DL_low}	The lowest frequency of the downlink operating band
F_{DL_high}	The highest frequency of the downlink operating band
F_{UL_low}	The lowest frequency of the uplink operating band
F_{UL_high}	The highest frequency of the uplink operating band
G_{ant}	Net antenna gain
M_{DL}	Offset of NB-IoT Downlink channel number to Downlink EARFCN
M_{UL}	Offset of NB-IoT Uplink channel number to Uplink EARFCN
N_{ant}	Number of transmitter antennas
N_{DL}	Downlink EARFCN
$N_{Offs-DL}$	Offset used for calculating downlink EARFCN
$N_{Offs-UL}$	Offset used for calculating uplink EARFCN
N_{CS}	Number of Cyclic shifts for preamble generation in PRACH
N_{RB}	Transmission bandwidth configuration, expressed in units of resource blocks
N_{UL}	Uplink EARFCN
P_{10MHz}	Maximum output Power within 10 MHz
$P_{EIRP,N}$	EIRP level for channel N
$P_{EIRP,N,MAX}$	Maximum EIRP level for channel N
$P_{EM,N}$	Declared emission level for channel N
$P_{EM,B32,ind}$	Declared emission level in Band 32, ind=a, b, c, d, e
$P_{max,c}$	Maximum carrier output power
Pout	Output power (per carrier)

$P_{rated,c}$	Rated output power (per carrier)
$P_{REFSENS}$	Reference Sensitivity power level
T_A	Timing advance command, as defined in [11]
T_s	Basic time unit, as defined in [10]
W_{gap}	Sub-block gap or Inter RF Bandwidth gap size

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

ACLR	Adjacent Channel Leakage Ratio
ACK	Acknowledgement (in HARQ protocols)
ACS	Adjacent Channel Selectivity
AWGN	Additive White Gaussian Noise
BS	Base Station
CA	Carrier Aggregation
CACLR	Cumulative ACLR
CP	Cyclic prefix
CRC	Cyclic Redundancy Check
CW	Continuous Wave
DC	Direct Current
DFT	Discrete Fourier Transformation
DIP	Dominant Interferer Proportion
DTT	Digital Terrestrial Television
DTX	Discontinuous Transmission
DwPTS	Downlink part of the special subframe (for TDD operation)
EARFCN	E-UTRA Absolute Radio Frequency Channel Number
EIRP	Effective Isotropic Radiated Power
EPA	Extended Pedestrian A model
ETU	Extended Typical Urban model
E-UTRA	Evolved UTRA
EVA	Extended Vehicular A model
EVM	Error Vector Magnitude
FDD	Frequency Division Duplex
FFT	Fast Fourier Transformation
FRC	Fixed Reference Channel
GP	Guard Period (for TDD operation)
GSM	Global System for Mobile communications
HARQ	Hybrid Automatic Repeat Request
ICS	In-Channel Selectivity
ITU-R	Radiocommunication Sector of the ITU
LA	Local Area
LNA	Low Noise Amplifier
MCS	Modulation and Coding Scheme
MR	Medium Range
NB-IoT	Narrowband – Internet of Things
NPDSCH	Narrowband Physical Downlink Shared Channel
NPUSCH	Narrowband Physical Uplink Shared Channel
NRS	Narrowband Refernce Signal
OFDM	Orthogonal Frequency Division Multiplex
OOB	Out-of-band
PA	Power Amplifier
PBCH	Physical Broadcast Channel
PDCCH	Physical Downlink Control Channel
PDSCH	Physical Downlink Shared Channel
PUSCH	Physical Uplink Shared Channel
PUCCH	Physical Uplink Control Channel
PRACH	Physical Random Access Channel