

ETSI TS 138 211 V18.9.0 (2026-04)



TECHNICAL SPECIFICATION

**5G;
NR;**

**Physical channels and modulation
(3GPP TS 38.211 version 18.9.0 Release 18)**

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Reference

RTS/TSGR-0138211vi90

Keywords

5G

ETSI

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1 Scope

The present document describes the physical channels and signals for 5G-NR.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 38.201: "NR; Physical Layer – General Description"
- [3] 3GPP TS 38.202: "NR; Services provided by the physical layer"
- [4] 3GPP TS 38.212: "NR; Multiplexing and channel coding"
- [5] 3GPP TS 38.213: "NR; Physical layer procedures for control "
- [6] 3GPP TS 38.214: "NR; Physical layer procedures for data "
- [7] 3GPP TS 38.215: "NR; Physical layer measurements"
- [8] 3GPP TS 38.104: "NR; Base Station (BS) radio transmission and reception"
- [9] void
- [10] 3GPP TS 38.306: "NR; User Equipment (UE) radio access capabilities"
- [11] 3GPP TS 38.321: "NR; Medium Access Control (MAC) protocol specification"
- [12] 3GPP TS 38.133: "NR; Requirements for support of radio resource management"
- [13] 3GPP TS 38.304: "NR; User Equipment (UE) procedures in Idle mode and RRC Inactive state"
- [14] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone"
- [15] 3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone"
- [16] 3GPP TS 38.101-5: "NR; User Equipment (UE) radio transmission and reception; Part 5: Satellite access Radio Frequency (RF) and performance requirements"
- [17] 3GPP TS 38.108: "Satellite Access Node radio transmission and reception"

3 Definitions of terms, symbols and abbreviations

3.1 Terms

For the purposes of the present document, the following definitions apply:

3.2 Symbols

For the purposes of the present document, the following symbols apply:

