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Technical guidelines for the development of small hydropower plants —

Part 3: Design principles and requirements

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Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see www.iso.org/iso/foreword.html.

International Workshop Agreement IWA 33 was approved at a workshop hosted by the Standardization Administration of China (SAC) and Austrian Standards International (ASI), in association with the International Center on Small Hydro Power (ICSHP), held virtually from 19th to 23rd October, 2020.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html.

A list of all parts in the IWA 33 series can be found on the ISO website.

Introduction

Small hydropower (SHP) is well recognized as an important renewable energy solution to the challenge of increasing access to electricity in remote rural areas. However, while most countries in Europe, North and South America, China and India have high degrees of installed capacity, the potential of SHP in many developing countries remains untapped and is hindered by a number of factors, including the lack of best practices or standards for SHP development.

The technical guidelines for the development of small hydropower plants contained in this document address the current limitations of the regulations applied to technical guidelines for SHP plants by applying the expertise and best practices that exist across the globe. It is intended for countries to utilize this document to support their current policy, technology and legislation. Countries that have limited institutional and technical capacities will be able to enhance their knowledge base in developing SHP plants on rivers/streams and existing water resource structures outlets such as dams, barrages, navigation lock, canal falls, outfalls and flowing water (kinetic flow), including renovating/upgrading the old SHP plants, thereby attracting more investment in SHP projects, encouraging favourable policies and subsequently assisting in economic development at a national level. This document will be valuable for all countries, but also allow for the sharing of experience and best practices between countries.

This document is the result of a collaborative effort between the United Nations Industrial Development Organization (UNIDO) and the International Network on Small Hydro Power (INSHP). About 80 international experts and 40 international agencies were involved in this document's preparation and peer review. This document can be used as the principles and basis for the planning, design, construction and management of SHP plants up to 30 MWe.

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