



Technical Report

ISO/IEC TR 42106

Information technology — Artificial intelligence (AI) — Overview of differentiated benchmarking of AI system quality characteristics

*Technologies de l'information — Intelligence artificielle (IA) —
Aperçu de l'analyse comparative différenciée des caractéristiques
de qualité des systèmes d'IA*

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Foreword

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This document was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 42, *Artificial intelligence*.

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Introduction

Artificial intelligence (AI) systems are diverse in nature and heterogeneous in terms of their potential effect on consumers and third-parties. For example, a company's use of disposable income estimates using a linear regression model to serve ads targeted at different socio-economic profiles reflects a system with low complexity and potential impact. Conversely, a bank's use of disposable income estimates using a large neural network model to make housing loan decisions reflects a system with both high complexity and high impact.

Benchmarking is often used to compare quality characteristics of software systems against reference or target values. Given the diverse nature and heterogeneous impact of AI systems, such reference or target values can differ widely across systems and across deployments of similar systems across contexts of use. To accommodate this diversity and heterogeneity, differentiated benchmarking can target different quality characteristics at different reference values. This document reviews AI management frameworks for their ability to offer guidance for differentiated benchmarking of AI system quality characteristics.

By evaluating frameworks for specifying differing levels of benchmarks and benchmarking, commensurate with expected social impact of AI systems, this document identifies gaps in current frameworks that, when filled, can yield guidance for differentiated benchmarking of AI systems, which can help rationalize the standardization implementation effort of AI providers, while maintaining system trustworthiness for AI customers and AI partners.

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Information technology — Artificial intelligence (AI) — Overview of differentiated benchmarking of AI system quality characteristics

1 Scope

This document provides an overview of conceptual frameworks for graded benchmarking of artificial intelligence (AI) system quality characteristics. The aim is to examine the feasibility of using differentiated benchmarking of quality characteristics based on the complexity and context of use of an AI system.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 22989, *Information technology — Artificial intelligence — Artificial intelligence concepts and terminology*

ISO/IEC 23053, *Framework for Artificial Intelligence (AI) Systems Using Machine Learning (ML)*

ISO/IEC 25059, *Software engineering — Systems and software Quality Requirements and Evaluation (SQuaRE) — Quality model for AI systems*

3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 22989, ISO/IEC 23053, ISO/IEC 25059 and the following apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <https://www.iso.org/obp>
- IEC Electropedia: available at <https://www.electropedia.org/>

3.1 benchmark

reference point against which comparisons can be made

Note 1 to entry: For AI system benchmarking, an AI system quality characteristic (ISO/IEC 25059 [\[1\]](#)) is the object of comparison.

[SOURCE: ISO/IEC 29155-1:2017 [\[2\]](#), 3.2, modified — Note 1 to entry has been replaced.]

3.2 benchmarking

activity of comparing objects of interest to each other or against a *benchmark* ([3.1](#)) to evaluate (a) characteristic(s)

Note 1 to entry: For AI system benchmarking, the object of interest is an AI system quality characteristic (ISO/IEC 25059 [\[1\]](#)).

[SOURCE: ISO/IEC 29155-1:2017 [\[2\]](#), 3.3, modified — Note 1 to entry has been replaced.]