



# Technical Specification

**ISO/TS 23406**

## **Nuclear sector — Requirements for bodies providing audit and certification of quality management systems for organizations supplying products and services important to nuclear safety (ITNS)**

*Secteur nucléaire — Exigences pour les organismes procédant  
à l'audit et à la certification des systèmes de management de  
la qualité d'organisations fournissant des produits et services  
importants pour la sûreté nucléaire (IPSN)*

[ISO/TS 23406:2024](https://standards.iteh.ai/standards/iso/cc390b2b-9c27-46b2-b4d8-81ea8c711d97/iso-ts-23406-2024)

**Second edition  
2024-03**

**Corrected version  
2025-07**

<https://standards.iteh.ai/catalog/standards/iso/cc390b2b-9c27-46b2-b4d8-81ea8c711d97/iso-ts-23406-2024>

**iTeh Standards**  
**(<https://standards.iteh.ai>)**  
**Document Preview**

[ISO/TS 23406:2024](https://standards.iteh.ai/catalog/standards/iso/cc390b2b-9c27-46b2-b4d8-81ea8c711d97/iso-ts-23406-2024)

<https://standards.iteh.ai/catalog/standards/iso/cc390b2b-9c27-46b2-b4d8-81ea8c711d97/iso-ts-23406-2024>



**COPYRIGHT PROTECTED DOCUMENT**

© ISO 2024

All rights reserved. Unless otherwise specified, or required in the context of its implementation, no part of this publication may be reproduced or utilized otherwise in any form or by any means, electronic or mechanical, including photocopying, or posting on the internet or an intranet, without prior written permission. Permission can be requested from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office  
CP 401 • Ch. de Blandonnet 8  
CH-1214 Vernier, Geneva  
Phone: +41 22 749 01 11  
Email: [copyright@iso.org](mailto:copyright@iso.org)  
Website: [www.iso.org](http://www.iso.org)

Published in Switzerland

# Contents

	Page
<b>Foreword</b> .....	<b>v</b>
<b>Introduction</b> .....	<b>vi</b>
<b>1 Scope</b> .....	<b>1</b>
<b>2 Normative references</b> .....	<b>1</b>
<b>3 Terms and definitions</b> .....	<b>1</b>
<b>4 Principles</b> .....	<b>1</b>
<b>5 General requirements</b> .....	<b>1</b>
5.1 Legal and contractual matters.....	1
5.2 Management of impartiality.....	2
5.3 Liability and financing.....	2
<b>6 Structural requirements</b> .....	<b>2</b>
6.1 Organizational structure and top management.....	2
6.2 Operational control.....	2
<b>7 Resource requirements</b> .....	<b>2</b>
7.1 Competence of personnel.....	2
7.1.1 General considerations.....	2
7.1.2 Determination of competence criteria.....	2
7.1.3 Evaluation processes.....	2
7.1.4 Other considerations.....	3
7.2 Personnel involved in the certification activities.....	3
7.3 Use of individual external auditors and external technical experts.....	3
7.4 Personnel records.....	3
7.5 Outsourcing.....	3
<b>8 Information requirements</b> .....	<b>3</b>
8.1 Public information.....	3
8.2 Certification documents.....	4
8.3 Reference to certification and marks.....	4
8.4 Confidentiality.....	4
8.5 Information exchange between a certification body and its client.....	4
<b>9 Process requirements</b> .....	<b>4</b>
9.1 Pre-certification activities.....	4
9.1.1 Application.....	4
9.1.2 Application review.....	4
9.1.3 Audit programme.....	4
9.1.4 Determining audit time.....	4
9.1.5 Multi-site sampling.....	6
9.1.6 Multiple management systems standards.....	7
9.1.7 Organization that does not supply ITNS products and services at the time of the initial certification audit.....	7
9.2 Planning audits.....	7
9.3 Initial certification.....	7
9.4 Conducting audits.....	7
9.5 Certification decision.....	8
9.6 Maintaining certification.....	8
9.7 Appeals.....	9
9.8 Complaints.....	9
9.9 Client records.....	9
<b>10 Management system requirements for certification bodies</b> .....	<b>9</b>
<b>Annex A (normative) Required knowledge and skills to determine competence</b> .....	<b>10</b>
<b>Annex B (informative) Summary of audit results</b> .....	<b>15</b>

<b>Annex C (normative) Eligibility criteria for multi-site sampling</b> .....	<b>16</b>
<b>Bibliography</b> .....	<b>17</b>

**iTeh Standards**  
**(<https://standards.itih.ai>)**  
**Document Preview**

[ISO/TS 23406:2024](https://standards.itih.ai/catalog/standards/iso/cc390b2b-9c27-46b2-b4d8-81ea8c711d97/iso-ts-23406-2024)

<https://standards.itih.ai/catalog/standards/iso/cc390b2b-9c27-46b2-b4d8-81ea8c711d97/iso-ts-23406-2024>