$(k,l)_{p,\mu}$	Resource element with frequency-domain index k and time-domain index l for antenna port p and subcarrier spacing configuration μ ; see clause 4.4.3
$a_{k,l}^{(p,\mu)}$	Value of resource element (k,l) for antenna port p and subcarrier spacing configuration μ ; see clause 4.4.3
β	Amplitude scaling for a physical channel/signal
$c(n)$	PN sequence; see clause 5.2.1
Δf	Subcarrier spacing
Δf_{RA}^c	Subcarrier spacing for random-access preambles
κ	The ratio between T_s and T_c ; see clause 4.1
k	Subcarrier index relative to a reference
l	OFDM symbol index relative to a reference
μ	Subcarrier spacing configuration, $\Delta f = 2^\mu \cdot 15$ [kHz]
$M_{\text{bit}}^{(q)}$	Number of coded bits to transmit on a physical channel [for codeword q]
$M_{\text{symb}}^{(q)}$	Number of modulation symbols to transmit on a physical channel [for codeword q]
$M_{\text{symb}}^{\text{layer}}$	Number of modulation symbols to transmit per layer for a physical channel
$M_{\text{sc}}^{\text{PUSCH}}$	Scheduled bandwidth for uplink transmission, expressed as a number of subcarriers
$M_{\text{RB}}^{\text{PUSCH}}$	Scheduled bandwidth for uplink transmission, expressed as a number of resource blocks
$M_{\text{symb}}^{\text{ap}}$	Number of modulation symbols to transmit per antenna port for a physical channel
ν	Number of transmission layers
$N_{\text{BWP},i}^{\text{size}}$	Size of bandwidth part i ; see clause 4.4.4.4
$N_{\text{BWP},i}^{\text{start}}$	Start of bandwidth part i ; see clause 4.4.4.4
$N_{\text{CP},l}^\mu$	Cyclic prefix length; see clause 5.3.1
$N_{\text{grid},x}^{\text{size},\mu}$	The size of the resource grid; see clauses 4.4.2 and 5.3
$N_{\text{grid},x}^{\text{start},\mu}$	The start of the resource grid; see clause 4.4.2
$N_{\text{group}}^{\text{PT-RS}}$	The number of PT-RS groups; see clause 6.3.1.4
$N_{\text{ID}}^{\text{cell}}$	Physical layer cell identity; see clause 7.4.2.1
$N_{\text{ID}}^{\text{SL}}$	Physical-layer sidelink identity; see clause 8.4.2.1
$N_{\text{RB}}^{\text{CORESET}}$	Frequency-domain size of a control resource set; see clause 7.3.2.2
$N_{\text{REG}}^{\text{CORESET}}$	Number of resource-element groups in a CORESET; see clause 7.3.2.2
$N_{\text{samp}}^{\text{group}}$	Number of samples per PT-RS group; see clause 6.3.1.4
$N_{\text{sc}}^{\text{RB}}$	Number of subcarriers per resource block, see clause 4.4.4.1
$N_{\text{slot}}^{\text{subframe},\mu}$	Number of slots per subframe for subcarrier spacing configuration μ , see clause 4.3.2
$N_{\text{slot}}^{\text{frame},\mu}$	Number of slots per frame for subcarrier spacing configuration μ , see clause 4.3.2
$N_{\text{symb}}^{\text{CORESET}}$	Time duration of a control resource set; see clause 7.3.2.2
$N_{\text{symb}}^{\text{PUCCH}}$	Length of the PUCCH transmission in OFDM symbols; see clause 6.3.2.1
$N_{\text{symb}}^{\text{subframe},\mu}$	Number of OFDM symbols per subframe for subcarrier spacing configuration μ ; see clause 4.3.1
$N_{\text{symb}}^{\text{slot}}$	Number of symbols per slot
N_{TA}	Timing advance between downlink and uplink; see clause 4.3.1
$N_{\text{TA,offset}}$	A fixed offset used to calculate the timing advance; see clause 4.3.1
$N_{\text{TA,adj}}^{\text{common}}$	Network-controlled timing correction; see clause 4.3.1
$N_{\text{TA,adj}}^{\text{UE}}$	UE-derived timing correction; see clause 4.3.1
$N_{\text{Rx-Tx}}$	Minimum time from reception to transmission for a half-duplex UE; see clause 4.3.2
n_f	System frame number (SFN)
n_{CRB}^μ	Common resource block number for subcarrier spacing configuration μ , see clause 4.4.4.3
n_{HFN}	Hyper-frame number
n_{PRB}	Physical resource block number; see clause 4.4.4.4
n_{RNTI}	Radio network temporary identifier
n_s^μ	Slot number within a subframe for subcarrier spacing configuration μ ; see clause 4.3.2
$n_{s,f}^\mu$	Slot number within a frame for subcarrier spacing configuration μ ; see clause 4.3.2
p	Antenna port number
Q_m	Modulation order

ρ	Number of antenna ports
$\bar{r}_{u,v}(n)$	Low-PAPR base sequence; see clause 5.2.2
$r_{u,v}^{(\alpha,\delta)}(n)$	Low-PAPR sequence; see clause 5.2.2
$s_l^{(p,\mu)}(t)$	The time-continuous signal on antenna port p and subcarrier spacing configuration μ for OFDM symbol l in a subframe; see clause 5.3.1
T_c	Basic time unit for NR; see clause 4.1
T_f	Radio frame duration; see clause 4.3.1
T_s	Basic time unit for LTE
T_{sf}	Subframe duration; see clause 4.3.1
T_{slot}	Slot duration; see clause 4.3.2
T_{TA}	Timing advance between downlink and uplink; see clause 4.3.1
W	Precoding matrix for spatial multiplexing

