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**Cardiovascular implants and  
extracorporeal systems — Cardiac  
valve repair devices**

*Implants cardiovasculaires et circuits extra-corporels — Dispositifs de  
réparation de valves cardiaques*

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# Contents

Page

Foreword.....	v
Introduction.....	vi
<b>1 Scope.....</b>	<b>1</b>
<b>2 Normative references.....</b>	<b>1</b>
<b>3 Terms and definitions.....</b>	<b>2</b>
<b>4 Abbreviations.....</b>	<b>11</b>
<b>5 Fundamental requirements.....</b>	<b>12</b>
5.1 General.....	12
5.2 Risk management.....	12
<b>6 Device description.....</b>	<b>13</b>
6.1 Intended use/Indication for use.....	13
6.2 Design inputs.....	13
6.2.1 General.....	13
6.2.2 Operational specifications.....	13
6.2.3 Functional, performance and safety requirements.....	14
6.2.4 Usability.....	15
6.2.5 Packaging, labelling, and sterilization.....	16
6.3 Design outputs.....	16
6.4 Design transfer (manufacturing verification/validation).....	16
<b>7 Design verification testing and analysis / Design validation.....</b>	<b>16</b>
7.1 General requirements.....	16
7.2 <i>In vitro</i> assessment.....	16
7.2.1 General.....	16
7.2.2 Test articles, sample selection, test conditions and reporting requirements.....	17
7.2.3 Device material property assessment.....	18
7.2.4 Functional performance assessment.....	19
7.2.5 Device structural performance assessment.....	19
7.2.6 Device corrosion assessment.....	20
7.2.7 Delivery system design evaluation requirements.....	20
7.2.8 Visibility.....	20
7.2.9 Simulated use assessment.....	20
7.2.10 Human factors / Usability assessment.....	21
7.2.11 Device MRI safety.....	21
7.2.12 Design specific testing.....	21
7.3 Preclinical <i>in vivo</i> evaluation.....	21
7.3.1 General.....	21
7.3.2 Overall requirements.....	21
7.3.3 Methods.....	23
7.3.4 Test report.....	24
7.4 Clinical Investigations.....	24
7.4.1 General.....	24
7.4.2 Study considerations.....	25
7.4.3 Study endpoints.....	28
7.4.4 Ethical considerations.....	28
7.4.5 Distribution of subjects and investigators.....	28
7.4.6 Statistical considerations including sample size and duration.....	29
7.4.7 Patient selection criteria.....	31
7.4.8 Clinical data requirements.....	31
7.4.9 Clinical investigation analysis and reporting.....	34
7.4.10 Post-market clinical follow-up.....	34
<b>Annex A (informative) Rationale for the provisions of this document.....</b>	<b>36</b>

<b>Annex B</b> (informative) <b>Examples of heart valve repair devices and delivery systems</b> .....	<b>39</b>
<b>Annex C</b> (normative) <b>Packaging</b> .....	<b>45</b>
<b>Annex D</b> (normative) <b>Product labels, instructions for use, and training</b> .....	<b>46</b>
<b>Annex E</b> (normative) <b>Sterilization</b> .....	<b>49</b>
<b>Annex F</b> (informative) <b>Heart valve repair system characteristics</b> .....	<b>50</b>
<b>Annex G</b> (informative) <b>Heart valve repair system hazards, associated failure modes and evaluation methods</b> .....	<b>52</b>
<b>Annex H</b> (informative) <b><i>In vitro</i> test guidelines for paediatric devices</b> .....	<b>63</b>
<b>Annex I</b> (informative) <b>Examples and definitions of some physical and material properties of heart valve repair device components</b> .....	<b>65</b>
<b>Annex J</b> (informative) <b>Examples of standards applicable to testing of materials and components of heart valve repair devices</b> .....	<b>79</b>
<b>Annex K</b> (informative) <b>Considerations for device material properties undergoing alterations post implantation</b> .....	<b>85</b>
<b>Annex L</b> (informative) <b>Corrosion assessment</b> .....	<b>86</b>
<b>Annex M</b> (informative) <b>Guidelines for <i>in vitro</i> evaluation of functional performance of the repair</b> .....	<b>89</b>
<b>Annex N</b> (informative) <b>Durability testing</b> .....	<b>95</b>
<b>Annex O</b> (informative) <b>Fatigue assessment</b> .....	<b>97</b>
<b>Annex P</b> (informative) <b>Preclinical <i>in vivo</i> evaluation</b> .....	<b>103</b>
<b>Annex Q</b> (normative) <b>Adverse event classification during clinical investigation</b> .....	<b>106</b>
<b>Annex R</b> (informative) <b>Imaging protocol</b> .....	<b>112</b>
<b>Annex S</b> (informative) <b>Clinical investigation endpoints for valve repair devices: Suggestions for endpoints and their timing</b> .....	<b>116</b>
<b>Annex T</b> (informative) <b>Additional device design evaluation requirements</b> .....	<b>120</b>
<b>Annex U</b> (informative) <b>Guidelines for delivery system design evaluation</b> .....	<b>122</b>
<b>Bibliography</b> .....	<b>124</b>

## Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see [www.iso.org/directives](http://www.iso.org/directives)).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see [www.iso.org/patents](http://www.iso.org/patents)).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see the following URL: [www.iso.org/iso/foreword.html](http://www.iso.org/iso/foreword.html).

This document was prepared by ISO/TC 150, *Implants for surgery*, Subcommittee SC 2, *Cardiovascular implants and extracorporeal systems*.

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## Introduction

No heart valve repair device is ideal. Therefore, a group of engineers, scientists, and clinicians, experts well aware of the problems associated with heart valve repair devices and their development, has prepared this document. This document specifies types of tests, test methods, and requirements for test apparatus. It requires documentation of test methods and results. This document deals with those areas that will ensure adequate mitigation of device-associated risks for patients and other users of the device, facilitate quality assurance, aid the heart team in choosing a heart valve repair device, and ensure that the device will be provided in a convenient and usable form. This document emphasizes the need to specify and report types of *in vitro* testing, preclinical *in vivo* and clinical evaluations. It describes the labels and packaging of the device. Such a process involving *in vitro*, preclinical *in vivo* and clinical evaluations is intended to clarify the requirements prior to market release and to enable prompt identification and management of any subsequent problems.

With regard to *in vitro* testing and reporting, apart from basic material testing for mechanical, physical, chemical and biocompatibility characteristics, this document also covers important functional and durability characteristics of heart valve repair devices and their accessories. This document does not specify exact test methods for functional and durability testing but it offers guidelines for the test apparatus.

This document should be revised, updated, and amended as knowledge and techniques in heart valve repair device technology improve.

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# Cardiovascular implants and extracorporeal systems — Cardiac valve repair devices

## 1 Scope

**1.1** This document applies to all heart valve repair systems that have an intended use to repair and/or improve the function of native human heart valves by acting either on the valve apparatus or on the adjacent anatomy (e.g. ventricle, coronary sinus).

**1.2** This document outlines an approach for verifying/validating the design and manufacture of a heart valve repair system through risk management. The selection of appropriate verification/validation tests and methods are derived from the risk assessment. The tests include assessments of the physical, chemical, biological, and mechanical properties of components and materials of heart valve repair systems. The tests also include preclinical *in vivo* evaluation and clinical investigation of the finished heart valve repair system to assess the safety and effectiveness of the heart valve repair system.

NOTE For the purposes of this document, effectiveness endpoint includes clinical performance and benefits.

**1.3** This document defines operational conditions and performance requirements for heart valve repair systems where adequate scientific and/or clinical evidence exists for their justification.

**1.4** This document excludes Cardiac Resynchronization Therapy (CRT) devices, paravalvular leakage closure devices, systems that do not leave an implant in place (e.g. ablation, radio frequency annuloplasty), apical conduits and devices with components containing viable cells. This Standard also excludes materials not intended for repairing and/or improving the function of human heart valves according to its intended use (e.g. patch material and sutures used in general surgical practice).

NOTE A rationale for the provisions of this document is given in [Annex A](#).

## 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 10993-1, *Biological evaluation of medical devices — Part 1: Evaluation and testing within a risk management process*

ISO 10993-2, *Biological evaluation of medical devices — Part 2: Animal welfare requirements*

ISO 11135, *Sterilization of health-care products — Ethylene oxide — Requirements for the development, validation and routine control of a sterilization process for medical devices*

ISO 11137-1, *Sterilization of health care products — Radiation — Part 1: Requirements for development, validation and routine control of a sterilization process for medical devices*

ISO 11137-2, *Sterilization of health care products — Radiation — Part 2: Establishing the sterilization dose*

ISO 11137-3, *Sterilization of health care products — Radiation — Part 3: Guidance on dosimetric aspects of development, validation and routine control*

ISO 11607-1, *Packaging for terminally sterilized medical devices — Part 1: Requirements for materials, sterile barrier systems and packaging systems*