3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

BWP	Bandwidth Part
CCE	Control Channel Element
CORESET	Control Resource Set
CRB	Common Resource Block
CSI	Channel-State Information
CSI-RS	CSI Reference Signal
DCI	Downlink Control Information
DM-RS	Demodulation Reference Signal
FR1	Frequency Range 1 as defined in TS 38.104 [8]
FR2	Frequency Range 2 as defined in TS 38.104 [8]
FR2-NTN	Frequency Range 2 for Non-terrestrial networks as defined in TS 38.101-5 [16]
IAB	Integrated Access and Backhaul
IAB-MT	IAB Mobile Termination
IE	Information Element
NCR	Network-Controlled repeater
NCR-MT	NCR Mobile Termination
PBCH	Physical Broadcast Channel
PDCCH	Physical Downlink Control Channel
PDSCH	Physical Downlink Shared Channel
PRACH	Physical Random-Access Channel
PRB	Physical Resource Block
PSS	Primary Synchronization Signal
PT-RS	Phase-tracking reference signal
PUCCH	Physical Uplink Control Channel
PUSCH	Physical Uplink Shared Channel
RAR	Random Access Response
REG	Resource-Element Group
RIM	Remote Interference Management
RIM-RS	Remote Interference Management Reference Signal
SRS	Sounding Reference Signal
SSS	Secondary Synchronization Signal
VRB	Virtual Resource Block

4 Frame structure and physical resources

4.1 General

Throughout this specification, unless otherwise noted, the size of various fields in the time domain is expressed in time units $T_c = 1/(\Delta f_{\max} \cdot N_f)$ where $\Delta f_{\max} = 480 \cdot 10^3$ Hz and $N_f = 4096$. The constant $\kappa = T_s/T_c = 64$ where $T_s = 1/(\Delta f_{\text{ref}} \cdot N_{f,\text{ref}})$, $\Delta f_{\text{ref}} = 15 \cdot 10^3$ Hz and $N_{f,\text{ref}} = 2048$.

Throughout this specification, unless otherwise noted, statements using the term "UE" in clauses 4, 5, 6, or 7 are equally applicable to the IAB-MT part of an IAB-node and the NCR-MT part of an NCR node.

4.2 Numerologies

Multiple OFDM numerologies are supported as given by Table 4.2-1 where μ and the cyclic prefix for a downlink or uplink bandwidth part are obtained from the higher-layer parameters *subcarrierSpacing* and *cyclicPrefix*, respectively.

Table 4.2-1: Supported transmission numerologies.

μ	$\Delta f = 2^\mu \cdot 15$ [kHz]	Cyclic prefix
0	15	Normal
1	30	Normal
2	60	Normal, Extended
3	120	Normal
4	240	Normal
5	480	Normal
6	960	Normal

4.3 Frame structure

4.3.1 Frames and subframes

Downlink, uplink, and sidelink transmissions are organized into frames with $T_f = (\Delta f_{\max} N_f / 100) \cdot T_c = 10$ ms duration, each consisting of ten subframes of $T_{\text{sf}} = (\Delta f_{\max} N_f / 1000) \cdot T_c = 1$ ms duration. The number of consecutive OFDM symbols per subframe is $N_{\text{subframe},\mu}^{\text{subframe},\mu} = N_{\text{slot}}^{\text{slot}} N_{\text{subframe},\mu}^{\text{subframe},\mu}$. Each frame is divided into two equally-sized half-frames of five subframes each with half-frame 0 consisting of subframes 0 – 4 and half-frame 1 consisting of subframes 5 – 9.

There is one set of frames in the uplink and one set of frames in the downlink on a carrier.

Uplink frame number i for transmission from the UE shall start $T_{\text{TA}} = (N_{\text{TA}} + N_{\text{TA,offset}} + N_{\text{TA,adj}}^{\text{common}} + N_{\text{TA,adj}}^{\text{UE}}) T_c$ before the start of the corresponding downlink frame at the UE where

- N_{TA} and $N_{\text{TA,offset}}$ are given by clause 4.2 of [5, TS 38.213], except for msgA transmission on PUSCH where $N_{\text{TA}} = 0$ shall be used;
- $N_{\text{TA,adj}}^{\text{common}}$ given by clause 4.2 of [5, TS 38.213] is derived from the higher-layer parameters *ta-Common*, *ta-CommonDrift*, and *ta-CommonDriftVariant* if configured, otherwise $N_{\text{TA,adj}}^{\text{common}} = 0$;
- $N_{\text{TA,adj}}^{\text{UE}}$ given by clause 4.2 of [5, TS 38.213] is computed by the UE based on UE position and serving-satellite-ephemeris-related higher-layers parameters if configured, or is computed by the UE based on UE position and gNB location provided by *atg-gNB-Location* if configured, otherwise $N_{\text{TA,adj}}^{\text{UE}} = 0$.

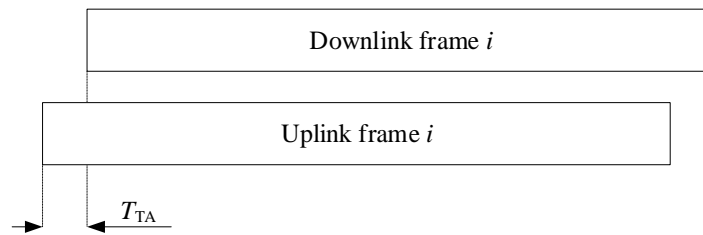


Figure 4.3.1-1: Uplink-downlink timing relation.

4.3.2 Slots

For subcarrier spacing configuration μ , slots are numbered $n_s^\mu \in \{0, \dots, N_{\text{slot}}^{\text{subframe}, \mu} - 1\}$ in increasing order within a subframe and $n_{s,f}^\mu \in \{0, \dots, N_{\text{slot}}^{\text{frame}, \mu} - 1\}$ in increasing order within a frame. There are $N_{\text{symbol}}^{\text{slot}}$ consecutive OFDM symbols in a slot where $N_{\text{symbol}}^{\text{slot}}$ depends on the cyclic prefix as given by Tables 4.3.2-1 and 4.3.2-2. The start of slot n_s^μ in a subframe is aligned in time with the start of OFDM symbol $n_s^\mu N_{\text{symbol}}^{\text{slot}}$ in the same subframe.

OFDM symbols in a slot in a downlink or uplink frame can be classified as 'downlink', 'flexible', or 'uplink'. Signaling of slot formats is described in clause 11.1 of [5, TS 38.213].

In a slot in a downlink frame, the UE shall assume that downlink transmissions only occur in 'downlink' or 'flexible' symbols.

In a slot in an uplink frame, the UE shall only transmit in 'uplink' or 'flexible' symbols.

A UE not capable of full-duplex communication and not supporting simultaneous transmission and reception as defined by parameter *simultaneousRxTxInterBandENDC*, *simultaneousRxTxInterBandCA* or *simultaneousRxTxSUL* [10, TS 38.306] among all cells within a group of cells is not expected to transmit in the uplink in one cell within the group of cells earlier than $N_{\text{Rx-Tx}} T_c$ after the end of the last received downlink symbol in the same or different cell within the group of cells where $N_{\text{Rx-Tx}}$ is given by Table 4.3.2-3.

A UE not capable of full-duplex communication and not supporting simultaneous transmission and reception as defined by parameter *simultaneousRxTxInterBandENDC*, *simultaneousRxTxInterBandCA* or *simultaneousRxTxSUL* [10, TS 38.306] among all cells within a group of cells is not expected to receive in the downlink in one cell within the group of cells earlier than $N_{\text{Tx-Rx}} T_c$ after the end of the last transmitted uplink symbol in the same or different cell within the group of cells where $N_{\text{Tx-Rx}}$ is given by Table 4.3.2-3.

For DAPS handover operation, a UE not capable of full-duplex communication is not expected to transmit in the uplink to a cell earlier than $N_{\text{Rx-Tx}} T_c$ after the end of the last received downlink symbol in the different cell where $N_{\text{Rx-Tx}}$ is given by Table 4.3.2-3.

For DAPS handover operation, a UE not capable of full-duplex communication is not expected to receive in the downlink from a cell earlier than $N_{\text{Tx-Rx}} T_c$ after the end of the last transmitted uplink symbol in the different cell where $N_{\text{Tx-Rx}}$ is given by Table 4.3.2-3.

A UE not capable of full-duplex communication is not expected to transmit in the uplink earlier than $N_{\text{Rx-Tx}} T_c$ after the end of the last received downlink symbol in the same cell where $N_{\text{Rx-Tx}}$ is given by Table 4.3.2-3.

A UE not capable of full-duplex communication is not expected to receive in the downlink earlier than $N_{\text{Tx-Rx}} T_c$ after the end of the last transmitted uplink symbol in the same cell where $N_{\text{Tx-Rx}}$ is given by Table 4.3.2-3.

Table 4.3.2-1: Number of OFDM symbols per slot, slots per frame, and slots per subframe for normal cyclic prefix.

μ	$N_{\text{symb}}^{\text{slot}}$	$N_{\text{slot}}^{\text{frame},\mu}$	$N_{\text{slot}}^{\text{subframe},\mu}$
0	14	10	1
1	14	20	2
2	14	40	4
3	14	80	8
4	14	160	16
5	14	320	32
6	14	640	64

Table 4.3.2-2: Number of OFDM symbols per slot, slots per frame, and slots per subframe for extended cyclic prefix.

μ	$N_{\text{symb}}^{\text{slot}}$	$N_{\text{slot}}^{\text{frame},\mu}$	$N_{\text{slot}}^{\text{subframe},\mu}$
2	12	40	4

Table 4.3.2-3: Transition time $N_{\text{Rx-Tx}}$ and $N_{\text{Tx-Rx}}$

Transition time	FR1	FR2
$N_{\text{Tx-Rx}}$	25600	13792
$N_{\text{Rx-Tx}}$	25600	13792

4.4 Physical resources

4.4.1 Antenna ports

An antenna port is defined such that the channel over which a symbol on the antenna port is conveyed can be inferred from the channel over which another symbol on the same antenna port is conveyed.

Two antenna ports are said to be quasi co-located if the large-scale properties of the channel over which a symbol on one antenna port is conveyed can be inferred from the channel over which a symbol on the other antenna port is conveyed. The large-scale properties include one or more of delay spread, Doppler spread, Doppler shift, average gain, average delay, and spatial Rx parameters.

4.4.2 Resource grid

For each numerology and carrier, a resource grid of $N_{\text{grid},x}^{\text{size},\mu} N_{\text{sc}}^{\text{RB}}$ subcarriers and $N_{\text{symb}}^{\text{subframe},\mu}$ OFDM symbols is defined, starting at common resource block $N_{\text{grid}}^{\text{start},\mu}$ indicated by higher-layer signalling. There is one set of resource grids per transmission direction (uplink, downlink, or sidelink) with the subscript x set to DL, UL, and SL for downlink, uplink, and sidelink, respectively. When there is no risk for confusion, the subscript x may be dropped. There is one resource grid for a given antenna port p , subcarrier spacing configuration μ , and transmission direction (downlink, uplink, or sidelink).

For uplink and downlink, the carrier bandwidth $N_{\text{grid}}^{\text{size},\mu}$ for subcarrier spacing configuration μ is given by the higher-layer parameter *carrierBandwidth* in the *SCS-SpecificCarrier* IE. The starting position $N_{\text{grid}}^{\text{start},\mu}$ for subcarrier spacing configuration μ is given by the higher-layer parameter *offsetToCarrier* in the *SCS-SpecificCarrier* IE.

The frequency location of a subcarrier refers to the center frequency of that subcarrier.

For the downlink, the higher-layer parameter *txDirectCurrentLocation* in the *SCS-SpecificCarrier* IE indicates the location of the transmitter DC subcarrier in the downlink for each of the numerologies configured in the downlink. Values in the range 0 – 3299 represent the number of the DC subcarrier and the value 3300 indicates that the DC subcarrier is located outside the resource grid.